

SHORT THESIS FOR THE DEGREE OF DOCTOR OF PHILOSOPHY (PH.D.)

**Isolation, Cultivation And Phenotypization Of Human
Corneal Stroma And Endothelial Cells– Implications For
Tissue Engineering And Transplantation**

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Introduction

The outermost layer of the human eye is the cornea. Its outstanding properties such as avascularity and transparency enable the humans to see the world through it. The cornea is responsible for refraction of the incoming light, admitting and focusing it onto the retina. Furthermore, it serves as a protective barrier against microbes, pathogens and air-borne substances, as well as mechanical stimuli. The average diameter of the cornea is approximately 11.5 mm with 0.5-0.7 mm thickness. Physiologically, blood vessels do not penetrate the tissue, instead nutrients reach the cells via diffusion from the tear film at the ocular surface or from the aqueous humor on the posterior side, secreted by the ciliary body; oxygen is absorbed directly by the cornea from the air. Anterior to posterior direction, the human cornea has 5 officially recognized layers, the corneal epithelium being the foremost. This cellular layer - the first barrier of the eye against the outside world- consists of 5-6 strata of fast-cycling, non-keratinizing, squamous epithelial cells. As blinking constantly sheds the outermost layer, replacement of the epithelial cells from the underlying strata is continuous, under physiological conditions. The next layer is a thin, acellular interface membrane made up of collagen fibers - the so-called Bowman's layer, which separates the corneal epithelium from the stroma.

Occupying 90% of the thickness of the cornea, the stroma is a flexible layer, made up of water, proteoglycans and abundant amounts of collagen type I. The stroma is responsible for 2/3 of the refractive power of the eye. It is scarcely populated by quiescent keratocytes known to synthesize the proteoglycans and collagens that make up the tissue. This complex network of approximately 200 layers of uniform collagen I and V fibrils is remarkable. The specific ultrastructural features enabling the dome-like shape of the tissue, stability, mechanical flexibility and orthogonally- stacked lamellae render the tissue completely transparent. Interestingly, the fibrils are uniform in diameter, around 31 nm in humans. The proteoglycans- mostly keratin and chondroitin- or dermatan-sulphate- bind to the collagens, forming bridges across the tissue. The fibers stretch towards the sclera where the arrangement changes to grouped. The corneal stroma develops from the neural crest during embryogenesis, which is also the origin of the mesenchymal tissues of the head.

Adjoining to this is the presence of a recently described anatomical structure- the Dua's layer, which is still debated in the scientific and medical community, however, it is thought to be an acellular structure, located between the stroma and the Descemet's membrane. Another interface layer, the Descemet's membrane, is thought to be acellular as well, serving as a basal membrane to support the corneal endothelium. Despite its name, the endothelial layer is not a classical vascular endothelium, however, it is made up of polygonal (mostly hexagonal), tightly adjoined cells, and is a squamous epithelial tissue. The cells are in direct contact with the aqueous humor, nourishing the endothelium, while oxygen is absorbed from the air. Corneal endothelial cells have a crucial role in the hydration of the stroma and the cornea as a whole, regulated by active molecular pumps on the cells' surface, under physiological circumstances, essential in achieving perfect transparency.

The cornea is exposed to external damage, being the foremost layer of the eye, and is able to recover from minor superficial wounds without complications. Deeper injuries and accompanying inflammation can severely impair vision and can cause chronic corneal blindness through haze formation. One of the most common conditions that affect the eyes is pollen allergy, causing minor discomfort, while the second most common one is the dry eye syndrome, mostly caused by air-conditioning devices. The acute inflammation of the cornea, keratitis is usually classified as non-infectious and infectious type. The first type, is usually

brought about by minor scratches, while the second type is brought by microbial infections provoked by contact lens wear or any other infections of the ocular surface that is treated by antibacterial and/or steroid eye drops.

Corneal dystrophies are usually genetically inherited diseases, affecting both eyes. The conditions gradually progress, eventually leading to haze due to the dysfunction of one or more layers of the cornea. Most common of them is keratoconus, where thinning of the cornea makes the tissue to protrude and adopt a cone-like shape, as the name suggests. The exact causes are unknown and in most cases the cornea becomes stable after a few years of treatment, or in some cases the condition progresses, the symptoms worsen with the vision decreasing gradually and scarring occurs. Ultimately, corneal transplantation is the last and definite option for treatment. Fuchs' dystrophy affects both eyes of mostly women and is another slowly progressing condition. The number of corneal endothelial cells decreases to a critical number and subsequently, fluid leakage into the cornea causes local edema, swelling and vision impairment. Therapy normally targets the reduction of swelling, although severe cases require transplantation. In lattice dystrophy, amyloid deposits appear in the corneal stroma, forming a lattice-shaped accumulation of the protein, gradually overtaking the stroma, often destructing the epithelium in the process. Corneal transplantation is the last line of therapy in severe cases, although the disease is known to be intermittent. Other conditions that can affect the cornea are Herpes Zoster infection and reactivation (from latency after chickenpox infection), or Herpes caused by herpes simplex virus- 1 (HSV-1), creating sterile non-healing ulcers in the cornea that can turn into stromal keratitis. Therapy of the most severe diseases includes full thickness- or partial (lamellar) transplantation of the cornea, however, there is a global shortage of donors, reportedly 1 cornea is available for 70 needed. Success rates for engraftment are relatively high, with a 5-30% rejection rate. Alternatively, keratoprosthesis (Kpro), an artificial cornea is sometimes the last resort for patients who had rejected multiple cornea transplantations. Despite the high success rates, complications can always from surgery occur, such as glaucoma, dislocation and local inflammation of the surrounding tissue.

Since human donor tissue is scarce and at the same time transplantation is the only solution in the therapy of severe cases, alternative methods are called upon. Regenerative medicine could fill the void, as cellular therapies using stem cells are gaining momentum. The main challenge is represented by the distinct roles and functionality of the cells making up the cornea. Stem cells possess the ability and plasticity to turn into multiple types of cells in which they are located. Besides embryonic, adult mesenchymal and hematopoietic stem cells need to be specified. The ethical use of human embryonic stem cells (HESCs) is highly debated; furthermore, long-term studies have associated a tumorigenic potential to these cells. Induced pluripotent stem cells (iPSCs) have been generated by reprogramming differentiated cells and while these cells have similar properties for renewal, as embryonic progenitors, autologous iPSC have been known to activate the immune system and the reprogramming itself carries certain risks for tumor formation. On the contrary, adult stem cells have been shown to have a low potential to tumorigenesis, with equal beneficial effects in tissue regeneration by the replenishment of native stem cell pools, and specifically, adult mesenchymal stem cells (MSCs) have been attributed immunosuppressive effects. Nevertheless, certain types of adult MSCs are known to have a limited renewal capacity. Although stem cell research and regenerative therapy for the cornea is currently being undertaken worldwide, most of the methods utilizing tissue engineering and cellular therapy are under development or still in research stage, while only a few have made it to clinical trial phase.

Corneal epithelium

The corneal epithelium consists of 5-6 layers of closely packed cells that quickly respond to any superficial damage affecting the layer. Cells are continuously shed by blinking and are replenished from stem cell niches, located at the basal epithelium of the corneoscleral border - the limbus. In this special microenvironment, protected by the eyelids, the progenitor cells of the corneal epithelium or limbal epithelial stem cells (LESCs) can maintain the undifferentiated state and renew the stem cell pool. A damaged or lost corneal epithelium induces centripetal migration of the LESCs from the niches towards the affected area, gradually differentiating into transient amplifying- (TAC), then mature epithelial cells. Due to the asymmetrical division displayed by LESCs -and stem cells in general, this capability of self-renewal enables the cells to completely regenerate the epithelial surface in the course of 12-24 hours. Loss of LESCs, usually induced by chemicals, mechanical injuries or disease is a severe threat to the regenerative capacity of the corneal surface. During this condition known as limbal stem cell deficiency (LSCD), epithelial cells of the conjunctiva start proliferating and migrating towards the center of the cornea, attracting vessels and leading to corneal neovascularization, thus causing blindness.

Autologous transplantation of limbal biopsies taken from contralateral, good eye of patients or close relatives, have been shown to have therapeutic benefit, and been around in use for the last 25-30 years, holding a success rate of 80%. The LESCs can be obtained from cadaveric tissue as well, however, this requires systemic immunosuppression, demonstrating lower success rates in long-terms. The amniotic membrane, an avascular tissue and a byproduct from child delivery, has been known to possess anti-inflammatory and anti-angiogenic properties and been used to cover up limbal grafts to decrease the chance of rejection; the amniotic membrane has beneficial effects on its own, as well, in the prevention or treatment of partial LSCD.

It was in 1997, that LESCs from limbal biopsies have been cultivated *ex vivo*, then reimplanted into the patients, showing long- lasting positive outcomes. Animal-free medium has also been used to culture and expand the cells *in vitro*. According to recent studies, contact lenses are appropriate carriers for the reintroduction of LESCs into recipients' eyes. Despite the promising results, the success rate of such procedures is around 70%, and the method is not always sufficient for optimal recovery of vision. In 2015, the European Commission approved the commercialization of the first ATMP (advanced therapy medicinal product) using stem cells, called the Holoclar®, a technique using *ex vivo* expanded LESCs from biopsies and is a cornerstone of ocular regenerative medicine; however, its potential appears to be limited to the treatment of superficial damage (affecting only the corneal epithelium).

Corneal stroma

Keratocytes are responsible for the maintenance of the corneal stroma and its uniquely elaborate structure and consistency. These cells assume a dendritic morphology *in vivo*, however, during wound healing the cells can transform into myofibroblasts and deposit scar tissue, hampering the corneal transparency and adversely affecting vision. Stromal tissue obtained from cadavers and cultured in serum-containing media gives rise to adhering cells displaying a spindle-shaped morphology and a steady proliferation rate. Interestingly, corneal stroma cells (CSCs) *in vitro*, have been found to express markers that are usually present on adult MSCs. The distribution of these surface protein markers (e.g. CD73⁺, CD90⁺, CD105⁺; CD34⁻, CD45⁻, CD14⁻, CD11b⁻, CD79α⁻, CD19⁻, HLA-DR⁻), the cells' adherence to plastic

and the ability to perform the canonical trilineage differentiation into adipose- (fat), cartilage- and bone tissues *in vitro*, enables these cells to be considered as adult MSCs, as defined by the ISCT (International Society for Cellular Therapy). Another ability of MSCs- the immunosuppressive properties through secretion of anti-inflammatory cytokines, has also been confirmed on CSCs. Studies conducted on animals proved the true potential of CSCs in cellular therapy. The true origin of these cells is still debated, however and possibly, isolation of such cells activates them and/or different populations become dominant. Various types of cultivation media induce the *in vitro* stem cell phenotype of CSCs in different ways, and low serum or serum-free conditions have been shown to restore the keratocyte phenotype, at the expense of viability and ECM production.

The most challenging part of corneal stroma-oriented regenerative research is the reproduction of the fine ultrastructure, arrangement and composition of the stroma itself. CSCs cultured in serum-containing medium secrete abundant amounts of ECM into the vessel. A study using 10% serum and ascorbic acid in the cultivation medium found that the ECM appeared to have a great resemblance to the composition of the native tissue, including collagens and sulphated proteoglycans, as well as apical-basal polarity of the cultured CSCs. Addition of transforming growth factor (TGF) β 1 and β 2 to the medium induced the deposition of fibrotic-, scar-like ECM in the cultures, while TGF β 3 enhanced the production of normal, non-fibrotic structures- similar to the one by the control (no TGF)-, with an increased thickness of 60-70 μ m to 20 μ m. The production of *de novo* connective tissue in culture provides the cells a major advantage in tissue-engineering-based research and possible future clinical implication; however, this “*in vitro* tissue” does not replicate the flexibility and other biomechanical features of the cornea completely, as of yet. This led to another branch of corneal stroma-based research with an attempt to repopulate decellularized animal-derived corneas by human CSCs, thus minimizing the risk of rejection from xenotransplantation.

Despite the ever-growing body of evidence, research groups worldwide have access to corneas from different sources (e.g.: waste from keratoplasties, tissue banks, morgues) and use various methods to obtain CSCs. There has not been a study to date investigating, whether there is any phenotypical difference in the cells or in the expression of specific genes generated by an enzymatic or an explant technique from different anatomical regions of the cornea. The evidence about CSCs is not as extensive as of LESC research, although, the potential of CSCs is undebated and the beneficial effect of MSCs in corneal reconstruction has been demonstrated in animal experiments, but the probability of applying the cells in clinical practice is still in its infancy.

Corneal endothelium

The most posterior layer of the cornea is not the classical vascular endothelium, instead it is made up of a single layer of tightly-packed squamous epithelial cells, responsible for the water homeostasis of the cornea. Initially, it was thought that these cells do not proliferate and are arrested in the G₁ phase of the mitosis. If a cell dies, a neighbouring one would enlarge and fill in the gap left behind. Through aging or defects in the corneal endothelium, as cell density drops below a critical number, excess water leaks into the anterior cornea causing local edema, and damage to the tissue and ultimately vision impairment occurs. Recent discoveries led to a turning point in the way corneal endothelium is approached- it has been found capable of recovering from minor wounds. Presence of a putative progenitor cell population at the transitional zone between the corneal endothelium and the trabecular meshwork, called Schwalbe's line has been suggested in one study, as the source of precursor

cells for both tissue types. Another study points at an increased density of cells around the peripheral endothelium, presumably identifying a renewed population of cells. Wound healing studies reported appearance of stemness markers in the peripheral corneal endothelium, although another study reported proliferative activity in only 10% of wounded samples. Still not conclusive, these results suggest, that the corneal endothelium is possibly capable for a delayed replacement of dead cells of the layer *in vivo*.

Ongoing corneal endothelial research has been focused on the expansion of these cells *in vitro*. Production of viable, steadily proliferating and homogenous human corneal endothelial cell (HCEnC) cultures and thus reproducible data has been a major challenge of the past 30 years. Cultured HCEnC (re)gain the ability to proliferate *in vitro*, using serum-containing medium at the expense of morphological shift, loss of functionality and possible contamination by other cell types. The cells *in vivo*, assume a perfect polygonal (hexagonal) morphology, while in culture a more polarized, elongated shape is present in long-terms. The process is thought to be the endothelial (epithelial)- mesenchymal transition (EndoMT or EMT), regulated by TGF β 2, causing a rearrangement of the microfilament system. Several studies have used Rho kinase inhibitors (ROCK) to constrain EMT by blocking the rearrangement of the microtubules temporarily, while affecting cell motility and proliferation. There are a few commercialized cell lines, although none of them represent the original cells adequately, as suggested by genomic analysis. HESCs have been successfully differentiated into HCEncs, expressing functional markers, such as zonula occludens-1 (ZO-1) and Na/K ATPase, however the use of HESCs faces ethical problems and will not provide a long-term solution for the generation of clinically favorable products.

Isolation of the cells from the corneal endothelium is usually achieved with the separation of the supporting Descemet's membrane. Enzymatic treatment causes release of the cells from the basal membrane and dissociation from each other by the breakup of the tight junctions. The single cells attach to pre-treated culture vessels, exhibiting a sluggish expansion rate. Initially the cells display polygonal-like morphology, which then shifts into a more polarized cell shape, reportedly due to contamination by stromal cells or as a result of EMT. A few markers have been suggested for the assessment of HCEnC culture purity, such as CD166/ALCAM, glypican-4 and CD200, a study even details markers found on a sorted population of HCEncs.

A pre-clinical trial performed on monkeys proved to be unsatisfactory, when HCEncs expanded on collagen I sheets were implanted; in another study, 243 patients were treated with injection of corneal endothelial cell suspension in a minimally invasive procedure. In the current study, HCEnC cultures have been generated by a method widely used by the scientific community, to characterize the expression of certain surface proteins and carbohydrates/ glycoconjugates, to set up a more robust "fingerprint" panel for the identification of these cells and to assess purity and homogeneity of the cultures. The role of protein and carbohydrate homeostasis of the cell has been implicated in events, such as cell adhesion, differentiation, development and cancer cell metastasis, therefore, it is generally a good indicator of the cells' state and potential. Ample amount of research needs to be undertaken to set up the optimal, "golden standard" method for the successful isolation and cultivation of HCEncs for tissue engineering and possible use in future clinical therapeutic procedures.

Aims of the study

1. To investigate, whether various isolation methods can generate different populations of CSCs.
2. To characterize cultured CSCs produced by the different methods and various anatomical regions in regards to their distribution of specific surface- markers' expression related to stemness and cellular adhesion.
3. To explore the magnitude of change that CSCs go through, when expanded *ex vivo* by comparing the gene and protein expression demonstrated by the native versus cultured cells and in regards to markers related to functionality, stemness, adhesion and proliferation.
4. To isolate and cultivate cells from human corneal endothelium and explore their phenotypic characteristics.
5. To compare the gene expression of certain function-related and other previously described markers in CSCs versus HCEncs.
6. To characterize the state of HCEncs based on their surface protein and carbohydrate distribution and thus generate a fingerprint capable of assessing the purity of cultured cells.

Materials and methods

Cell isolation and cultivation

Cadaveric tissue was collected in accordance with the directives of the Helsinki Declaration and was approved by the Hungarian National Medical Research Council (14387/2013/EKU-182/2013). Donors were obtained within 24 hours of death. The bulbi were disinfected, then corneal buttons were removed with surgical tools. In Petri dishes, the endothelium together with the Descemet's membrane were peeled away and treated with collagenase enzyme for 3 hours, to dislodge the cells from the basal layer. Corneal endothelial cells were further separated by trypsinization and finally seeded into fibronectin-coated culture wells. The anterior side of the leftover corneal button was thoroughly, but carefully scraped and rinsed to remove the corneal epithelium. A trephine was used to generate small punches of the corneal stroma, from central and peripheral regions, which were then explanted directly (CE and PE, respectively), or seeded after digestion, into culture plates (CD and PD, respectively). Primary HCEncs have been cultured in 5% FBS-supplemented Endothelial medium for the first 2 days, then in Ham's F12/M199, containing 5% FBS, 1% insulin-transferrin selenite, 10 ng/mL bFGF and 0.02 mg/mL ascorbic acid. As a control, a commercially available corneal endothelial cell line, B4G12 was used. CSCs were cultured in 10% FBS-containing DMEM Low glucose. Cells were cultured under adhesive conditions and the medium was changed every alternate day.

Reverse Transcription– Quantitative Polymerase Chain Reaction analysis (RT-qPCR)

Total RNA was isolated from corneal endothelial, stromal and B4G12 cells, according to the instructions of the RNeasy kit. RNA from the native corneal stroma was extracted by Qiazol reagent (Qiagen). Reverse transcriptions were performed using the High Capacity cDNA Archive Kit (Applied Biosystems), Superscript III reverse transcriptase and random hexamers (Life Technologies). CDNA from B4G12 cells was amplified using a Mx3005P PCR system (Agilent Technologies). CDNA from primary cells was amplified by a StepOnePlus system (Applied Biosystems), using Taqman gene expression assays for *ALDH1A1*, *ABCG2*, *AQP1*, *CD31*, *CD34*, *CD73*, *CD90*, *claudin14*, *CXCR4*, *cytokeratin-19*, *ENG (CD105)*, *GPC4*, *ITGAV*, *ITGB4*, *KLF4*, *Nestin*, *Vimentin*, *ZO-1*. Thermo cycling was set as 95°C for the first 10 minutes, then 40 cycles of 95°C for 15 seconds, finally 60°C for 1 minute. $2^{-\Delta\Delta Ct}$ method was used to analyse the expression of given genes and relative quantities were determined. All samples were run in triplicates. *GAPDH* and *18S RNA* were included as housekeeping genes.

Fluorescence-activated cell sorting (FACS)

Surface protein distribution of HCEncs and CSCs was analysed by FACS. Cells were collected from the culture plates and stained with 3 different fluorochrome-conjugated antibodies, FITC, PE and APC, against *ABCG2*, *CD31*, *CD34*, *CD44*, *CD47*, *CD49a*, *CD49d*, *CD51*, *CD54*, *CD73*, *CD90*, *CD105*, *CD106*, *CD112*, *CD146*, *CD166*, *CD325* and *Nestin*. All samples were analysed on a FACS Calibur cytometer (BD, Biosciences) and the results were evaluated using FCS Express 6 (De Novo Software) and Flowing Software 2.5 (Perttu Terho). R software was used to plot the data for the hierarchical clustering.

Immunofluorescent- and lectin staining

Paraffin-embedded human corneas have been sectioned and prepared for immunostaining procedure. Markers ABCG2, CXCR4, Nestin, Ki-67, ALDH1A1, Collagen I, CD34, CD73, CD90, CD105, Vimentin, Fibronectin, Collagen IV, VE-Cadherin, α -actinin, ABCG5 and anti-fibroblast markers were used to stain full thickness corneas. After blocking the non-specific binding sites with 5% BSA, the slides were incubated with the primary antibodies listed above. After a brief wash, all samples were stained with Alexa Flour 488-conjugated secondary antibodies, then nuclear stain, DAPI was applied. Pictures were captured using a Zeiss Axio Observer Z1 microscope. Primary HCEncs were cultured in special 8-well chamber slides, then stained with antibodies against CD73, CD166, Collagen I and IV, Na/K ATPase, ZO-1 and Ki-67, similarly to the procedure described above. A BX51 Olympus microscope was used to visualise the results.

Primary HCEnc cultured in 8-well chamber slides were also incubated with FITC-conjugated lectins, Griffonia (bandeiraea) simplicifolia lectin I (GSL I) against galactose and N-acetylgalactosamines, dolichos biflorus agglutinin (DBA), Peanut agglutinin (PNA), Ricinus communis agglutinin I (RCA 120), Soybean agglutinin (SBA), Phaseolus vulgaris erythroagglutinin (PHA E) and Phaseolus vulgaris leucoagglutinin (PHA L) against galactose, Concanavalin A (CON A), Lens culinaris agglutinin (LCA) and Pisum sativum agglutinin (PSA) against mannose and glucose, fucose and arabinose were stained by Ulex europaeus (UEA I), while Wheat germ agglutinin (WGA) and its succinylated form (sWGA) bound sialic acid. Nuclei were stained by Hoechst 33342 and images were captured by an EVOS® FL microscope (Thermo Fisher Scientific).

Statistical analysis

Statistical analysis was carried out using Graphpad Prism 7, Microsoft Excel and SPSS. One-way ANOVA, Student's t-test and Mann-Whitney U-tests were used to calculate the p-values. Significance level was set to <0.05 (p<0.05 *; p<0.01 **). Results were expressed as mean \pm SD or SEM.

Results

Cell morphology and proliferation of CSCs

Cells from CD and PD were visible at plating proliferated fast and reached confluence in the course of 10-12 days, while CE and PE took 12-14 days to appear at the edges of explanted tissue, reaching confluence 20-25 days after isolation. The 4 conditions displayed no morphologically apparent differences. All cultures showed Ki-67 positivity. From all stained cells, $4.21 \pm 1.53\%$, $7.87 \pm 4.73\%$, $8.60 \pm 4.58\%$ and $10.95 \pm 4.42\%$ demonstrated Ki-67 expression for CD, CE, PD and PE, respectively. ($p=0.43$)

Immunofluorescent staining of the native corneal stroma

ABCG2 and ABCG5 could not be detected in the native cornea, while strong staining was observed for ALDH1A1, α -actinin, CD31 and CD34 all over the stroma. Collagen I demonstrated a marked expression in the stroma, as well, while Collagen IV was not detected. CD73, CD90 and CD105, MSC markers were not present. Ki-67, CXCR4 and Nestin were negative in the native tissue, as well. Vimentin was found to be expressed, while Fibronectin was absent. No expression of other markers, such as anti-reticulocyte, fibroblast marker and VE-cadherin was detected in the native tissue.

FACS analysis of cultured CSCs

High expression of CD73, CD90 and CD105 was detected in cultured CSCs, with no difference between the 4 groups. ABCG2 and Nestin, were detected, as well, while CD34 and CD31 were not present on any of the cultured cells. CD51, CD49a, CD49d adhesion molecules and integrin-related CD47 were positively expressed, with no significant difference between the different conditions.

Gene expression in the native- versus cultured cells of the corneal stroma

CD73, CD90 and CD105 were upregulated in cultured CSCs, compared to the native tissue. Vimentin was upregulated in cultured cells, as well, 18-fold higher compared to the same control. CD34 was significantly downregulated in the cultured cells when compared to the cells from the native tissue. Similarly, CD31 was significantly downregulated in the cultured cells. ALDH1A1 was detected in the cultured cells with a significant downregulation compared to the native tissue. Expression of ABCG2 was upregulated 60-fold, while significantly lower expressions of AQP1, CXCR4, ITGB4 and KLF4 were observed in cultured CSCs. Expression of ITGAV and Nestin were upregulated in the cultured cells, while GPC4 expression was unaltered.

Comparison of the gene expression of B4G12 and primary HCEnC to CSCs

The cell line demonstrated a 15-fold lower expression of Vimentin than the CSCs. CD90 expression was 13-fold higher, while Cytokeratin-19 was 3-fold more expressed in CSCs compared to the cell line. Zonula occludens (ZO-1) and Claudin 14 were more expressed in B4G12: 2.2-fold and 10-fold, respectively, when compared to CSCs. Similar to the cell line, primary HCEncs demonstrated a lower expression of Vimentin, as much as 3.1-fold, compared to the CSCs. Expression of CD90 was 3.2-fold lower in primary HCEncs, while Cytokeratin-19 was 7-fold lower than in CSCs. ZO-1 was 4.5-fold more expressed in HCEncs.

Surface protein staining of HCEncs (IHC and FACS)

A moderate expression of CD73 was found in the cytoplasm of primary HCEncs. CD166 was positive as well and mostly localized close to the cell membrane. Na/K-ATPase was positive, as well and mostly expressed around the edges of the cells. Presence of ZO-1 was not detected in the cell junctions, but a dim signal was observed in the cytoplasm. Staining for Collagen I and Collagen IV revealed the presence of the proteins in the cytoplasm of the cells. Certain primary cells demonstrated the expression of nuclear Ki-67. Primary cells were isolated and stained without further cultivation, as controls for FACS analysis. Cultured HCEncs demonstrated a high expression of CD73 ($89.32 \pm 1.35\%$), while $16.34 \pm 23.74\%$ of uncultured cells showed a positive expression. Expression of CD90 was negative or low ($7.16 \pm 4.46\%$) in primary HCEncs and a similar trend was observed in uncultured cells, as well ($8.56 \pm 13.26\%$). $49.52 \pm 17.26\%$ of cultured HCEncs expressed CD105. Most cultured HCEncs expressed CD44 ($68.39 \pm 13.13\%$), while its expression was significantly lower in uncultured cells ($6.68 \pm 2.44\%$). CD47 was present on the majority of cultured cells ($89.12 \pm 1.92\%$), while CD34 was not detected ($0.13 \pm 0.13\%$). High expression of CD54 was observed ($54.33 \pm 8.03\%$) and CD106 was expressed, as well ($38.08 \pm 15.23\%$), with a high inter-donor variability on cultured cells. $43.02 \pm 15.53\%$ of primary cultured cells expressed CD146, while uncultured HCEncs demonstrated a lower expression of the protein ($1.1 \pm 3.15\%$). Cultured HCEncs demonstrated CD112 expression ($77.73 \pm 2.17\%$), with two separate populations, both being positive. A low expression of CD166 was observed in uncultured cells ($20.20 \pm 11.47\%$), while it was found to be increased in cultured HCEncs ($78.93 \pm 1.69\%$). Similar to CD112, staining for CD325 showed two distinct populations of cultured cells $76.39 \pm 5.68\%$. Uncultured HCEncs also expressed CD325 ($69.61 \pm 7.08\%$). Hierarchical clustering of the data from cultured HCEncs and CSCs demonstrated a difference in the phenotype of the two cell types.

Surface lectin-carbohydrate pattern of primary HCEncs

The cells expressed terminal galactose molecules, confirmed by Ricinus communis agglutinin I (RCA). Complex galactose structures were detected by Phaseolus vulgaris leucoagglutinin (PHA L). Succinylated and non-succinylated Wheat germ agglutinin (sWGA, WGA) were positive for HCEncs, binding to dimer and trimer N-acetylgalactosamines. Mannose and D-glucose monomers and polymers were recognized by Lens culinaris agglutinin (LCA), Phaseolus vulgaris erythroagglutinin (PHA E), Pisum sativum agglutinin (PSA) and Concanavalin A (CON A). Lectins Dolichos biflorus agglutinin (DBA), Soybean agglutinin (SBA), Griffonia (bandeiraea) simplicifolia lectin I (GSL I) did not show any N-acetylgalactosamine monomers on the surface of HCEncs. Presence of putative epithelial/endothelial marker L-fucose was not detected by Ulex europaeus (UEA I) and Peanut agglutinin (PNA) staining the T-antigen was negative on HCEncs, as well.

HCEnc viability

$81.84 \pm 11.44\%$ of cultured cells was viable, with a considerable number of necrotic cells ($26.55 \pm 15.79\%$), stained by propidium iodide (PI). $4.27 \pm 5.94\%$ was the percentage of apoptotic cells (Annexin V FITC), while $5.5 \pm 4.23\%$ of HCEncs showed signs of secondary necrotic/late apoptotic state, confirmed by the double-stained cells. Similarly, trypan blue exclusion test showed an overall $80.01 \pm 11.92\%$ viability in HCEnc cultures.

Discussion

The world is facing a shortage of donors for corneal transplantation. Cell therapy could be a possible alternative and while the potential of stem cells from different origins has been demonstrated numerous times in animal models, their safe and efficient application in human reconstruction is further to be proven. Cells for drug testing, corneal research and potential cell therapy agents can be obtained from the human corneal stroma. Different countries and research groups have access to different parts of the cornea. Mostly, it is corneal rings after full thickness keratoplasties or lamellar procedures (DMEK or DSAEK) that are used for cell isolation. Others have access to the central parts of the cornea, as well. It is unknown, whether cells from the various sources generate different cell phenotypes. CSCs are able to replicate *in vitro* and have outstanding features that have been demonstrated by many research groups, including us. Notably, the CSCs phenotype adheres to the criteria established by ISCT for MSCs and the cells are also capable of the canonical trilineage differentiation. Moreover, possible immunosuppressive properties can be attributed to CSCs, *in vitro*. The characteristics mentioned above are only displayed by cultured and not by the resident stromal cells, *in vivo*. The origin of the cultured cells is thus debated, whether it is the stromal keratocytes that adapt to environmental changes or a small population of progenitor cells get activated *in vitro*.

Whole mount corneas have been stained for previously described markers. No difference in the expression of the different anatomical regions was found. Putative stem cell efflux marker, ABCG2, was not detected on native cells and neither was ABCG5, while the cultures obtained from the central or peripheral parts of the cornea by the two methods showed high positivity for ABCG2. Upregulation of the protein might allow the cells to be more resilient to external stimuli (e.g. chemotherapy), as previously demonstrated by malignant cells. Strong staining for ALDH1A1 was detected in the native cornea. This molecule is a corneal crystalline and its expression is responsible for the transparency of the tissue, as the lack of ALDH1A1 is accompanied by the haziness of the cornea. Although equally present in both central and peripheral parts of the cornea *in vivo*, the expression of the gene was significantly downregulated in cultured CSCs as assessed by PCR. α -actinin was detected throughout the cornea, including the keratocytes, thus this marker alone should not be used to exclude fibroblastic cells when assessing the purity of a culture. Vascular endothelial marker CD31 is thought to be involved in leukocyte migration. It mediates the attraction and adhesion of polymorphonuclear cells in wound healing. The native cornea elicited a considerable CD31 expression, although the role of the protein in a healthy, avascular tissue is yet to be elucidated. Cultured CSCs presented here lose CD31 according to surface phenotyping and this downregulation was supported by results from PCR, as well. Possibly the most important marker of stromal keratocytes is CD34, has wide range of functions, most importantly in immunological events, such as eosinophil migration and mobility. Studies on CSCs have shown the gradual loss of CD34, during cultivation. Our results confirm this, the native cornea demonstrated a strong positivity, while cultured cells showed complete loss of the protein. The expression of the gene was significantly downregulated in cultured cells, compared to the native tissue. An important molecule, aquaporin-1, is known to be involved in the migration of the stromal keratocytes during wound healing, *in vivo*. It was found to be downregulated in the cultured CSCs, while others reported it to be expressed *in vitro*, as well, in an animal model. Markers of MSCs - CD73, CD90 and CD105, were not detected in the native tissue, however a strong staining was observed in the cultured CSCs. This was supported by gene expression analysis and adheres to findings of others. The appearance of the 3 mesenchymal markers and the loss of CD34 in culture is what makes the CSCs comply

to the ISCT criteria. Adult MSCs of different origin have similar surface expression patterns. Again, like CD34, CD90 is known to be involved in a wide range of actions, such as cellular and matrix adhesion, fibrosis, inflammation and tumor growth. Immunosuppressive properties of MSCs are attributed to CD73 and cultured CSCs retain the markers over long cultivation times and many passages.

CSCs in culture synthesize considerable amount of extracellular matrix *de novo*, mostly Collagen I. The molecule is expressed abundantly in the native corneal stroma, as demonstrated by immunostaining, while Collagen IV could not be detected. The expression of integrin αV (CD51) was upregulated in cultured cells, with a significant downregulation of Integrin $\beta 4$, compared to *in situ*. This change in the balance of adhesion molecules and matrix components highlights the CSCs potential in responding to environmental changes. Intact corneas do not express fibronectin *in situ*, but the molecule appears temporarily during corneal wound healing in the stroma and epithelium. No sign of nuclear Ki-67 was detected in the native corneas, demonstrating a lack of damage and following cell proliferation. Cultured CSCs, however, did expressed this marker, highlighting the existence of actively proliferating cells in all the isolating and cultivation conditions presented in the study. Vimentin was strongly expressed in the healthy corneal stroma. This is in line with the findings of others and knockdown studies have showed that the absence of the protein is associated with the formation of corneal haze and thus subsequent visual impairment. Nestin, was not present in the cells *in situ*. It is believed to be responsible for the organization and rearrangement of filaments in the cells, and was found to be expressed in murine spheroid cultures and a putative population was described in rabbit corneas as well. Upregulation of the gene was detected in cultured CSCs compared to the native corneas in our study. Tissues directly exposed to the outside world as barriers are known to express KLF4, which is an important marker associated with stemness. Our results show a significant downregulation in the expression of the protein following isolation and cultivation. Similarly, a stem cell migratory marker, CXCR4 has been found to be expressed by MSCs of different origin and inhibition of the protein resulted in an incomplete migratory response to bone marrow and its upregulation has been implicated in EMT and cancer cell invasion. In the current study, CXCR4 expression was found to be negative *in vivo* and cultured CSCs elicited significantly decreased levels of the functional protein, as well, compared to the native cells, however roles of stemness markers KLF4 and CXCR4 in the cornea are not yet know.

CSCs adopt characteristics of MSCs during cultivation, with a simultaneous downregulation of certain functional molecules- a matter to be addressed when using these cells for treatment. The potential of CSCs is unquestionable, with its beneficial effects demonstrated in several studies involving animals. Although cultivation can force these cells to a dramatic change as shown in this study, compromises must be made to be able to apply these cells for *in vitro* research, while not addressing them as corneal keratocytes. Most likely, there are several factors that contribute to the adoption of such a phenotype of the cells, including environmental changes or the presence of serum in the cultivation medium. The results of this study show that there are likely no phenotypic or genotypic differences between CSCs generated by different methods of isolation, from central or peripheral anatomical regions of the corneal stroma. The results also show a great plasticity of CSCs in response to external stimuli in culture and possibly *in vivo*, as well to counter wounding or inflammation. Whether this change is reversible and beneficial for the cells and thus could be safely used in human cell therapy in conditions affecting the eye or other organs is to be further researched.

Successful isolation and cultivation, as well as obtaining reproducible data has been a major challenge for the research on corneal endothelial cells over the last 3 decades. To date, there

is no single consistent method for the *ex vivo* cultivation of functional HCEncs. Reportedly a putative stem cell population exists in the zone between the corneal endothelium and the trabecular meshwork that could produce confluent monolayer over 7 passages. Although HCEncs do not proliferate, *in vivo*, compensatory mechanisms have been described, in which DNA synthesis in the cells is upregulated, with migration near the damaged area. Recently, it was reported that corneal endothelial cells *in situ* can maintain their capacity to proliferate due to a possible arrest in G1 phase. All studies aim at generating a standard method for the isolation and expansion of HCEncs to produce homogenous cultures of functional cells, with the implication of possible clinical use as an alternative to corneal transplantation. According to a pre-clinical trial, which included transplantation of HCEncs spread on collagen I sheets, such procedure was found insufficient in monkeys, while another trial aimed at injecting HCEnc suspension in the front segment of the eye to treat patients. In the present study, we applied a previously reported method to obtain the cells by an enzymatic method to generate HCEnc cultures and characterize the resulting cell population, then compare it to a corneal endothelial cell line, B4G12. While the cell line showed a relatively steady expansion, primary HCEncs took up to 3-4 weeks to achieve confluency in small culture wells. This could be indicative of a homogenous cell population of HCEncs, as cultures overtaken by fibroblasts or contaminating stromal cells are real concerns of the scientific community. Also, it is believed that these cells undergo EMT, due to culturing over extended periods of time, suggested by apparent changes in morphology. Stromal cell contamination of primary HCEnc cultures is a concern, although data obtained from CR analyses showed a higher expression of certain genes related to cell adhesion, cellular junction formation and HCEncs demonstrated a different pattern of gene expression from CSCs. This supports that the cultures established in the present study are likely free of stromal cells. Vimentin is marker of mesenchymal cells and a putative marker of EMT, although it has been described in the native corneal endothelium and the stroma, as well. CSCs expressed more Vimentin, than the B4G12 cell line and primary HCEncs. Possibly, primary HCEncs undergo EMT, while the cell line does not show such a change, however, the use of Vimentin alone to determine the presence of EMT is not sufficient. ZO-1, a crucial marker of functional and interconnected cells, was detected in primary HCEncs and the cell line, both showing higher expression compared to CSCs. Claudin-14 was described in native corneal stromal cells, but only very low levels were detected by PCR, while no expression was found in primary HCEncs. Claudin 14 was detected in CSCs and B4G12, with a higher expression in the cell line, although this is contradictory to the finding of others. Cytokeratin 19 expression is characteristic of the limbal epithelium and was found to exhibit low expression in HCEncs and B4G12 compared to CSCs. These results indicate that while primary cells and the endothelial cell line express genes in a similar manner, the magnitude of expression differs and thus cannot be considered a great representation of each other. A study comparing the transcriptome of B4G12 versus primary cells found that the latter is more comparable to the gene expression observed *in vivo*.

A recently reported panel for the surface marker expression of functional HCEncs states that the cells express CD98, CD166 and CD340, while CD9⁺, CD49e⁺, CD44⁺ and CD73⁺ cells are post-EMT, thus not functional. FACS analysis revealed that the primary HCEncs included in this study expressed CD44, CD73 and CD166. Epithelial cells with a damaged integrity to the layer are known to upregulate CD44, and its expression was found in other tissues of the body in highly proliferative cells. This phenomenon has also been described in the basal corneal epithelium and in CSCs, but not in the healthy corneal endothelium. Upregulation of CD44 expression has also been implicated in graft rejection, Fuchs dystrophy and bullous keratopathy, as well as diseases, in which tissue integrity is

compromised or when an injury is to be compensated. However, CD44 has not been detected in keratoconus, lattice and Meesmann dystrophies with intact, unaffected corneal endothelium. The majority of cultured HCEncs showed positivity for CD44 in this study, contrary to the initial lack of expression observed in freshly isolated HCEncs. Over 95% of cultured CSCs express CD73, CD90 and CD105, and while most HCEncs were positive for CD73 and CD105, very low positivity (<10%) was observed for CD90. This was supported by data from freshly isolated HCEncs. Consequently, it suggests, that the HCEnc cultures established in our study were likely free of stromal contamination.

Up- and downregulation of glycoproteins have been shown to be a compensatory mechanism in epithelial injuries. Cultured HCEncs showed a similar lectin staining as CSCs. Corneal diseases have been known to cause an altered carbohydrate/glycoconjugate expression. Mice with a mutation in the Pax-6 gene displayed impaired compensatory response to an *in vitro* simulated epithelial injury, when α -D-mannose, α -D-glucose and β -N-acetylglucosamine 1-4 glucose were blocked by WGA and Con A. *In situ* lectin staining patterns of the corneal endothelium have been described previously in human and animal specimens and found WGA, Con A, RCA to be positive, while GSL I, SBA and UEA1 were negative in healthy donors. In basal corneal epithelium, WGA, Con A, RCA, GSL I and PHA staining were reported, while Con A, PHA and PSA were positive for the Descemet's membrane. Undamaged corneal endothelium demonstrated no binding of SBA, while positivity was observed around the edges of injured areas, eventually decreasing and disappearing over the course of 72 hours. It was also shown, that SBA altered actin rearrangement by binding to a specific component, during wound-healing experiments. This finding led to another set of experiments, in which the authors concluded, that the glycoprotein, bound by SBA is possibly involved in the restoration of cell interactions, as it was expressed by diseased or distressed cells trying to compensate the loss of layer integrity *ex vivo*. Moreover, SBA binding was described in keratoconus as well, suggesting a fine balance of surface carbohydrates in the maintenance of corneal physiology. No SBA binding was observed in this study on cultured primary HCEncs, possibly explained by the change of environment and subsequent shift/ transformation of cell fate.

Present work shows that a popular method for generating HCEncs can produce cells expressing markers associated with the phenotype of corneal endothelial cells. While these cells are adapting to the new environment, they are possibly trying to restore the integrity of the layer they once represented. During cultivation however, the cells are likely to undergo EMT and lose certain important, functional molecules (ZO-1), while acquiring markers (CD44, CD73), that are not expressed, *in situ*. It remains a question, whether the functions can be safely restored with providing the original environment (i.e. reimplantation) without the use of agents altering cell functions, before applying them therapeutically in clinical practice. Future research with clinical relevance might use induced pluripotent stem cells to obtain homogenous cultures, with functional cells, until a standard method for the safe isolation and cultivation of these cells from cadaveric tissue is established and proven safe.

Results presented in this study have demonstrated, that primary cells obtained from the human corneal stroma and endothelium are capable of producing viable cultures, however the cells do not fully represent their original functional forms. Nonetheless, both cells types have good a potential to become candidates for possible future ocular clinical reconstructives therapy, once their use is proven safe, beneficial and efficient.

Publications related to the thesis:

- 1. Cultivation and characterisation of the surface markers and carbohydrate profile of human corneal endothelial cells.**

Nagymihály R, Veréb Z, Albert R, Sidney L, Dua H, Hopkinson A, Petrovski G.
Clin Exp Ophthalmol. 2017 July; Vol 45, Issue 5: 509-19. doi:10.1111/ceo.12903.
[Epub 2017 Feb 14.]
IF: 3.0

- 2. Effect of isolation technique and location on the phenotype of human corneal stroma-derived cells**

Richard Nagymihaly, Zoltán Veréb, Andrea Facskó, Morten C. Moe, Goran Petrovski
Stem Cells International, Special issue: “The Stem Cell Niche: Interactions between Stem Cells and Their Environment”, 2017 Aug
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