EFFICACY AND SAFETY OF INNOVATIVE ADVANCED ENDOSCOPIC TECHNIQUES IN THE DIAGNOSIS AND PALLIATION OF UPPER GASTROINTESTINAL TRACT MALIGNANCIES

Ph.D. THESIS

Renáta Bor MD



Department of Medicine

University of Szeged

Szeged

2020

LIST OF FULL PAPERS RELATED TO THE SUBJECT OF THE THESIS

- I. Bor R, Vasas B, Fábián A, Bálint A, Farkas K, Milassin Á, Czakó L, Rutka M, Molnár T, Szűcs M, Tiszlavicz L, Kaizer L, Hamar S, Szepes Z. Prospective comparison of slow-pull and standard suction techniques of endoscopic ultrasound-guided fine needle aspiration in the diagnosis of solid pancreatic cancer.*BMC Gastroenterol. 2019 Jan;19(1):6.* IF: 2.252 (Medicine Q1)
- II. Bor R, Vasas B, Fábián A, Szűcs M, Füredi Á, Czakó L, Rutka M, Farkas K, Molnár T, Milassin Á, Bálint A, Szántó K, Hamar S, Kaizer L, Tiszlavicz L, Szepes Z. Slow-pull technique yields better quality smears prospective comparison of slow-pull and standard suction techniques of endoscopic ultrasound-guided fine-needle aspiration. *Scand. J. Gastroenterol. 2020; Article in press. (Published online: 05 Oct 2020)* IF: 2.130 (Gastroenterology Q2)*
- III. Bor R, Fábián A, Bálint A, Farkas K, Szűcs M, Milassin Á, Czakó L, Rutka M, Molnár T, Szepes Z. Endoscopic management of complications of self-expandable metal stents for treatment of malignant esophageal stenosis and tracheoesophageal fistulas. *Therap Adv Gastroenterol. 2017 Aug;10(8):599-607.* IF: 4.168 (Gastroenterology Q1)
- IV. Daróczi T, Bor R, Fábián A, Szabó E, Farkas K, Bálint A, Czakó L, Rutka M, Szűcs M, Milassin Á, Molnár T, Szepes Z. [Cost-effectiveness trial of self-expandable metal stents and plastic biliary stents in malignant biliary obstruction]. *Orv Hetil. 2016 Feb;157(7):268-74. Hungarian.* IF: 0.349 (Medicine Q4)

LIST OF FULL PAPERS NOT RELATED TO THE SUBJECT OF THE THESIS

- I. Bor R, Farkas K, Bálint A, Szűcs M, Ábrahám Sz, Baradnay G, Wittmann T, Szepes Z, Nagy F, Molnár T. [Efficacy of tumor necrosis factor-alpha inhibitors in fistulising perianal Crohn's disease]. Orv Hetil. 2013 Dec;154(49):1943-8. Hungarian.IF: (Medicine Q4)
- II. Farkas K, Lakatos PL, Nagy F, Szepes Z, Miheller P, Papp M, Palatka K, Bálint A, Bor R, Wittmann T, Molnár T: Predictors of relapse in patients with ulcerative colitis in remission after one-year of infliximab therapy. *Scand. J. Gastroenterol.*, 2013;48(12):1394–8. IF: 2.329 (Gastroenterology Q2)
- III. Bor R, Fabian A, Farkas K, Balint A, Tiszlavicz L, Wittmann T, Nagy F, Molnar T, Szepes Z.
 [The role of endoscopic ultrasonography in the diagnosis of rectal cancers]. Orv. Hetil., 2013
 Aug, 154(34):1337–44. Hungarian.IF: (Medicine Q4)
- IV. Farkas K, Bálint A, Valkusz Zs, Szepes Z, Nagy F, Szűcs M, Bor R, Wittmann T, Molnár T. Bolus administration of steroid therapy is more favorable than the conventional use in preventing decrease of bone density and the increase of body fat percentage in patients with inflammatory bowel disease. J Crohns Colitis. 2014 Sep;8(9):992-7. IF: 6.234 (Gastroenterology Q1)

- V. Bor R, Farkas K, Bálint A, Wittmann T, Nagy F, Tiszlavicz L, Molnár T, Szepes Z. [Autoimmune pancreatitis in a patient with ulcerative colitis simulating a pancreatic tumor]. Orv Hetil. 2014 Jun;155(25):1000-4. Hungarian. IF: - (Medicine Q4)
- VI. Farkas K, Bálint A, Bor R, Földesi I, Szűcs M, Nagy F, Szepes Z, Annaházi A, Róka R, Molnár T. Faecal matrix metalloprotease-9 is a more sensitive marker for diagnosing pouchitis than faecal calprotectin: results from a pilot study. *Expert Rev Gastroenterol Hepatol. 2015* Mar;9(3):387-92. IF: 1.9 (Gastroenterology Q2)
- VII. Bor R, Farkas K, Bálint A, Molnár T, Nagy F, Valkusz Zs, Sepp K, Tiszlavicz L, Hamar S, Szepes Z. [Endoscopic ultrasound-guided ethanol ablation: an alternative option for the treatment of pancreatic insulinoma]. Orv Hetil. 2014 Oct;155(41):1647-51. Hungarian. IF: (Medicine Q4)
- VIII. Bor R, Farkas K, Szepes Z, Bálint A, Nagy F, Wittmann T, Szűcs M, Baradnay G, Ábrahám Sz, Molnár T. Efficacy of Combined Anti-TNF-alpha and Surgical Therapy in Perianal and Enterocutaneous Fistulising Crohn's Disease Clinical Observations from a Tertiary Eastern European Centre. *Scand. J. Gastroenterol.* 2015 Feb;50(2):182-7. IF: 2.199 (Gastroenterology Q2)
 - IX. Bor R, Balanyi Z, Farkas K, Bálint A, Rutka M, Szűcs M, Milassin Á, Szepes Z, Nagy F, Molnár T. [Comparison of symptoms, laboratory parameters and illness perception in patients with irritable bowel syndrome and inflammatory bowel disease]. Orv Hetil. 2015 Jun;156(23):933-8. Hungarian.IF: 0.291 (Medicine Q4)
 - X. Farkas K, Saródi Z, Bálint A, Földesi I, Tiszlavicz L, Szűcs M, Nyári T, Tajti J, Nagy F, Szepes Z, Bor R, Annaházi A, Róka R, Molnár T. The diagnostic value of a new fecal marker, matrix metalloprotease-9, in different types of inflammatory bowel diseases. *J Crohns Colitis. 2015 Mar;9(3):231-7.* IF: 6.585 (Gastroenterology Q1)
 - XI. Farkas K, Rutka M, Bálint A, Nagy F, Bor R, Milassin Á, Szepes Z, Molnár T. Efficacy of the new infliximab biosimilar CT-P13 induction therapy in Crohn's disease and ulcerative colitis experiences from a single center. *Expert Opin Biol Ther.* 2015;15(9):1257-62. IF: 3.438 (Clinical Biochemistry Q1)
- XII. Rutka M, Milassin Á, Szepes Z, Szűcs M, Nyári T, Bálint A, Bor R, Molnár T, Farkas K. Is mucosal healing more common than clinical remission in ulcerative colitis? Is it the truth or only a myth coming from the studies? *Scand J Gastroenterol. 2015 Aug;50(8):985-90.* IF: 2,199 (Gastroenterology Q2)
- XIII. Bor R, Madácsy L, Fábián A, Szepes A, Szepes Z. Endoscopic retrograde pancreatography: When should we do it? World J Gastrointest Endosc. 2015 Aug;7(11):1023-31.IF: -
- XIV. Bálint A, Farkas K, Palatka K, Lakner L, Miheller P, Rácz I, Hegede G, Vincze Á, Horváth G, Szabó A, Nagy F, Szepes Z, Gábor Z, Zsigmond F, Zsóri Á, Juhász M, Csontos Á, Szűcs M, Bor R, Milassin Á, Rutka M, Molnár T. Efficacy and Safety of Adalimumab in Ulcerative

Colitis Refractory to Conventional Therapy in Routine Clinical Practice. *J Crohns Colitis.* 2016 *Jan;10(1):26-30.* IF: 5.813 (Gastroenterology Q1)

- XV. Fábián A, Bor R, Farkas K, Bálint A, Milassin Á, Rutka M, Tiszlavicz L, Wittmann T, Nagy F, Molnár T, Szepes Z. Rectal Tumour Staging with Endorectal Ultrasound: Is There Any Difference between Western and Eastern European Countries? *Gastroenterol Res Pract.* 2016;2016:8631381. IF: 1.863 (Gastroenterology Q2)
- XVI. Rutka M, Bálint A, Farkas K, Palatka K, Lakner L, Miheller P, Rácz I, Hegede G, Vincze Á, Horváth G, Szabó A, Nagy F, Szepes Z, Gábor Z, Zsigmond F, Zsóri Á, Juhász M, Csontos Á, Szűcs M, Bor R, Milassin Á, Molnár T. [Long-term adalimumab therapy in ulcerative colitis in clinical practice: result of the Hungarian multicenter prospective study]. Orv Hetil. 2016 May 1;157(18):706-11. Hungarian.IF: 0.349 (Medicine Q4)
- XVII. Rutka M, Bor R, Bálint A, Fábián A, Milassin Á, Nagy F, Szepes Z, Szűcs M, Tiszlavicz L, Farkas K, Molnár T. Diagnostic Accuracy of Five Different Fecal Markers for the Detection of Precancerous and Cancerous Lesions of the Colorectum. *Mediators Inflamm. 2016;* 2016:2492081.IF: 3.232 (Immunology Q2)
- XVIII. Farkas K, Rutka M, Golovics PA, Végh Z, Lovász BD, Nyári T, Gecse KB, Kolar M, Bortlik M, Duricova D, Machkova N, Hruba V, Lukas M, Mitrova K, Malickova K, Bálint A, Nagy F, Bor R, Milassin Á, Szepes Z, Palatka K, Lakatos PL, Lukas M, Molnár T. Efficacy of Infliximab Biosimilar CT-P13 Induction Therapy on Mucosal Healing in Ulcerative Colitis. J Crohns Colitis. 2016 Nov; 10(11):1273-1278. IF: 5.813 (Gastroenterology Q1)
 - XIX. Bor R, Farkas K, Bálint A, Szűcs M, Ábrahám S, Milassin Á, Rutka M, Nagy F, Milassin P, Szepes Z, Molnár T. Prospective Comparison of Magnetic Resonance Imaging, Transrectal and Transperineal Sonography, and Surgical Findings in Complicated Perianal Crohn Disease. J Ultrasound Med. 2016 Nov;35(11):2367-2372. IF: 1.547 (Medicine Q2)
 - XX. Fábián A, Bor R, Bálint A, Farkas K, Milassin Á, Rutka M, Tiszlavicz L, Nagy F, Molnár T, Szepes Z. [Neoadjuvant treatment as a limiting factor to rectal ultrasonography]. Orv Hetil. 2016 Jul;157(30):1193-7. Hungarian.IF: 0.349 (Medicine Q4)
 - XXI. Gönczi L, Vegh Z, Golovics PA, Rutka M, Gecse KB, Bor R, Farkas K, Szamosi T, Bene L, Gasztonyi B, Kristóf T, Lakatos L, Miheller P, Palatka K, Papp M, Patai Á, Salamon Á, Tóth GT, Vincze Á, Biro E, Lovasz BD, Kurti Z, Szepes Z, Molnár T, Lakatos PL. Prediction of Short- and Medium-term Efficacy of Biosimilar Infliximab Therapy. Do Trough Levels and Antidrug Antibody Levels or Clinical And Biochemical Markers Play the More Important Role? *J Crohns Colitis. 2017 Jun 1;11(6):697-705.* IF: 5.813 (Gastroenterology Q1)
- XXII. Bor R, Fábián A, Szepes Z. Role of ultrasound in colorectal diseases. World J Gastroenterol. 2016 Nov 21;22(43):9477-9487. IF: 3.365 (Gastroenterology Q1)
- Bálint A, Berényi A, Farkas K, Pallagi Kunstár É, Altorjay Á, Csonka A, Krizsán M, Szűcs M,
 Pál A, Fábián A, Bor R, Milassin Á, Szulcsán Á, Mariann R, Szepes Z, Molnár T. Pregnancy

does not affect fecal calprotectin concentration in healthy women. *Turk J Gastroenterol.* 2017 *May*;28(3):171-175. IF: 0.932 (Gastroenterology Q3)

- XXIV. Bor R, Farkas K, Fábián A, Bálint A, Milassin Á, Rutka M, Matuz M, Nagy F, Szepes Z, Molnár T. Clinical role, optimal timing and frequency of serum infliximab and anti-infliximab antibody level measurements in patients with inflammatory bowel disease. *PLoS One. 2017 Mar* 31;12(3):e0172916. IF: 2.806 (Medicine Q1)
- XXV. Bálint A, Rutka M, Végh Z, Kürti Z, Gecse KB, Banai J, Bene L, Gasztonyi B, Kristóf T, Lakatos L, Miheller P, Palatka K, Patai Á, Salamon Á, Szamosi T, Szepes Z, Tóth GT, Vincze Á, Bor R, Milassin Á, Fábián A, Nagy F, Kolar M, Bortlik M, Duricova D, Hruba V, Lukas M, Mitrova K, Malickova K, Lukas M, Lakatos PL, Molnár T, Farkas K. Frequency and characteristics of infusion reactions during biosimilar infliximab treatment in inflammatory bowel diseases: results from Central European nationwide cohort. *Expert Opin Drug Saf. 2017 Aug;16(8):885-890.* IF: 3.439 (Medicine Q1)
- XXVI. Farkas K, Rutka M, Ferenci T, Nagy F, Bálint A, Bor R, Milassin Á, Fábián A, Szántó K, Végh Z, Kürti Z, Lakatos PL, Szepes Z, Molnár T. Infliximab biosimilar CT-P13 therapy is effective and safe in maintaining remission in Crohn's disease and ulcerative colitis experiences from a single center. *Expert Opin Biol Ther. 2017 Nov;17(11):1325-1332.* IF: 3.684 (Clinical Biochemistry Q1)
- XXVII. Milassin Á, Sejben A, Tiszlavicz L, Reisz Z, Lázár G, Szűcs M, Bor R, Bálint A, Rutka M, Szepes Z, Nagy F, Farkas K, Molnár T. Analysis of risk factors especially different types of plexitis for postoperative relapse in Crohn's disease. World J Gastrointest Surg. 2017 Jul 27;9(7):167-173. IF: (Surgery Q3)
- XXVIII. Rutka M, Molnár T, Bor R, Farkas K, Fábián A, Győrfi M, Bálint A, Milassin Á, Szűcs M, Tiszlavicz L, Nagy F, Szepes Z. [Efficacy of the population-based pilot colorectal screening program. Hungary, Csongrád county, 2015]. Orv Hetil. 2017 Oct;158(42):1658-1667. Hungarian.IF: 0.349 (Medicine Q4)
 - XXIX. Bálint A, Farkas K, Szepes Z, Nagy F, Szűcs M, Tiszlavicz L, Bor R, Milassin Á, Rutka M, Fábián A, Molnár T. How disease extent can be included in the endoscopic activity index of ulcerative colitis: the panMayo score, a promising scoring system. BMC Gastroenterol. 2018 Jan 8;18(1):7.IF: 2.212 (Medicine Q1)
 - XXX. Fábián A, Rutka M, Ferenci T, Bor R, Bálint A, Farkas K, Milassin Á, Szántó K, Lénárt Z, Nagy F, Szepes Z, Molnár T. The Use of Complementary and Alternative Medicine Is Less Frequent in Patients with Inflammatory Bowel Disease Than in Patients with Other Chronic Gastrointestinal Disorders. *Gastroenterol Res Pract. 2018 Apr 3;2018:9137805.* IF: 1.863 (Gastroenterology Q2)
 - XXXI. **Bor R**, Fábián A, Farkas K, Molnár T, Szepes Z. Human mesenchymal stem cell therapy in the management of luminal and perianal fistulizing Crohn's disease review of pathomechanism

and existing clinical data. *Expert Opin Biol Ther. 2018 Jul;18(7):737-745*.IF: 3.585 (Clinical Biochemistry Q1)

- XXXII. Szántó K, Nyári T, Bálint A, Bor R, Milassin Á, Rutka M, Fábián A, Szepes Z, Nagy F, Molnár T, Farkas K. Biological therapy and surgery rates in inflammatory bowel diseases Data analysis of almost 1000 patients from a Hungarian tertiary IBD center. *PLoS One. 2018 Jul 30;13(7):e0200824*.IF: 2.776 (Medicine Q1)
- XXXIII. Bálint A, Rutka M, Kolar M, Bortlik M, Duricova D, Hruba V, Lukas M, Mitrova K, Malickova K, Lukas M, Szepes Z, Nagy F, Palatka K, Lovas S, Végh Z, Kürti Z, Csontos Á, Miheller P, Nyári T, Bor R, Milassin Á, Fábián A, Szántó K, Lakatos PL, Molnár T, Farkas K. Infliximab biosimilar CT-P13 therapy is effective in maintaining endoscopic remission in ulcerative colitis results from multicenter observational cohort. *Expert Opin Biol Ther. 2018 Nov;18(11):1181-1187.* IF: 3.585 (Clinical Biochemistry Q1)
- XXXIV. Szántó K, Szűcs D, Vass N, Várkonyi Á, Bálint A, Bor R, Fábián A, Milassin Á, Rutka M, Szepes Z, Nagy F, Molnár T, Farkas K. [Transfer of care of adolescent inflammatory bowel disease patients without longitudinal transition. Lesson from 10 years experiences]. Orv Hetil. 2018 Nov;159(44):1789-1793. Hungarian. IF: 0.564 (Medicine Q4)
- XXXV. Bor R, Fábián A, Matuz M, Szepes Z, Farkas K, Miheller P, Szamosi T, Vincze Á, Rutka M, Szántó K, Bálint A, Nagy F, Milassin Á, Tóth T, Zsigmond F, Bajor J, Müllner K, Lakner L, Papp M, Salamon Á, Horváth G, Sarang K, Schäfer E, Sarlós P, Palatka K, Molnár T. Real-life efficacy of vedolizumab on endoscopic healing in inflammatory bowel disease A nationwide Hungarian cohort study. *Expert Opin Biol Ther. 2020 Feb;20(2):205-213*.IF: 3.224 (Clinical Biochemistry Q1)
- XXXVI. Rutka M, Bor R, Molnár T, Farkas K, Fábián A, Győrffy M, Bálint A, Milassin Á, Sz Cs M, Tiszlavicz L, Nagy F, Szepes Z. Efficacy of a population-based colorectal cancer screening pilot. *Turk J Med Sci. 2020 Mar 10;50(4):756-763.* IF: 0.717 (Medicine Q3)
- XXXVII. Fábián A, Bor R, Gede N, Bacsur P, Pécsi D, Hegyi P, Tóth B, Szakács Z, Vincze Á, Ruzsics I, Rakonczay Z Jr, Erőss B, Sepp R, Szepes Z. Double Stenting for Malignant Biliary and Duodenal Obstruction: A Systematic Review and Meta-Analysis. *Clin Transl Gastroenterol.* 2020 Apr;11(4):e00161.IF: 4.803* (Gastroenterology Q1)

Number of full publications:	41
Cumulative impact factor:	96.754

LIST OF ABBREVIATIONS

- AIP: autoimmune pancreatitis
- CA19-9: carcinoma antigen 19-9
- CBD: common bile duct
- CP: chronic pancreatitis
- CT: computed tomography
- EUS: endoscopic ultrasound
- EUS-FNA: endoscopic ultrasound-guided fine needle aspiration
- ERCP: endoscopic retrograde cholangiopancreatography
- ESGE: European Society of Gastrointestinal Endoscopy
- IPAS: intrapancreatic accessory spleen
- IPM: intrapancreatic metastasis
- IPMN: intraductal papillary mucinous neoplasm
- GI: gastrointestinal
- HCC: hepatocellular carcinoma
- HE: hematoxylin-eosin
- LN: lymph node
- MCAC: mucinous cystadenocarcinoma
- MCN: mucinous cystic neoplasm
- MPD: main pancreatic duct
- MRI: magnetic resonance imaging
- NET: neuroendocrine tumors
- NPV: negative predictive value
- PDAC: pancreatic ductal adenocarcinoma
- PS: plastic stents
- PSCC: pancreatic squamous cell carcinoma
- ROSE: rapid on-site evaluation
- SEMS: self-expandable metal stent
- SP: slow-pull technique
- PPV: positive predictive value
- SR: strain ratio
- SS: standard suction technique
- TEF: tracheoesophageal fistulas

1. INTRODUCTION

Upper gastrointestinal (GI) and pancreatobiliary cancers, including those of the esophagus, stomach, extrahepatic biliary tracts, and pancreas, are one of the leading causes of cancer-related morbidity and mortality worldwide. Endoscopy is the gold standard for the diagnosis of these malignancies; however, conventional gastroscopy is suitable only for examination of the mucosal surface of GI tract, and it is often inadequate for detecting small lesions. In recent decades, there have been rapid advances in the GI endoscopic technique which led to the emergence of new diagnostic and therapeutic modalities such as endoscopic retrograde cholangiopancreatography (ERCP) and endoscopic ultrasound (EUS). ERCP is an invasive procedure that provides radiological visualization of the detailed structure and the pathological changes of the biliary tree and pancreatic ducts by injection of contrast agent into the common bile duct (CBD) and the main pancreatic duct (MPD). EUS is a minimally invasive procedure combining endoscopy with ultrasound, which allows ultrasound transducer to get close to the organs inside the body. As a result, higher ultrasound frequency is applicable, which improves the spatial resolution at the expense of depth of ultrasound waves' penetration. Although its role is still inevitable in the staging of esophageal, stomach, pancreatic and rectal tumors, in the recent years its therapeutic significance has come to the fore. At the same time, ERCP has also transformed from a diagnostic method to an almost exclusively therapeutic procedure. Endoscopic interventions represent a less invasive therapeutic option for patients compared to surgery because it is less burdensome, does not need general anesthesia and could reduce hospital time. It is especially favorable for elderly and for patients in poor clinical condition; furthermore, it does not require the delay of initiation of oncologic treatment as opposed to surgery. Although international guidelines attempt to precisely define the indication of these innovative advanced endoscopic modalities, there are still several open questions.

Despite the increasing use of EUS-guided fine needle aspiration (EUS-FNA), there are no evidence-based recommendations about the detailed technique and processing of smears, therefore, they vary substantially across medical centers. The optimal sampling technique is expected to produce samples of satisfactory quality with high cellularity and low blood contamination. The high number of smears is one of major limitations of EUS-FNA, because it increases costs and the length of pathological evaluation. These quality features are influenced by the needle and suction characteristics, as well as by vascularity and stiffness of the tumor. The suction force for sampling can be generated by multiple different ways. The slow pull out of stylet during the sampling generates a small suction/capillary force (stylet capillary/slow-pull technique; SP). In contrast, greater suction/vacuum is created attaching a 5 or 10 mL syringe to the hub of the needle after quick removal of the stylet (standard suction; SS). It is assumed that the aspiration of cells of hard, fibrotic cancers requires greater suction power, however in hypervascularized cancers the same suction force could result in increased bloodiness of smears which interferes with pathological evaluation. EUS elastography could help in determination of tissues stiffness, and based on this, in the differentiation between benign and malignant lesions, however, in

many medical centers elastography is not available, so the choice of sampling technique could only be based on the characteristics of the target organ. Pancreatic adenocarcinomas are typically hard lesions due to prominent desmoplastic stromal reactions. The benign lymph nodes are predominantly soft, green structures, the appearance of blue areas is suggestive for malignancy. Besides the stiffness, the vascularity of the normal parenchyma of an organ influences the bloodiness of EUS-FNA samples. Liver is a typically hypervascularized organ. The technical guideline of European Society of Gastrointestinal Endoscopy (ESGE) recommends continuous suction for EUS-FNA of pancreatic solid masses and cystic lesions. Recently published comparison studies are questioning this, although their results are contradictory. Some studies suggest that the quality of smears obtained by SP technique is better compared with SS, but others disprove this. Numerous prospective studies with contradictory results have assessed the technical aspects of pancreatic EUS-FNA sampling. On the other hand, mainly retrospective studies have been published about the detailed sampling methods of cancers of other organs, and in these cases, only lower quality evidence-based recommendations are available.

Esophageal cancers and pancreatobiliary malignancies are often diagnosed at a locally advanced or metastatic stage, when curative resection is no longer feasible, and the therapeutic options are largely limited to the oncological treatment and palliation of symptoms. Self-expandable metal stent (SEMS) placement is the most common mean of palliation of dysphagia caused by esophageal cancers, and it could be effective for the palliation of malignant tracheoesophageal fistulas (TEF). This minimally invasive endoscopic procedure could rapidly improve the symptoms of patients, but in 30-50% of the cases minor or major complications occur with the return of dysphagia. The early recognition and management of complications substantially influences the efficacy of therapy. Endoscopic treatment is firstly recommended due to its minimal invasiveness and low burden of patients. In contrast to the high technical and functional success rate of the first stent implantation, endoscopic management of SEMS complications represents a real challenge also for experienced gastroenterologists.

In approximately 70% of pancreatic cancers, some degree of biliary obstruction has occurred at the time of diagnosis, regardless of stage, and such obstruction is frequently associated with decreased length of survival. ERCP with placement of plastic stents (PSs) or SEMSs is the first-choice procedure for the palliation of malignant obstruction of the infrahilar CBD. In the traditional approach, the choice of stent depends on the patient's clinical condition and the disease stage. The most important advantages of PSs over SEMSs are the easier insertion and favorable upfront cost; nevertheless, PSs need to be replaced every 3 to 4 months to prevent or manage the complications, such as occlusion and migration. Longer stent patency of SEMSs might compensate for its substantially higher cost. In the guidelines published in 2012, the ESGE recommended the use of 10-Fr PSs if the diagnosis of malignancy is not established or if the patient's life expectancy is less than 4 months. In contrast, the newer guidelines, published in 2017, highlight the priority of SEMS usage, regardless of cancer stage. Nonetheless, the use of PSs has not yet substantially decreased due to their costs. Cost effectiveness of stenting with SEMS and PS has still not been fully clarified in the daily clinical practice.

2. AIMS

2.1. Comparison of diagnostic yield of EUS-FNA samples obtained by SP and SS techniques

- To prospectively compare the diagnostic yield of EUS-FNA samples obtained by SP and SS in patients with suspected malignant pancreatic lesions based on the number of diagnostic smear pairs, bloodiness, and cellularity.
- To prospectively compare the efficacy of SP and SS techniques of EUS-FNA in the sampling of pancreatic and extrapancreatic tumors.

2.2. Assessment of efficacy, safety, and cost-effectiveness of endoscopic SEMS placement for palliation of upper gastrointestinal malignancies

- To retrospectively evaluate the success and complication rate of esophageal stent implantation and to determine the frequency and efficacy of repeated endoscopic interventions related to SEMS complications.
- To retrospectively compare the therapeutic efficacy and cost effectiveness of SEMS and PS in the treatment of primary malignant biliary obstruction in real-life settings.

3. PATIENTS AND METHODS

3.1. Prospective comparison of diagnostic yield of EUS-FNA samples obtained by SP and SS techniques

3.1.1. Technical aspects of EUS-FNAs

At least one puncture was performed using both SS and SP techniques with the same needle during approximately 7-10 back-and-forth movements done in fanning manner under continuous ultrasound control. In case of SP, suction force is generated by the slow pull out of stylet during the back-and-forth movements of the needle. In case of SS technique, 5 ml syringe was attached to the hub of the needle after quick removal of the stylet to create greater suction force compared to SP. The puncture was considered technically successful if the suction force was strong enough for mobilizing any grossly or microscopically identifiable cellular material from the target organ regardless of their diagnostic value. The obtained material from the needle was pushed on the slides with the reinsertion of the stylet. The coherent tissue species were removed from the glass slides and placed in 10% buffered formalin, smears were made from the remaining specimen and fixed in 96% methanol for at least 10 minutes.

3.1.2. Pathological assessment

Pathological diagnosis was based on a combination of cytological and histological diagnosis. The formalin-fixed and paraffin-embedded tissues were processed using the standard protocol for endoscopic biopsies. All cytological smears were stained by hematoxylin-eosin; immunocytochemistry was performed only in selected cases on smears with high cellularity. The bloodiness and cellularity of smears was assessed in semiquantitative manners on a scale from 0 to 3.

3.1.3. Diagnostic yield of EUS-FNA samples obtained by SP and SS in patients with suspected malignant pancreatic lesions

92 patients were enrolled who underwent EUS-FNA sampling due to suspected pancreatic cancer. The inclusion criteria were the following: 1) previously identified solid pancreatic lesions by cross-section imaging modalities which were suspicious for malignancy; 2) unresectable disease due to local invasion, dissemination to distant organs, severe comorbidity or poor general condition of the patient, or cases when the patient did not consent to surgery; 3) the cytological or histological verification of pancreatic cancer was necessary for the selection of adequate further therapy. The efficiency and diagnostic value of EUS-FNA sampling was determined based on the classification of Papanicolaou Society. The sampling was considered diagnostic if it clearly confirmed the presence of non-neoplastic (Papanicolaou II category) or neoplastic pancreatic lesion (Papanicolaou IV, and VI categories), or when the cytopathologist had a high degree of certainty of the presence of carcinoma in clinically unequivocally malignant-appearing tumors (Papanicolaou V category).

3.1.4. Comparison of efficacy of SP and SS techniques of EUS-FNA in the sampling of pancreatic and extrapancreatic tumors

The inclusion criteria of patients were (i) suspected malignant solid pancreatic or extrapancreatic lesions identified by cross-section imaging modalities, and (ii) cytological or histological verification of lesions necessary for the selection of adequate further therapy. Criteria of exclusion from the analysis were major protocol deviation: (i) use of 25G, 19G or ProCore needles, (ii) application of only one of the two techniques or (iii) other sampling technique.

The quality assessment of EUS-FNA sampling was based on the number of obtained smear pairs, the proportion of diagnostic smear pairs, as well as on the bloodiness and cellularity of specimens. Diagnostic accuracy was determined by comparing the EUS-FNA diagnosis with the final diagnosis. On the one hand, the final diagnosis was based on the pathological assessment of samples obtained by surgical resection, autopsy, or repeated sampling with another sampling modality. On the other hand, it was determined after more than 6-months of follow-up: malignancy was confirmed by the tumor progression or metastasis formation, while the lesion was considered to be benign if clinical course did not reveal any deterioration.

3.1.5. Ethical approval and consent to participate

The study protocols were approved by the Regional and Institutional Human Medical Biological Research Ethics Committee of the University of Szeged (clinical trial registration number: 3679 SZTE). The study was carried out under the declaration of Helsinki.

3.2. Assessment of efficacy, safety, and cost-effectiveness of endoscopic SEMS placement for the palliation of upper gastrointestinal malignancies

3.2.1. Success and complication rate of esophageal stent implantation and determination of frequency and efficacy of repeated endoscopic interventions related to SEMS complications

212 patients with malignant esophageal obstruction or TEF who underwent SEMS implantation between 2007 and 2014 were retrospectively enrolled to our study. The inclusion criteria were: 1) malignant esophageal obstruction or TEF confirmed by endoscopy and/or barium swallow/meal examination; 2) pathologically diagnosed esophageal malignancy; 3) unresectable tumor with advanced stage or poor surgical candidates; 4) onco-team recommended oncological treatment and/or palliative esophageal SEMS implantation.

Various types of esophageal stents were used. The diameter of the applied stents' body was 18 or 20 mm, and the material of stents and their coating were identical in products made by the same manufacturers (polytetrafluoroethylene, silicone, polyurethane, etc.; nitinol, steel, etc.). The pattern (weave, braided, knits, etc.) and shape of stents varied widely depending on the altered anatomical situations. The selection of the optimal stent depended on the disease location and length of obstruction. In case of tumors of the upper third of the esophagus and the cardia, specific SEMS were inserted (cardia umbrella stent, anti-reflux valve, anti-migration property, etc.) which could reduce the risk of foreign body sensation in the pharynx and the severity of gastroesophageal reflux. The choice between partially and fully covered stents was individualized, depending on the risk of restenosis and migration: fully covered SEMS was preferred in case of a long and significant stenosis, in contrast to partially covered stents, which were applied in cases with higher migration risk. The stent was at least 2 cm longer than the endoscopically measured length of stenosis was too tight to allow the passage of the stent delivery system and the endoscopic visualization of the distal part of esophagus, endoscopic balloon or bougie dilation was performed first.

The efficacy of SEMS implantation was characterized by technical and functional success rate. The intervention was considered technically successful if the stent was opened correctly in the proper position confirmed by X-ray and endoscopy, and functionally successful if the oral feeding of the patients became possible 24 hours after the intervention. Minor complications were defined as mild-to moderate events related to stent implantation which could be treated conservatively without the need for hospitalization (gastroesophageal reflux, emesis, retrosternal pain, mild dysphagia, etc.). All patients received opiate pain killers, and in case of distal obstruction prophylactically proton pump inhibitor therapy with or without prokinetic drugs to avoid the reflux. Major complications were defined as severe, often life-threatening complications, which required repeated hospitalization and endoscopic interventions (stent migration or obstruction, aspiration pneumonia, arrhythmia, hematemesis, etc.).

3.2.2. Comparison of therapeutic efficacy and cost effectiveness of SEMS and PS in the treatment of primary malignant biliary obstruction in real-life settings.

We gathered retrospective data about every patient with unresectable primary pancreatobiliary malignancy who underwent endoscopic stent placement for distal biliary obstruction between 2008 and 2013. In all cases, the malignant biliary stenosis was confirmed by cross-sectional imaging and/or histological examination, and the tumor was unresectable based on the surgeon's opinion. In three cases, endoscopic biliary stenting was required because patients did not agree to surgery of resectable cancers. The exclusion criteria were; (1) surgical resection or biliary bypass performed less than 4 weeks after the first biliary stent implantation; (2) concurrent gastric outlet obstruction and malignant biliary obstruction at the time of stent placement; (3) hilar or intrahepatic malignant biliary obstruction unsuitable for endoscopic treatment.

The efficacy of stent implantation was characterized by technical and functional success rates, duration of stent patency and complication rate of stenting. The intervention was considered technically successful if the stent was placed across the stricture in the proper position, as confirmed by radiography and endoscopy. Functional success of the stent was defined as restoration of bile outflow, detected by endoscopy immediately after drainage, and as more than 30% decrease in serum bilirubin level from baseline within a week after stenting. Duration of stent patency was defined as the period between the stent placement and either functional failure or the patient's death. Functional failure was determined by the return of signs of biliary obstruction: symptoms of cholangitis (icterus, pruritus, abdominal pain, fever) and/or elevation of liver enzymes and/or elevation of serum bilirubin level.

During the economic analysis, we assessed only the costs of medical treatment directly associated with the management of biliary obstruction: the cost of stents (SEMS: 120,000 HUF; PS: 5,500 HUF), repeated interventions (ERCP: 37,855 HUF; percutaneous transhepatic drainage: 79,086 HUF), and hospital stay in correlation with biliary obstruction (cost of hospitalization per a day: 30,000 HUF). Palliative surgical interventions and repeated hospitalizations were required independently from biliary obstruction and endoscopic stenting due to progression of pancreatobiliary malignancy.

3.2.3. Ethical approval and consent to participate

The study protocols were approved by the Regional and Institutional Human Medical Biological Research Ethics Committee of the University of Szeged (ethics approval number: 3680 SZTE). The study was carried out under the declaration of Helsinki.

3.3. Statistical analysis

SPSS software version 22 and 24 (SPSS Inc., Chicago, Illinois, USA), SigmaPlot 12.5 (Systat Software Inc., San Jose, California, USA) and R statistical software version 3.4.2 (R Foundation for Statistical Computing, http://www.R-project.org) was used for the statistical analysis of data. The descriptive statistics were reported as frequencies (N), percentage (%), mean ±SD and median with

ranges. The differences in the quality indicators between the SS and SP group were compared using paired sample t-tests and Mann-Whitney Rank Sum Test. Differences in continuous variables such as survival time and duration of stent patency were assessed with an independent samples t test. Values of p<0.05 were considered significant. We used logistic regression analysis, Fisher's exact test and Chi Squared test to identify the factors that can modify the effectiveness and quality indicators of sampling, the risk of SEMS complications and the cost-effectiveness of stenting.

4. RESULTS

4.1. Comparison of EUS-FNA samples obtained by SP and SS techniques

4.1.1. Diagnostic yield of EUS-FNA samples obtained by SP and SS in patients with suspected malignant pancreatic lesions

92 EUS-FNA samplings of 89 patients were involved. Sampling had to be repeated in three patients due to non-diagnostic smears. There was no significant proportional variance with regard to the patients' gender. Mean age at the time of sampling was 66.1 years (range 27-95; median 69). Lesions were located most frequently in the pancreatic head (N=71; 79.8%). The mean diameter was 31.8 mm (range 7-62; median 30), and in 44 cases (47.2%) cancer antigen 19-9 (CA19-9) was elevated. There was no significant difference between the use of Cook Medical and Olympus EZ Shot 2 needles (47 vs. 55 cases). The mean number of passes for each lesion was 4 (3-7; median 4).

EUS-FNA sampling was diagnostic in 72 cases (78.3%): the presence of neoplasm was confirmed in 69 cases (Papanicolaou IV, V, VI) and chronic pancreatitis in 3 cases (Papanicolaou II). There was no significant difference between the diagnostic yield of SP and SS (65.2% vs. 67.4%), although the technical success rate was higher in the SS group (92.4% vs. 100%), but it was not statistically relevant. Cytological examination of the fluid obtained by flushing the needle with saline confirmed the diagnosis in 31 cases (33.7%), and in one patient the diagnosis was based only on this cytological sample. Histological samples were taken in 60 cases with similar efficiency in the SS and SP groups (50.0% vs. 53.3%). There was no detectable difference between the two groups in the diagnostic yield of histological samples (58.7% vs. 63.2%). The diagnostic yield of EUS-FNA examination was not influenced by the endosonographer, the needle type, tumor size and location.

The average number of smear pairs for one pass was significantly higher in the SS group (3.56; range 1-9.5; median 3.5) compared with the SP one (1.84; range 0-7.5; median 1.5), but it was associated with considerably increased bloodiness (1.50 vs. 2.19; p<0.001). Cellularity did not differ statistically between the groups (1.58 vs. 1.37; p=0.2554). In contrast, the proportion of diagnostic smears obtained with SP was higher (41.8% vs. 30.0%; p=0.003).

In 69 of 72 diagnostic EUS-FNA samplings pathological examination demonstrated neoplastic pancreatic lesions. Ductal adenocarcinoma was the most frequent neoplasm with 64.1% incidence rate. Five low grade and one high grade NETs were identified (6.5%). The latter was proved to be ductal adenocarcinoma by autopsy. On one occasion signet ring cell carcinoma was found. Repeated

histological sampling (5 autopsies, 8 surgical samples, 2 transabdominal core biopsies) confirmed the results of EUS-FNA in 14 cases, and in the rest 55 cases the clinical course affirmed the diagnosis. In a case of chronic pancreatitis based on the FNA results, Whipple procedure was performed due to biliary obstruction. Pathological evaluation of the surgical specimen revealed pancreatic intraepithelial neoplasia 1B besides chronic pancreatitis. In 5 out of 14 non-diagnostic EUS-FNAs (Papanicolaou I) benign disease was detected by further examinations (1 autoimmune and 3 chronic pancreatitis: 1 infection). In the rest 9 cases ductal adenocarcinoma (N=5), biliary duct carcinoma (N=1), IPMN (N=1) and metastatic renal cell carcinoma (N=2) were identified. In the background of atypia benign disorder was found only in one case (autoimmune pancreatitis).

4.1.2. Comparison of efficacy of SP and SS techniques of EUS-FNA in the sampling of pancreatic and extrapancreatic tumors

201 patients were prospectively enrolled and analyzed in the study from which 145 patients were categorized into pancreatic tumors and 56 patients (46 lymph nodes and 10 liver lesions) into extrapancreatic tumors subgroup. The male-female ratio was 85:116. Mean age at time of sampling was 65.13 years (range 19-94; median 67).

The EZ Shot 2 needle was significantly more frequently used compared with Echotip needle (153 vs. 48; p<0.001), and statistically significant proportional variance regarding examiner was observed (169 vs. 32; p<0.001). However, there was no substantial difference between the subgroups in terms of patients' gender and age, the investigator of EUS-FNA and the type of used needle.

An average 3.19 (range 2-7; median 4) punctures were performed during EUS-FNA examinations in which the proportion of the usage of SS and SP techniques was almost equal (1.98 [range 1-4; median 2] vs. 1.94 [range 1-5; median 2]). The overall technical success rate of EUS-FNA was 100%. The diagnostic accuracy independently from sampling technique was 88.28% in pancreatic cancers and 75.00% in the extrapancreatic tumors subgroup with high sensitivity, specificity, and positive predictive value. In 42 cases (20.90%), the diagnosis was based on the pathological evaluation of cytological samples only, however, in the remaining 159 cases (79.10%) appropriate histological and cytological samples were obtained. The final diagnosis was benign only in 24 cases (11.94%). EUS-FNA examination confirmed the presence of malignant disorder in 90.34% of cases in the pancreatic cancer subgroup and in 82.14% of cases in the extrapancreatic cancer subgroup.

The technical success rate of the sampling techniques was equally high in cases of pancreatic cancers and extrapancreatic tumors. In the pancreatic cancers subgroup, no significant difference was observed in terms of diagnostic accuracy, sensitivity and specificity of sampling, as well as the rate and diagnostic value of obtained histological samples. In contrast, in the extrapancreatic tumors subgroup, the SS technique achieved substantially lower diagnostic accuracy, however, the characteristics of obtained histological samples did not differ.

	PANCREATIC (N=145)			EXTRAPANCREATIC (N=56)		
	SLOW-PULL	STANDARD SUCTION	<i>p</i> -value	SLOW-PULL	STANDARD SUCTION	<i>p</i> -value
Technical success rate	134 (92.41%)	142 (97.93%)	0.788	52 (92.86%)	56 (100%)	0.869
Mean number of smears per puncture	1.74±1.09 (0-8; med. 1.5)	3.19±1.82 (0-10; med. 3)	< 0.001	1.62±1.11 (0-6; med. 1)	3.28±1.19 (1-9; med. 2.5)	<0.001
Proportion of diagnostic smears	46.69%	36.33%	0.002	49.17%	30.67%	< 0.001
Histological samples obtained	96 (66.21%)	94 (64.83%)	0.623	44 (78.57%)	45 (80.36%)	0.999
Diagnostic yield of histological samples	73 (76.04%)	73 (77.66%)	0.999	32 (72.72%)	31 (68.89%)	0.999

1. Table: Efficacy of slow-pull and standard suction techniques of EUS-FNA in the sampling of pancreatic and

extrapancreatic cancers

	PANCREATIC (N=145)			EXTRAPANCREATIC (N=56)		
	SLOW-PULL	STANDARD SUCTION	OVERALL	SLOW-PULL	STANDARD SUCTION	OVERALL
Sensitivity	81.06%	83.33%	88.64%	68.10%	54.17%	72.34%
Specificity	84.62%	84.62%	84.62%	88.89%	100%	88.89%
NPV	31.43%	33.33%	42.31%	34.78%	26.67%	38.10%
PPV	98.17%	98.21%	98.32%	96.97%	100%	97.14%
Accuracy	81.38%	83.45%	88.28%	71.41%	60.71%	75.00%

2. Table: No significant difference could be observed in terms of diagnostic accuracy of EUS-FNA sampling using slow-pull and standard suction techniques in pancreatic and extrapancreatic tumors.

The diagnostic accuracy (69.70% vs. 79.41%; p=0.699), the technical success rate (100% vs. 98.24%; p=0.998) and quality of samples obtained by SS technique (cellularity: 1.09 ± 1.10 vs. 1.14 ± 1.10 , p=0.811; bloodiness: 2.00 ± 0.90 vs. 2.07 ± 0.85 , p=0.678; mean number of smear pairs: 3.21 ± 1.78 vs. 3.21 ± 1.95 , p=0.994; proportion of diagnostic smears: 32.45% vs. 35.38%, p=0.666) did not differ significantly between the EUS-FNA examiners. In contrast, substantial examiner-dependency was observed in terms of technical success rate of sampling (81.82% vs. 97.06%; p<0.001) and the quality of smears using SP techniques of FNA (cellularity: 1.03 ± 1.26 vs. 1.48 ± 1.20 , p=0.061; bloodiness: 1.12 ± 0.89 vs. 1.57 ± 0.84 , p=0.011; mean number of smear pairs: 0.98 ± 0.71 vs. 1.85 ± 1.10 , p<0.001; proportion of diagnostic smears: 43.31% vs. 48.00%, p=0.597) without any decrease in diagnostic accuracy (63.64% vs. 78.82%; p=0.099). In cases of pancreatic and extrapancreatic cancers, the subgroup analysis revealed almost similar difference between the EUS-FNA examiners using SP techniques the characteristics of EUS-FNA samples obtained by SP and SS techniques.

The SS technique resulted in significantly higher number of smear pairs for one pass in both pancreatic and extrapancreatic tumors, but at the same time it was associated with considerably increased bloodiness and the proportion of diagnostic smear pairs decreased. The type of suction did not influence the cellularity of smears in the pancreatic cancers subgroup, however in cases of extrapancreatic tumors the increased blood contamination covered the tumor cells, thereby resulted in reduced cellularity.



MEAN NUMBER OF SMEAR **PROPORTION OF DIAGNOSTIC** PAIRS PER PUNCTURES SMEAR PAIRS p<0.001 p<0.001 p<0.001 p=0.002 60% 3.28 49.17% 3.18 3 40% 36.52% 30.67% 2 1.74 1.62 20% 1 0 0% Pancreatio Extrapancreatio Pancreatic Extrapancreatio Slow-pull Standard suction ■ Slow-pull ■ Standard suction

1. Figure: Quality assessment of specimens based on the cellularity and bloodiness of smears.

2. Figure: Quality assessment of sampling based on the mean number of smears pair punctures and the proportion of diagnostic smear pairs.

Mild early complications in correlation with EUS-FNA sampling occurred in five cases (2.49%). In the pancreatic tumor subgroup, four patients (2.76%) had threefold elevation in serum amylase level 24-hours after sampling, which did not cause clinical symptoms in three cases. In one case (1.56%) of an extrapancreatic tumor EUS-FNA, mild peritonitis occurred after liver sampling of a patient with significant ascites. Severe and late complications were not detected.

4.2. Assessment of efficacy, safety, and cost-effectiveness of endoscopic SEMS placement for palliation of upper gastrointestinal malignancies

5.3.1 Success and complication rate of esophageal stent implantation and determination of frequency and efficacy of repeated endoscopic interventions related to SEMS complications

In the 212 enrolled cases 238 SEMS implantations were performed due to malignant esophageal obstructions caused by predominantly primary esophageal tumors (83.49%) or lung cancers (13.68%). In 33 cases TEF was present at the time of SEMS implantation.

The technical success rate of the first SEMS implantations was 99.06%. In two cases the cardia stent spontaneously migrated into the stomach immediately after the implantation and reposition was not feasible. In one case intolerable retrosternal pain and severe dyspnea and in three cases development of severe complications (arrhythmia, pneumonia) hampered the oral feeding of patients, therefore the functional success rate decreased to 97.64%. Procedure related death was 1.26%: two patients died due to malignant supraventricular arrhythmia and aspiration pneumonia less than 24 hours after stent implantation. In total, major and minor complications were observed in 84 of 212 (39.6%) patients. Retrosternal pain (13.68%) and stent migration (6.57%) were the most frequent early complications, and they appeared less than 4 weeks after SEMS implantation. Fatal complications were seen in three cases. One patient died 24 hours after stent implantation due to aspiration pneumonia, respiratory insufficiency, and septic shock. In two cases malignant supraventricular tachycardia occurred with cardiovascular instability immediately after stenting. These patients died despite their admission to intensive care unit and the removal of the stent. After the 4-week follow-up stent obstruction caused by tumor overgrowth or ingrowth (15.09%), migration (10.38%) and new TEF formation (7.08%) were the most common complications. No correlation was found between clinical/procedural factors (gender, age, tumor type, location, necessity of dilation during stenting, coverage of SEMS, and presence of TEF at the time of stenting) and the development of complications.

In 55 cases (25.94%) repeated endoscopic interventions were required. In 16 patients the first reintervention was performed 24-48 hours after stent implantation; it was necessary due to early stent migration (12 cases), supraventricular arrhythmia (2 cases), dyspnea (one case) and intolerable retrosternal pain (one case). In this group, multiple endoscopies were required in every second patient during the follow-up. In case of patients with an uncomplicated early post-implantation period (24-48 hours), 1.98 (range 1-6; median 2) re-interventions were performed per patient from which the first took place at an average of 13.58 weeks (range 1.5-48; median 11) after stenting. Stent re-implantation occurred in 23 cases: 21 patients received two, one patient three and one patient four SEMSs due to stent migration (7 cases), occlusion (10 cases) or new TEF formation (8 cases). Endoscopic removal of the stent due to complications (arrhythmia, retrosternal pain, migration) was unavoidable in 7 cases. In 48 of 55 patients (87.27%) oral feeding was resolved by an endoscopic procedure, in 6 cases transient parenteral or permanent enteral feeding with gastric tube or percutaneous endoscopic gastrostomy (PEG) was feasible.



3. Figure: Repeated endoscopic interventions after esophageal stent implantation.

No statistically significant correlation was found between clinical/procedural factors (gender, age, tumor type, location, necessity of dilation during stenting, coverage and manufacturer of SEMS, and presence of TEF at the time of stenting) and the necessity of repeated endoscopic interventions.

4.2.1. Comparison of therapeutic efficacy and cost effectiveness of SEMS and PS in the treatment of primary malignant biliary obstruction in real-life settings.

Of the 74 patients with primary malignant biliary obstruction, 37 were in the PS subgroup and 37 were in the SEMS subgroup. The male-female ratio was 40:34. Mean age at time of sampling was 67.64 years (range 25-94; median 68). The biliary obstruction was caused by pancreatic cancer in 46 cases (61.62%), by primary biliary duct cancer in 13 cases (17.57%) and by ampullary cancer in 5 cases (6.76%). In the rest 10 cases (13.51%), the bile duct was compressed by metastases of other organs. The clinical characteristics of patients (gender, age) and neoplasms (type of primary tumor, location of obstruction, rate of distant metastasis, and use of chemoradiotherapy) did not differ significantly.

	SEMS	PS	p VALUE
Technical success rate (%)	100	97.29	
Functional success rate (%)	94.59	86.49	
Average stent patency (week)	19.11	8.29	0.0041
Complication rate (%)	37.84	56.76	0.16
Number of hospitalizations	1.18	2.32	0.05
Length of hospitalization (day)	10.89	13.7	0.19
Repeated interventions (patient)	17	27	0.033

3. Table: Comparison of clinical characteristics of SEMS and PS implantation.

The rates of technical success and functional success of SEMSs and PSs were similarly high and independent of stent type. Functional failure occurred in 2 cases in SEMS group (5.41%), while it occurred in 5 cases in PS subgroup (13.51%). Stent-related death was very low (0.014%): only one patient died of cholangiosepsis less than one week after ERCP. The complication rate of SEMS was lower compared with plastic stents, but it was not significantly different. Stent occlusion was the most frequent complication. The mean follow-up time for patients was 23.41 weeks (range 1-86, median 16). Data of 31 patients in the PS and 28 patients in the SEMS subgroup were assessed during the stent patency analysis. The mean time of stent patency were significantly higher in the SEMS.

In the SEMS group the frequency of re-hospitalization of patients in context with stent complications were substantially lower, but the necessity of reintervention for stent dysfunction was higher, in contrast, the length of hospitalization did not differed significantly between the groups. In the plastic stent group, the multiple stent implantation increased the stent patency: the second stent raised it from 7.68 to 10.75 weeks.

In 78.30% of cases the stent complications were manageable endoscopically in both groups: re-ERCP, re-stent implantation or stent replacement were performed. Percutaneous biliary drainage was required in four cases in both subgroups; however, surgical intervention was performed more frequently in the PS subgroup (13 cases vs. 2 cases).

In the SEMS subgroup, uncovered metal stent was inserted in 23 (62.16%), partially covered and covered stent were used in 8 (21.62%) cases and in 5 (13.51%) cases, respectively. In the PS subgroup, an average of 1.32 stent implantation were performed during the first ERCP examination: in 26 cases only one, while in 10 cases two and in one case three PSs were inserted simultaneously. Similarly, an average of 1.38 PS was placed during the repeated endoscopic interventions. Duration of stent patency was increased by implantation of multiple stents in comparison with the use of single stents (10.75 weeks vs. 7.68 weeks, p<0.05).

There was no difference in the total cost of treatment of malignant biliary obstruction between the two groups (SEMS: 499,329 HUF vs. PS: 512,793 HUF, p=0.848; difference per patient: 13,464 HUF). If the patients' survival time was more than two months, the cost-effectiveness of SEMS was better than PSs.

5. DISCUSSION

Our prospective studies simultaneously evaluated the efficiency of EUS-FNA using SP and SS techniques in which the differences between the methods were determined not only on the basis of diagnostic accuracy, but also considered the quality indicators of obtained smears and the acquisition of core tissues. The most important advantage of our two studies is the separate assessment and comparison of the diagnostic accuracy of EUS-FNA in the sampling of organs with different characteristics, but the sampling efficacy of the two techniques was assessed in the same lesions; therefore there was no difference in the tumor characteristics (size, location, vascularity, stiffness) and in the diagnostic process (premedication, endoscope, needle type and diameter, endosonographer, assistant, pathologist, etc.). Although, there are some limitations to our researches. These are single-center cohort studies with

participation of two different investigators, in which relatively small number of cases were enrolled. Not being a randomized controlled trial is another drawback of our study. The study protocol exactly defined the proper execution of SP and SS techniques, but did not determine the order of sampling methods, it was based on the preference of investigator. Furthermore, the pathologists involved in smears evaluation were not blinded to the sampling technique.

Similarly to our results, most of the recently published studies did not find significant difference between the SP and SS techniques in terms of diagnostic accuracy in case of pancreatic cancer, however they suggested that the lower suction force of SP with 22G needle could improve the quality of smears, which is manifested in a higher proportion of tissue microfragments, greater cellularity of smears and lower blood contamination. In contrast, Puri et al. reported significantly higher sensitivity and negative predictive value when suction technique was applied (85.7% vs. 66.7%), but it was also associated with increased number of slides (17.8 ± 7.1 vs. 10.2 ± 5.5 ; p=0.001) and higher blood-contamination. Prospective study of Lee et al. contradicted this, because significantly superior diagnostic accuracy was detected when SP with fanning technique was applied compared with SS (88% vs 71%, p=0.044). Nakai et al. found a difference between SP and SS technique only when 25G needle was used (90% vs. 67%). Efficiency of four types of suction (slow-pull technique, suction techniques with 5-ml/10-ml/20-ml syringes) was assessed in the retrospective study of Chen et al. in which significant differences between the groups was observed in terms of cytological diagnostic accuracy (90.3% vs. 63.2% vs. 58.8% vs. 55.6%, p=0.019), sensitivity (88.2% vs. 41.7% vs. 40.0% vs. 36.4%, p=0.009) and blood contamination (score ≥ 2 for 29.0\% vs. 52.6% vs. 70.6% vs. 72.2%, p=0.003).

Our study also confirmed that the diagnostic accuracy and sensitivity of sampling did not differ significantly in case of pancreatic cancers between the SP and SS techniques, however substantial decrease was observed in extrapancreatic cancers (such as lymph node and liver cancers) when SS technique were used. Although the optimal sampling technique for pancreatic EUS-FNA has been examined in several studies, only few clinical trials dealt with the technical aspects of other organs' sampling. Wallace et al. analyzed the results of 42 EUS-FNAs in patients with lymphadenopathy and concluded that SS technique was associated with an increase in the cellularity, but it worsens the specimen's bloodiness compared with FNA without suction, therefore, it does not improve the likelihood of obtaining a correct diagnosis (OR 1.52: 95% CI [0.81, 2.85]). The randomized controlled trial of Bansal et al. assessed the results of 300 EUS-FNA examinations of 235 lymph nodes and 65 pancreatic masses, and found that significantly more slides and blood clots were generated by the suction method compared with the capillary action and no suction subgroup. The diagnostic accuracy was similarly high in both groups (91%, 91% and 94%, p=0.67) but contrary with our results, the efficiency of FNA sampling of lymph nodes and pancreatic cancers did not differ significantly when SP or SS technique were used.

The EUS-FNA using conventional needles could obtain not only cytological, but also histological specimens. Multiple immunostainings of core tissues are often essential for the accurate diagnosis of nonmalignant lesions and for precise subtyping of uncommon neoplasms or metastases. In our studies, the acquisition of tissue microfragments showed similar proportion in pancreatic and extrapancreatic cancers subgroups using both SP and SS techniques. Our findings correlate with the results of other prospective studies. Kida et al. achieved 83% tissue sampling rate for histology with a diagnostic accuracy of 85%. Despite the significantly higher sampling rate for cytology, they found no significant difference between the diagnostic accuracy of histology and cytology using 22G FNA needle (66% and 75%). Park et al. found that combined analysis is more sensitive than cytology and histology alone (81.8% vs. 69.8% vs. 67.2%; p<0.01). Wang et al. assessed the correlation between suction power of FNA and diagnostic yield of core tissues and concluded that the samples that were obtained for histopathological diagnosis using 5 ml suction were superior to those obtained using SP technique or 10 ml suction. Hucl et al. showed that the average number of passes to obtain sufficient tissue was significantly lower when 22G ProCore needles were used compared with the standard 22G needles $(1.2\pm0.5 \text{ vs. } 2.5\pm0.9; < 0.001)$, but the diagnostic accuracy did not show relevant difference in the two groups. Vanbiervliet et al. confirmed these results, and additionally found that the overall sample quality was significantly better in case of standard 22G needle.

Our retrospective observational study of 212 patients has confirmed that esophageal stent implantation is easy to perform, and a safe and effective treatment in neoplastic esophageal obstruction and malignant TEF. The role of SEMS placement in the palliative treatment of malignant esophageal stenosis is unquestionable because it provides immediate and potentially long-lasting relief of obstructive symptoms. However, previous studies shown that the rate of SEMS-related adverse events is high, and varies between 22-50% depending on the location of the tumor, the presence of a fistula or tumor shelf, concomitant chemo-irradiation, tumor vascularity and the stent design. Stenting of upper esophageal tumors represents a real therapeutic challenge due to pain and globus sensation, an elevated risk of TEF and aspiration pneumonia. In this location the use of a specially designed stent is recommended the proximal end of which keeps a 2-cm distance from the upper esophageal sphincter after stenting. A retrospective study has analyzed clinical data of 104 patients with malignant proximal esophageal stenosis and concluded that SEMS placement is safe and effective, and the complication rate is not elevated compared to stenting in the distal esophagus. The use of newly designed esophageal stents could reduce certain type of complications such as SEMS with antireflux valve or antimigration property, cardia umbrella stents. Results of previous studies have shown better long-term efficacy in case of partially or fully covered stent placement compared to uncovered. The appropriate use of specific designed stents could help to prevent the development of short- and long-term adverse events. In our study fully covered SEMSs were applied most frequently (partially covered 16.39% vs. fully covered 83.11%), and in every cardia or proximal esophageal tumor specially designed stents were inserted. We have noted complications in 84 cases (39.62%) which showed no correlation with tumor type, location, necessity of dilation during stenting, coverage of SEMS and presence of TEF at the time of stenting. The difference between the risk factors of complications in the published data and our study might be caused by the retrospective study design or the relatively small number of adverse events, although it could also suggest that our stent choice was adequate.

Our results revealed that the majority of SEMS complications could be successfully managed by endoscopic interventions (stent re-implantation, dilation of stenosis, stent reposition). Homann et al. analyzed clinical data of 133 patients with unresectable esophageal cancer. They found that delayed complications occurred in 53.4% (71 of 133 patients), these patients were successfully treated by dilatation (24%), placement of a second/third stent (27%), laser therapy (16%), and/or placement of a feeding tube (19%). Patients with repeated endoscopic interventions had a significantly longer life expectancy (222 ± 26 days vs. 86 ± 14 days, p<0.001). Recurrent dysphagia occurred in one-third of patients due to tumor over- or ingrowth via the stent, non-cancerous granulomatous tissue overgrowth or food impaction. In case of stent obstruction, either endoscopic reposition, argon plasma coagulation, exchange for a new stent or the second SEMS implantation could be effective to restore the esophageal patency. Incidence of stent migration ranges from 4 to 36%. This could be asymptomatic or manifest, presenting as chest pain, recurrent dysphagia, or dyspnea. Stent reposition or removal of the stent with a new stent implantation are the effective endoscopic therapeutic options in these cases. In our study in 48 of 55 patients (87.27%) with SEMS-related complications oral feeding was solved by endoscopic interventions (dilation, reposition, re-stent implantation, stent removal).

In most cases TEF develops next to the proximal or distal end of the stent due to the radial force and resulting pressure necrosis. The study performed by Shin et al. highlighted that the SEMS placement is clinically successful in 80% of patients with TEF, but during the follow up, recurrence of fistula was experienced in one third of the cases. We have found that the risk of fistula formation is high in patients with TEF at the time of stenting. In 8 of 11 cases (72.73%) of new TEF formation endoscopic reposition and/or a second SEMS placement solved the oral feeding of patients. Retrosternal pain often occurs after stent implantation, but it is mild to moderate in most of the cases and could be managed with opiate analgesics. The frequency of this minor complication varies widely among different studies from 13% to 60%. Our results correlate with the results of other studies: 29 patients (13.68%) experienced retrosternal pain, but only two of them required endoscopic intervention, stent removal (6.89%).

Our retrospective study comparing efficacy and cost-effectiveness of plastic and metal biliary stents found that the duration of patency of SEMSs was almost twice that of PSs, which is consistent with the results of previously published clinical trials. In a 2016 meta-analysis, Moole et al evaluated the data of 984 patients from four retrospective and seven randomized controlled trials and demonstrated that duration of patency of SEMSs (median, 167.7 days; 95% confidence interval [CI], 159.2 to 176.3) was superior to that of PS (median, 73.3 days; 95% CI, 69.8 to 76.9), and that SEMSs had lower rates

of occlusion (odds ratio [OR], 0.48; 95% CI, 0.34 to 0.67) and reintervention (OR, 1.1; 95% CI, 0.9 to 1.3) than did PSs (OR, 1.7; 95% CI, 1.5 to 1.9). Pooled analysis of randomized controlled trials did not reveal differences between PS and SEMS in overall patient survival (weighted mean difference, 0.67 months; 95% CI, -0.66 to 1.99) or in the 30-day mortality odds ratio (0.80; 95% CI, 0.52 to 1.24), but the rate of symptom-free survival at 6 months was higher (OR, 5.96; 95% CI, 1.71 to 20.81]).

The early clinical trials and meta-analyses suggested that SEMS placement is the right choice for cost-effectiveness considerations only if a patient's life expectancy is more than 4 months. According to the previous ESGE guideline published in 2012, the initial insertion of a 10-Fr PSs was recommended if the diagnosis of malignancy was not established or if expected survival was shorter than 4 months. In contrast, more recent trials have demonstrated that the total cost of PS and SEMS per patient did not differ among patients with short (3-month) survival or metastatic disease despite the fact that SEMS placement was initially more expensive. Furthermore, the general and disease-specific health-related quality of life of patients with inoperable malignant extrahepatic bile duct obstruction was better over time with SEMSs than with PSs. In addition, a German retrospective study of the management of SEMS occlusion did not reveal significant differences in median overall duration of secondary stent patency (88 days for sSEMS, 143 days for PS; p = 0.069), median subsequent intervention rate (53.4% for sSEMS, 40.0% for PS; p = 0.501), or median case costs (5145€ for sSEMS, 3473€ for PS; p = 0.803).

In view of new evidence, the ESGE (in the guideline published in 2017) now recommends SEMS insertion for palliative drainage of malignant extrahepatic biliary obstruction, regardless of the patient's life expectancy. The results of our study confirmed that use of PS is not superior to that of SEMS with regard to the cumulative cost of treatment even in cases of short (≤ 2 month) survival, but the total hospitalization time is longer, and the reintervention rate is higher. The most appropriate SEMS type in the management of malignant distal biliary obstruction is still debated. Meta-analyses have revealed no significant difference between covered and uncovered metal stents with regard to the survival benefit, overall rate of adverse events, rate of stent dysfunction, and duration of primary stent patency during the period from primary stent insertion to primary stent dysfunction or patient death. Some studies, however, have suggested that the covered SEMS is associated with a lower risk of tumor ingrowth but higher risks of tumor overgrowth, sludge formation, stent migration, and post-stenting cholecystitis. In our cohort, the coverage of stent did not influence the technical and functional success rate, stent patency, complication rate, or cost-effectiveness of stenting.

6. CONCLUSION

Our two prospective comparison studies of EUS-FNA techniques revealed that SP is an effective method with an outstanding technical success rate and efficacy compared to SS, furthermore, the low negative pressure suction generated by SP technique yields better quality smears independently from tumor consistency. Cellularity of smears and the rate of acquiring sufficient histological material are

similar with SS; however, lower bloodiness of samples and decreased number of slide pairs may result in faster pathological diagnosis and more cost-effectiveness in case of SP. In addition, the higher negative pressure suction of SS technique reduces the diagnostic accuracy of sampling in extrapancreatic cancers, therefore, we recommend the SP technique as the first method in the EUS-FNA sampling of soft tissues, such as lymph nodes and liver cancers. In case of solid pancreatic cancers, SP may be the optimal first choice which could be supplemented by SS technique in case no histological sample could be obtained or when the macroscopic appearance of the smears suggests their inappropriateness for the diagnosis. However, the examiner-dependency of SP technique should also be taken into account. On the other hand, this can also be a favorable feature, as investigators who gained a certain amount of experience using SP technique could achieve outstanding diagnostic accuracy. However, important advantage of SS technique is that it can compensate the differences between the investigators.

Our retrospective study assessing risk factors of complications and efficacy of repeated endoscopic interventions after esophageal SEMS implantation found that in one quarter of patients we must reckon with development of complications. Despite the simplicity and high success rate of SEMS implantation, the treatment of SEMS-related complications represents the real clinical challenge. Our study has not identified any clinical factors which could help the selection of high-risk patients. Nonetheless, we consider that the individualized stent choice could help to reduce the frequency of adverse events and make repeated endoscopic interventions easier. We recommend endoscopic interventions as the first-line treatment for SEMS-related complications, because in most of the cases they make oral feeding possible. Our recommendations for the stent selection may decrease the burden of patients and could also make the treatment of stent complications more cost effective because they may reduce the frequency of stent-related complications, the number of repeated endoscopic interventions and the necessity of stent re-implantation.

Our retrospective cohort study comparing the cost effectiveness of SEMS and PS placement confirmed that SEMS is a better choice than PS in the management of unresectable primary malignant biliary obstruction not only in terms of effectiveness and longer stent patency but also in terms of cost-effectiveness. Because we found no difference in the cumulative treatment costs of patients, we recommend SEMS implantation regardless of patients' life expectancy. Our results also confirmed that multiple stent implantation and larger stent diameter increased the duration of stent patency and decreased the reintervention rate, in comparison with the use of single 7-Fr stents. Therefore, if SEMS is not available, implantation of multiple PSs is recommended.

ACKNOWLEDGEMENTS

I would like to extend my sincere thanks to all people who have helped and inspired me during my doctoral study.

I would like to extend my deepest gratitude to my supervisor **Dr. Zoltán Szepes** for his support and guidance, as well as has provided me with invaluable insight into advanced endoscopic procedures. In addition, a very special thank goes to **Dr. Béla Vasas** and **Dr. Anna Fábián** whose understanding, encouragement and patience throughout the duration of this project. I appreciate all their contributions of time, ideas, and funding to make my Ph.D. experience productive and stimulating.

I am deeply grateful to **Prof. Dr. Tamás Molnár** and **Dr. Klaudia Farkas** for their assistance, practical suggestions and constructive advices. Furthermore, I would like to recognize the assistance that I received from the members and assistants of colorectal study group, from **Dr. Kata Szántó**, **Dr. Mariann Rutka**, **Dr. Anita Bálint**, **Dr. Ágnes Milassin**, **Tibor Tóth**, **Dr. Tamás Resál**, **Dr. Daniella Pigniczki**, **Csilla Tóth-Káli** and **Gabriella Pócsik**.

I am grateful to **Prof. Dr. Tibor Wittmann**, **Prof. Dr. György Ábrahám** and **Prof. Dr. Csaba Lengyel**, former and current heads of the First Department of Medicine, University of Szeged who gave me the opportunity to work in their Department.

Lastly, I would like to thank **my family** for all their love, never-ending support, endless patience and encouragement. To them I dedicate this thesis.

Társszerzői lemondó nyilatkozat

Co-author certification

Alulírott *Dr. Daróczi Tímea* (felelős társszerző) kijelentem, hogy *Dr. Bor Renáta* (pályázó) PhD értekezésének tézispontjaiban bemutatott - közösen publikált - tudományos eredmények elérésében a pályázónak meghatározó szerepe volt, ezért ezeket a téziseket más a PhD fokozat megszerzését célzó minősítési eljárásban nem használta fel, illetve nem kívánja felhasználni.

2020.09.12. dátum

szerző

A pályázó tézispontjaiban érintett, közösen publikált közlemények:

Daróczi T, Bor R, Fábián A, Szabó E, Farkas K, Bálint A, Czakó L, Rutka M, Szűcs M, Milassin Á, Molnár T, Szepes Z. [Cost-effectiveness trial of self-expandable metal stents and plastic biliary stents in malignant biliary obstruction]. Orv Hetil. 2016 Feb;157(7):268-74. Hungarian.