

# **Craniofacial Characteristics of Syrian and Hungarian Adolescents with Class II Division 1 Malocclusion**

**PhD Thesis**



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# 1 List of publications

## Publications providing the basis of the thesis:

**I- Al Ayoubi, Alaa** ✉; Dalla Torre, Daniel; Madléna, Melinda ✉

Craniofacial characteristics of Syrian adolescents with Class II division 1 malocclusion: a retrospective study. PeerJ 8 Paper: e9545, 18 p. (2020).

**Q1**

**IF = 2.379**

**Citations: 2 (Independent Citations: 1)**

**II- Al Ayoubi, Alaa** ✉; Khandan Dezfully, Alireza; Madléna, Melinda ✉

Dentoskeletal and tooth-size differences between Syrian and Hungarian adolescents with Class II division 1 malocclusion: a retrospective study. BMC Research Notes 13: 1 Paper: 270, 7 p. (2020).

**Q2**

**Citations: 3 (Independent Citations: 2)**

**III- Al Ayoubi, Alaa** ✉; Madléna, Melinda ✉

Upper airway characteristics in young individuals with Class II division 1 malocclusion: A retrospective inter-ethnic cephalometric comparison. (2021). **Accepted (In press)**. Journal of Craniofacial Surgery.

**Q2**

**IF = 0.953**

**$\Sigma$  IF = 3.332**

## **Publication on another topic:**

1- Roland Wirth, Gergely Maróti, Lídia Lipták, Mónika Mester, **Alaa Al Ayoubi**, Bernadett Pap, Melinda Madléna, Janos Minarovits, Kornél L. Kovács ✉

Microbiomes in supragingival biofilms and saliva of adolescents with gingivitis and gingival health. (2021). **In review**. Oral Diseases.

(Q1

**IF = 2.613)**

**Cumulative IF = 3.332 (+ 2.613 = 5.945)**

## **Citable abstract directly related to the subject of the thesis:**

1- **Al Ayoubi, Alaa** ✉; Khandan Dezfully, Alireza; Madléna, Melinda. Dentofacial Differences between Syrian and Hungarian Adolescents with Class-II/1 Malocclusion. J Dent Res. 2019;98: 0384. [www.iadr.org](http://www.iadr.org). <https://iadr.abstractarchives.com/abstract/ced-iadr2019-3198648/dentofacial-differences-between-syrian-and-hungarian-adolescents-with-class-ii1-malocclusion>

## **Lectures directly related to the subject of the thesis:**

1- **Al Ayoubi, Alaa** ✉; Khandan Dezfully, Alireza; Madléna, Melinda. Dentofacial Differences between Syrian and Hungarian Adolescents with Class-II/1 Malocclusion. CED-IADR/NOF. Sept 19-21, 2019. Madrid.

2- **Al Ayoubi, Alaa** ✉; Khandan Dezfully, Alireza; Madléna, Melinda. Comparison of Dentofacial Characteristics between Syrian and Hungarian Adolescents with Class II division 1 malocclusion. 8th Symposium of Hungarian Society of Orthodontics and Pediatric Dentistry. Nov 7-8, 2019. Siklós.

3- **Al Ayoubi, Alaa** ✉; Khandan Dezfully, Alireza; Madléna, Melinda. Assessment of dentofacial characteristics in Middle Eastern (Syrian) young orthodontic patients with angle class II division 1 malocclusion. Symposium: "Research in oral cavity – from basic science to clinical use" organized within the framework of conference EFOP-3.6.2-16-2017-00006. Nov 22-23, 2018. Budapest.

4- **Al Ayoubi, Alaa** ✉; Khandan Dezfully, Alireza; Madléna, Melinda. Assessment of Dentofacial Characteristics in Middle Eastern (Syrian) Young Orthodontic Patients with Angle Class II Division 1 Malocclusion. 22nd Congress of the Hungarian Association for Cranio-Maxillo-Facial Surgery and Scientific Meeting of Dentists. Sept 27-29, 2018. Szeged.

## 2 Introduction

In orthodontics, it is essential to understand the complex relationship between skeletal, dental, and soft tissue aberrations in each malocclusion to achieve an accurate diagnosis followed by an optimal treatment plan.

Class II division 1 (Class II/1) malocclusion has been suggested as one of the most frequent pathologies that orthodontists may encounter in their practice. According to epidemiologic studies conducted among different populations, the prevalence of this malocclusion ranges from 12% to 40% worldwide (Table 1) (1–21).

**Table 1:** Distribution of Class II/1 malocclusion in different populations.

Series	Population	Size (n)	Age (y)	Class II/1 (%)
Bilgic, Gelgor & Celebi, 2015 (1)	Turkish	2329	12-16	40.0
Onyeaso, 2004 (2)	Nigerian	636	12-17	12.3
Borzabadi-Farahani, Borzabadi-Farahani & Eslamipour, 2009 (3)	Iranian	502	11-14	24.1
Thilander et al., 2001 (4)	Colombian	4724	5-17	14.9
Lew, Foong & Loh, 1993 (5)	Chinese	1050	12-14	18.8
Foster & Walpole Day, 1974 (6)	British	1000	11-12	27.2
Perillo et al., 2009 (7)	Italian	703**	12.2*	33.7
Albakri, Ingle & Assery, 2018 (8)	Saudi	500†	12-15	17.4
Tausche, Luck & Harzer, 2004 (9)	German	1975	6-8	31.4
Silva & Kang, 2001 (10)	Latin American	507	12-18	20.3
Saleh, 1999 (11)	Lebanese	851	9-15	16.9
Massler & Frankel, 1951 (12)	American	2728	14-18	16.68
Shyagali et al., 2019 (13)	Indian	171	12-16	21.05
Bugaighis & Karanth, 2013 (14)	Libyan	343	12-17	21.9
Nadim, Aslam & Rizwan, 2014 (15)	Pakistani	718	12-15	17.8
El-Mangoury & Mostafa, 1990 (16)	Egyptian	501	18-24	16.17
Thilander & Myrberg, 1973 (17)	Swedish	6398	7-13	13.23***
Singh & Sharma, 2014 (18)	Nepalese	2010	12-15	29.35
de Souza et al., 2016 (19)	Brazilian	1014	7-11	21
Gábris, Márton & Madléna, 2006 (20)	Hungarian	483	16-18	25.9
Alatrach, Saleh & Osman, 2014 (21)	Syrian	200	8-13	16

\*Mean age.

\*\*Patients with subdivision Class II/1 malocclusion were included.

\*\*\*Calculated percentage based on the study results.

†Male sample only.

Numerous studies have investigated the cephalometric and tooth-size characteristics of patients with Class II/1 malocclusion using various measurements either on cephalometric radiographs or orthodontic casts. However, the significance of these studies is limited by multiple factors, including the conflicting results; some cephalometric studies have reported that a retrognathic mandible is the key factor contributing to Class II/1 malocclusion with a normally positioned maxilla (22–26). In contrast, other studies have indicated that maxillary protrusion is the most common feature of Class II/1 malocclusion with a neutral mandibular position (27,28). Finally, some researchers have described the malpositioning of both the maxilla and mandible in this condition (29–31). Likewise, inconsistent results have been reported regarding the relationship between Class II/1 malocclusion and upper airway dimensions, where some investigators suggested Class II/1 malocclusion may have an influence on the upper airway dimensions (32–34) while other authors did not find significant correlation between upper airway dimensions and Class II/1 malocclusion (35–37). Further, a number of tooth-size studies have investigated Class II/1 malocclusion; their findings were also contradictory, with some results reporting significant differences in tooth-size ratios between Class II/1 malocclusion and Class I malocclusion or normal occlusion (38–40) and others indicating no significant differences in this regard (41–43).

Besides the conflicting results, the value of previous studies on Class II/1 malocclusion is limited due to the following additional factors:

- First, the influence of skeletal age variations on the reliability of the results has been neglected since samples in most previous studies on Class II/1 malocclusion were grouped according to chronological age (22,23,25,26,29–31,44–51) or dental age (27,52,53).
- Second, while most investigations selected the patients with Class II/1 malocclusion on the basis of dental relationships (molar and/or incisor relationships) (25,27–31,44,46,47,50–52), the participants might have had either a Class I or Class II skeletal pattern; studies on both skeletal and dental Class II/1 malocclusions are lacking.
- Third, the craniofacial morphology of individuals with Class II/1 malocclusion has been analyzed during childhood (45,46,50,52), adulthood (24) or by including subjects across a wide age range, from early childhood to adulthood (23,25,29,49), whilst the age at which the vast majority of orthodontic patients seek treatment is the

adolescence, when all treatment options and techniques are still available.

- Fourth, cephalometric and tooth-size measurements in orthodontic investigations have not been the focus of research in recent decades, only one study has included these measurements in the same investigation (54). This combination could provide a comprehensive diagnosis for the examined malocclusion and could help to understand the complex relationship between its components.
- Finally, It is also important to consider that most of the previous studies on Class II/1 malocclusion were performed on radiographs or casts of Caucasians or without mention of the ethnicity of the investigated subjects, ignoring the fact that ethnicity is an important influencing factor in Class II malocclusion (30,45).

It is well established that Class II/1 malocclusion can be caused by a broad range of factors (22–31,46–49,51–53). However, conflicting results, possibly due to ethnic variations, have not allowed the general characterization of this malocclusion (30,44,45,50). Likewise, ethnic differences have been observed in tooth-size ratios and upper airway morphology (55–60). Ethnic differences in cephalometric and tooth-size characteristics have been documented in several studies (54–56,61–69), but only few studies have investigated these differences with respect to Class II/1 malocclusion (30,44,45,50). This malocclusion is one of the most frequently seen orthodontic anomalies in Syrian and Hungarian populations with prevalences of 16% and 23.5% in Syrian and Hungarian adolescents, respectively (20,21).

Because of recent wars and conflicts, such as the Syrian conflict, ethnic diversity is increasing worldwide. During the last few years, Syrian refugees and immigrants have occupied the foreground of migration statistics, particularly in Europe (70). Data show that the population of Syrian immigrants reached 8.2 million in 2019 and are considered to be among the fastest-growing populations of ethnic minorities (71,72). This inflow of Syrian immigrants and refugees into Europe and in many areas worldwide demonstrates the need for updated studies to discover the craniofacial characteristics of these new immigrants. Former studies on Syrian populations aimed to establish cephalometric and tooth-size norms (73,74). Limited data, however, are available for the characteristics of Syrian adolescents with Class II/1 malocclusion.

Such a view of recent trends in migration, especially from the Middle East toward Europe,

also underscores the need for updated comparisons of cephalometric and tooth-size characteristics between different ethnic groups (75,76). Although some research has considered some cephalometric and tooth-size norms of Syrian populations (73,74), or some cephalometric and tooth-size characteristics of Hungarian populations (77,78), no study has compared the cephalometric and tooth-size characteristics between Syrian and European (Hungarian) adolescents. This comparison would help orthodontists to optimize their treatment planning, considering the ethnic differences between Syrian and Hungarian orthodontic patients regarding their craniofacial and dental characteristics.

Over the past few decades, much attention has been directed toward investigating individuals with sleep-disordered breathing, including obstructive sleep apnea (OSA) (79). To this end, scholars have focused on estimating the underlying relationship between craniofacial structures and pharyngeal morphology in orthodontic populations to anticipate the occurrence of OSA and to enhance treatment effectiveness for OSA patients (80).

Class II/1 subjects with small pharyngeal airways tend to have features typical for OSA patients (79,81,82), including a short and retruded mandible (34,83–86), an anteroposterior discrepancy between the jaws (84,87–89), and a hyperdivergent growth pattern (85,88,90). However, the relative contributions of skeletofacial and upper airway abnormalities may vary between ethnic groups. Inter-ethnic studies provide a good opportunity to identify the role of ethnicity in upper airway morphological variations. However, existing investigations that performed direct inter-ethnic comparisons are somewhat limited in number and are restricted to studies comparing Caucasian subjects with subjects of some ethnicities, particularly Asians (91), Polynesians (92), Hispanics (93), and African-Americans (94). Moreover, several other issues have limited the significance of previous inter-ethnic studies, because, on one hand, the absence of well-matched groups for skeletal age, sex, craniofacial skeletal pattern, and craniocervical angulation. On the other hand, most of these inter-ethnic investigations included only subjects with OSA and have not been able to characterize the full spectrum of variations among ethnic groups (91–93).

Class II/1 malocclusion is noted in a significant proportion of the population in clinical orthodontic practice, presenting a considerable number of features associated with OSA, and these features may vary among ethnicities. However, currently there is limited information

available on upper airway differences between Middle Eastern (Syrian) and European (Hungarian) adolescents with Class II/1 malocclusion.

### **3 Objectives**

- The first objective of this thesis is to elucidate the cephalometric and tooth-size characteristics of Syrian adolescents with skeletal and dental Class II/1 malocclusion and compare the acquired data with those of Syrian population norms.
- The second objective of this thesis is to compare the dentoskeletal and tooth-size characteristics between Middle Eastern (Syrian) and European (Hungarian) adolescents with skeletal and dental Class II/1 malocclusion.
- The third objective of this thesis is to compare the upper airway structures between Middle Eastern (Syrian) and European (Hungarian) adolescents with skeletal and dental Class II/1 malocclusion, and to investigate a number of skeletal and soft tissue parameters that would be associated with the upper airway depths in each ethnic group.

## 4 Materials and Methods

### 4.1 Subjects and sample size determination

All procedures of the retrospective investigations presented in this thesis were approved by the Human Investigation Review Board at the University of Szeged (151/2018-SZTE) and the investigations were designed in accordance with the Declaration of Helsinki. Written informed consent to participate in these studies was provided by the participants' parent or legal guardian or next of kin.

All sample size calculations were performed on the basis of a significance level of alpha of 0.05 (two-sided) with a power of 80% using G\*Power software version 3.1.9.4 (Heinrich Heine University Düsseldorf, Germany) (95,96), or according to Hulley et al., (2013) (97).

In order to calculate the required sample size to elucidate the characteristics of Syrian adolescents with Class II/1 malocclusion and compare the acquired data with those of Syrian population norms, effect sizes were estimated from previous similar dentofacial, upper-airway, and tooth-size studies (24,32,55). The effect size of the Pog-Np distance was estimated at 7.8 ( $\pm$  8.1) mm (24), the effect size of the upper-pharyngeal width was estimated at 3.1 ( $\pm$  2.6) mm (32), and the effect size of the upper first molar's width was estimated at 0.2 ( $\pm$  0.2) mm (55). The sample size was calculated to detect the standardized effect sizes of 0.96 (7.8/8.1 mm), 1.19 (3.1/2.6 mm), and 1 (0.2/0.2 mm) for dentofacial, upper airway, and tooth-size comparisons, respectively. Sample size calculations when considering these three types of comparisons showed that 17 patients of each sex were necessary for inclusion in the Class II/1 Syrian group (97), in order to elucidate the characteristics of Syrian adolescents with Class II/1 malocclusion and compare with those of Syrian population norms.

Considering the dentoskeletal and tooth-size inter-ethnic comparisons between Syrian and Hungarian adolescents with Class II/1 malocclusion, sample-size planning assumed that a bilateral t-test for independent variables was to be used for assessment. According to the previous similar dentoskeletal and tooth-size studies (55,98), effect sizes were estimated from the SNGoMe angle 5.80 degrees ( $\pm$  5.78 degrees) (98), and the upper central-incisor width 0.54 ( $\pm$  0.52) mm (55), respectively. The required sample size was calculated to detect

standardized effect sizes of 1.00 (5.80/5.78 degrees) and 1.03 (0.54/0.52 mm) for dentoskeletal and tooth-size comparisons, respectively. Sample size calculation showed that 17 patients were required for each sex subgroup in each ethnic group (97).

For the upper airway inter-ethnic comparison between Syrian and Hungarian adolescents with Class II/1 malocclusion, sample-size planning was performed using G\*Power software version 3.1.9.4 (Heinrich Heine University Düsseldorf, Germany) (95,96), under the assumption that a bilateral t-test for independent variables was to be used for assessment. The effect size of 1.07 (3.47/3.23 mm) was estimated from a previous similar upper airway inter-ethnic study (99), based on the posterior airway space (PAS) measurement (99), and the sample size calculation showed that 15 patients were required for each sex in each ethnic group (95,96). To investigate the correlations between upper airway depths and skeletofacial morphology in each ethnic group, sample-size planning assumed that a correlation coefficient test was to be used for assessment. The effect size of 0.488 was estimated from a previous similar correlation study (100), based on the correlation between uvula length and the minimal posterior airway space between the uvula and the posterior pharyngeal wall (PAS-UP) in the group with mandibular retrognathism (100), and sample size calculation showed that 30 patients were required for each ethnic group (95,96).

As a consequence of the sample size planning, lateral cephalograms and dental casts of 86 untreated patients with skeletal and dental Class II/1 malocclusion were included in the investigations providing the basis of this thesis. The total sample consisted of two groups based on ethnicity: Group 1 (n = 43) was composed of Syrian patients selected from a private orthodontic office in Damascus, Syria, and group 2 (n = 43) was composed of Hungarian patients selected from the Department of Orthodontics and Pediatric Dentistry, Faculty of Dentistry, University of Szeged, Hungary, including 24 females and 19 males in each ethnic group. Each patient in group 1 was matched with a patient in group 2 by sex and skeletal age. In order to eradicate the bias caused by growth variations, skeletal age was assessed on lateral cephalograms according to the method developed by Baccetti et al. (2002) (101). Since a number of studies have recognized the effect of craniocervical angulation on the pharyngeal airway dimensions (102–105), and since the craniocervical angulation would vary even if the same protocol was used to obtain the cephalograms for each patient in both examined groups (106), the two groups were also compared to ensure compatible craniocervical angulation

prior to upper airway comparison (OPT/NSL,  $P > 0.05$ ). Age and sex distributions of the samples that were included in the investigations providing the basis of this thesis are summarized in Table 2.

**Table 2. The demographic characteristics of the samples included in the investigations providing the basis of this thesis.**

	Syrians			Hungarians			Total
	Mean age $\pm$ S.D (y)	Age range (y)	Sample size (n)	Mean age $\pm$ S.D (y)	Age range (y)	Sample size (n)	
<b>Males</b>	14.1 $\pm$ 1.7	11.7 - 17.3	19	14.0 $\pm$ 2.0	11.4 - 17.6	19	38
<b>Females</b>	14.6 $\pm$ 1.4	11.9 - 17.1	24	14.6 $\pm$ 1.8	11.3 - 17.7	24	48
<b>Total</b>			43			43	86

The criteria for inclusion were as follows:

- Age of between 12 and 17 years
- Syrian origin for Syrian group and Hungarian origin for Hungarian group
- Overjet of larger than 4 mm
- Absence of upper incisor retroclination (1U/NA angle  $\geq 22$  degrees)
- Permanent dentition
- Bilateral distal occlusion (half-unit or greater)
- ANB angle greater than 4 degrees with a convex facial profile
- Absence of extractions or interproximal caries/restorations or any other condition that affected the mesio-distal diameter of the teeth

The criteria for exclusion were as follows:

- Patients with craniofacial syndromes
- Patients with a history of trauma
- Patients with previous orthodontic treatment
- Patients with cephalograms in which a swallowing action or obvious hyperplasia of tonsils and adenoids was detected.

When cephalometric and tooth-size characteristics of Syrian adolescents with Class II/1 malocclusion were compared with Syrian population norms, the Syrian normative

cephalometric and tooth-size measurements were obtained from two previous studies used as sources of Syrian normative measurements (73,74). Age and sex distributions in the Syrian normative studies' samples used as sources of Syrian normative measurements are shown in Supplementary Table 1.

## **4.2 Cephalometric analyses and measurements**

Pretreatment lateral cephalograms were obtained for each patient in both ethnic groups using the same protocol: head in the natural position and the teeth in maximal intercuspation. A dental radiograph system (PAX 400; Vatech Co., Hawseong, Korea) was used to acquire cephalograms for Class II/1 Syrian Samples. The same X-ray machine was used to acquire cephalograms for the Syrian normative cephalometric sample using the same protocol (73). Another dental radiograph system (Gendex (GXDP-800™); Hatfield, PA, USA) was used to acquire cephalograms for Class II/1 Hungarian Samples. Because the cephalograms for Class II/1 Syrian samples and Class II/1 Hungarian samples were acquired with two different machines, the magnification was corrected to 1:1 using a special orthodontic software program (OnyxCeph3™, Image Instruments GmbH, Chemnitz, Germany). Each cephalogram was digitized, calibrated, and analyzed by one investigator using the above-mentioned special orthodontic software program (OnyxCeph3™).

In order to investigate potential upper airway obstructions in Syrian adolescents with Class II/1 malocclusion, upper-pharyngeal widths were computed for all Class II/1 Syrian subjects whose values were equal to 5 mm or less according to McNamara (1984) (107).

Definitions of all cephalometric landmarks used in this thesis are presented in Supplementary Table 2.

The cephalometric measurements used to compare the cephalometric characteristics between Syrian adolescents with Class II/1 malocclusion and Syrians with normal occlusion were derived from the analyses developed by McNamara (1984) and McNamara et al. (1992) (107,108), since the same analyses were used by the Syrian normative cephalometric study (73). Table 3 shows the definitions of the cephalometric measurements that were used to compare the cephalometric characteristics between Syrian adolescents with Class II/1 malocclusion and Syrians with normal occlusion. These cephalometric measurements were developed by McNamara (1984) and McNamara et al. (1992) (107,108). Figure 1 illustrates

reference landmarks and lines that were used to compare the cephalometric characteristics between Syrian adolescents with Class II/1 malocclusion and Syrians with normal occlusion. These reference landmarks and lines obtained from the analyses developed by McNamara (1984) and McNamara et al. (1992) (107,108).

**Table 3:** Definitions of the cephalometric measurements used to compare between Syrian adolescents with Class II/1 malocclusion and Syrians with normal occlusion.

<b>Skeletal measurements</b>	
<b>Sagittal relations</b>	
1- A-NP	Distance from point A to Nasion perpendicular.
2- SNA	Angle formed by connecting the Sella-Nasion plane to point A.
3- Pog-NP	Distance from point Pog to Nasion perpendicular.
4- Cond-A	Effective Length of Maxilla: Distance from point Condylion to point A.
5- Cond-Gn	Effective Length of Mandible: Distance from point Condylion to point anatomic Gn.
6- Max-Mand	Maxillomandibular difference: Difference between effective maxillary and mandibular lengths.
<b>Vertical relations</b>	
7- ANS-Me	Distance from point ANS (anterior nasal spine) to point Me.
8- MP-FH	Mandibular Plane Angle: Angle between the mandibular plane (Me-Go) and the anatomic Frankfurt plane (P-Or).
9- Facial Axis	Angle formed by the reference lines N-Ba (cranial base) and PTM- constructed Gn (facial axis). The value measured is Ba-PTM-cGn angle - 90 degrees
<b>Dental measurements</b>	
10- 1U-AP	Distance from the most anterior surface of the upper incisor to a vertical line to Frankfurt plane through point A.
11- 1L-APog	Distance from the most anterior surface of the lower incisor to the line A-Pog.
<b>Soft tissues measurements</b>	
12- NLA	Naso-labial angle: Angle formed by the tangent from Subnasale (Sn) to the inferior aspect of the nose and the tangent to the upper lip.
13- UL-NP	Angle formed by the tangent drawn to the upper lip and the Nasion perpendicular.
<b>Airway measurements</b>	
14- UPh	Upper pharyngeal width: The closest distance from the anterior half of the posterior outline of the soft palate to the posterior pharyngeal wall.
15- LPh	Lower pharyngeal width: The closest distance from the intersection of the posterior border of the tongue and the inferior border of the mandible to the posterior pharyngeal wall.

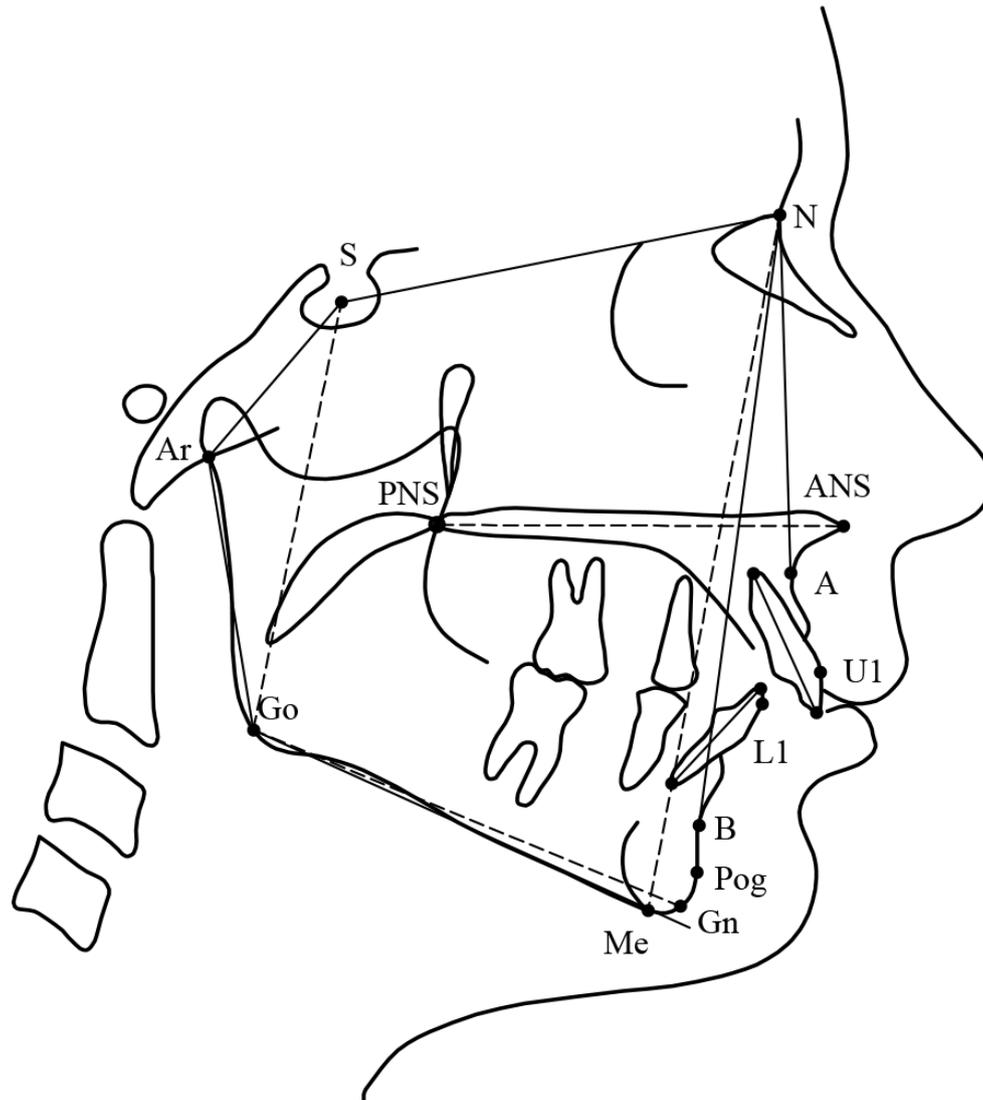


measurements used to compare the dentoskeletal characteristics between Syrian and Hungarian adolescents with Class II/1 malocclusion. Landmarks and reference lines used to compare the dentoskeletal characteristics between Syrian and Hungarian adolescents with Class II/1 malocclusion are shown in Figure 2.

**Table 4.** Definitions of the cephalometric measurements used to compare the dentoskeletal characteristics between Syrian and Hungarian adolescents with Class II/1 malocclusion.

<b>Skeletal measurements</b>	
<b>Sagittal relations</b>	
1- SNA	Angle formed by connecting the S-N plane to A point.
2- SNB	Angle formed by connecting the S-N plane to B point.
3- ANB	Angle formed by subtracting SNB angle from SNA angle.
4- ANS-PNS	Distance between ANS point (anterior nasal spine) and PNS point (posterior nasal spine) determining the length of the maxilla.
5- Go-Gn	Distance from Gn point (Gnathion) to Go point (Gonion) determining the length of the mandible.
<b>Vertical relations</b>	
6- ArGoMe (Gonial angle)	Angle between the horizontal and the vertical part of the lower jaw, formed by the reference lines Ar-Go and Go-Me.
7- $\sum$ Bjork	Sum of the angles NSAr, SArGo and ArGoMe, formed by the reference lines N-S, S-Ar, Ar-Go and Go-Me.
8- Ar-Go	Distance from Ar point (Artikulare) to Go point determining the length of the mandibular ramus.
9- SNGoMe	Angle formed by the reference lines S-N and Me-Go, determines the inclination of the mandibular plane to the anterior cranial base.
10- S-Go:N-Me	Ratio between anterior facial height (distance from N point to Me point) and posterior facial height (distance from S point to Go point).
<b>Dental measurements</b>	
11- U1/NA	Angle formed by the long axis of the most prominent upper incisor and the line N-A.
12- L1/NB	Angle formed by the long axis of the most prominent lower incisor and the line N-B.
13- U1-NA	Distance from the labial outline of the crown of the most prominent upper incisor to the line N-A.
14- L1-NB	Distance from the labial outline of the crown of the most prominent lower incisor to the line N-B.

**Figure 2.** Landmarks and reference lines used to compare the dentoskeletal characteristics between Syrian and Hungarian adolescents with Class II/1 malocclusion.

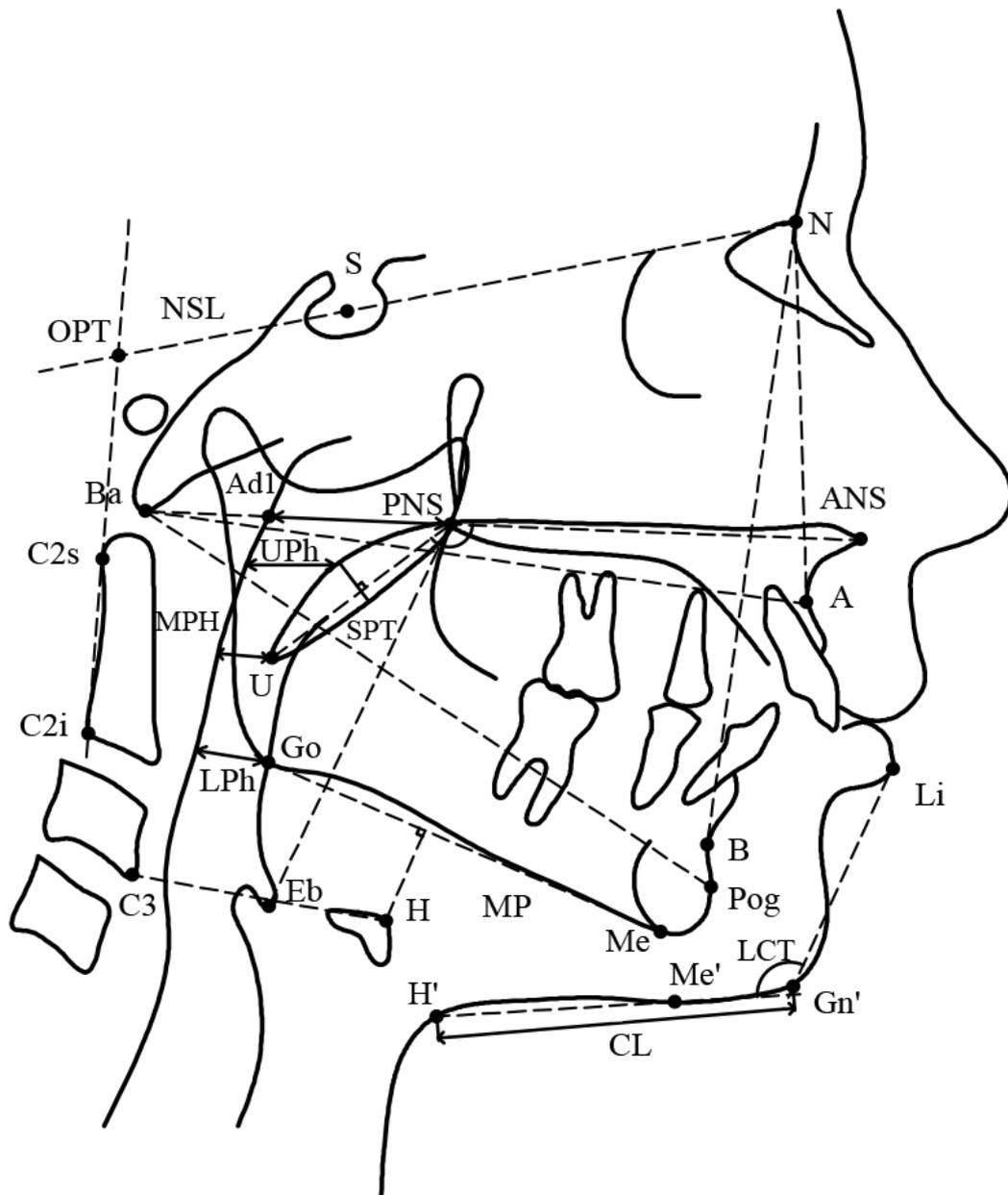


Pharyngeal airway measurements used to compare the upper airway structures between Syrian and Hungarian adolescents with Class II/1 malocclusion were derived from previous upper airway studies (57,58,80). Skeletal and soft tissue measurements used to investigate the potential correlations between the skeletofacial morphology and the upper airway depths in each ethnic group were derived from the analyses reported by Hasund (1973) (112), Clark (1998) (113), and Rakosi (1988) (114). Definitions of the cephalometric measurements used in the upper airway inter-ethnic comparison are shown in Table 5. Landmarks, reference lines, and measurements used in the upper airway inter-ethnic comparison are illustrated in Figure 3.

**Table 5.** Definitions of the cephalometric measurements used in the upper airway inter-ethnic comparison.

<b>Cephalometric measurements</b>	<b>Interpretations</b>
<b>Upper airway measurements</b>	
<b>Upper airway depth</b>	
16- PNS-Ad1	Distance between PNS point and Ad1 Point (point of intersection of posterior pharyngeal wall and line PNS-Ba).
17- UPh (Upper pharyngeal width)	The closest distance from the anterior half of the posterior outline of the soft palate to the posterior pharyngeal wall.
18- MPh (Middle pharyngeal width)	Distance between U point (tip of the uvula) and foot point of perpendicular line from point U to posterior pharyngeal wall.
19- LPh (Lower pharyngeal width)	The closest distance from the intersection of the posterior border of the tongue and the inferior border of the mandible to the posterior pharyngeal wall.
<b>Upper airway length</b>	
20- PNS-Eb (Vertical airway length)	Distance between PNS point and Eb point (base of epiglottis).
<b>Soft palate</b>	
21- PNS-U (Soft palate length)	Distance between PNS point and U point.
22- SPT (Soft palate thickness)	Maximum thickness of soft palate measured on line perpendicular to PNS-U line.
23- ANS-PNS-U (Soft palate angle)	Angle formed by the lines ANS-PNS and PNS-U, determines the inclination of the soft palate to the palatal plane.
<b>Hyoid bone position</b>	
24- MPH	Perpendicular distance from hyoid bone (H point) to mandibular plane (Go-Me line).
25- C3H	Distance between hyoid bone (H point) and C3 point.
<b>Soft tissue measurements</b>	
1- CL (Cervical Length Gn'-H')	Distance between the points soft tissue Gnathion (Gn') and neck point (H') representing the length of the neck.
2- LCT (Lip-Chin-Throat Angle)	Angle between a tangent to the lower lip and the chin and the throat line. Formed by the reference lines Li-Gn' and Me'-H'.
<b>Skeletal measurements</b>	
<b>Sagittal relations</b>	
1- SNA	Angle formed by connecting the S-N line to A point.
2- SNB	Angle formed by connecting the S-N line to B point.
3- ANB	Angle formed by subtracting SNB angle from SNA angle.
4- A-Ba	Distance between A point and Ba point, determines the length of the maxilla.
5- Pog-Ba	Distance between Pog point and Ba point, determines the length of the mandible.
<b>Vertical relations</b>	
6- NL/NSL	Angle formed by the lines S-N and ANS-PNS, determines the inclination of the palatal plane to the anterior cranial base.
7- ML/NSL	Angle formed by the lines S-N and Go-Me, determines the inclination of the mandibular plane to the anterior cranial base.
8- NL/ML	Angle formed by the lines ANS-PNS and Go-Me, determines the angle between the palatal plane and the mandibular plane.
<b>Craniocervical inclination</b>	
1- OPT/NSL	Angle formed by the lines S-N and odontoid process tangent (line joining C2s point and C2i point).

**Figure 3.** Landmarks, reference lines and measurements used in the upper airway inter-ethnic comparison.



### **4.3 Orthodontic cast analyses and measurements**

In Syrian and Hungarian adolescents with Class II/1 malocclusion, the mesiodistal crown diameters of all teeth from the right first permanent molar to the left first permanent molar on each cast were measured to the nearest 0.01 mm by one investigator using a universal digital caliper (MIB Messzeuge GMBH, Spangenberg, Germany). The measurements were conducted according to the methods described by Seipel (1946) (115) and Moorrees and Reed (1964) (116). The same method was used in the Syrian normative tooth-size study (74). Bolton's overall ratio (sum of mesiodistal widths of 12 mandibular teeth divided by the sum of mesiodistal widths of 12 maxillary teeth multiplied by 100) (117), and Bolton's anterior ratio (sum of mesiodistal widths of six mandibular anterior teeth divided by the sum of mesiodistal widths of six maxillary anterior teeth multiplied by 100) (117) were calculated and used in the statistical analyses.

In order to investigate the clinical significance of the potential deviations from norms in the tooth-size ratios of Class II/1 Syrian subjects, overall and anterior ratios were computed for all Syrian subjects with Class II/1 malocclusion whose values were outside two SDs from Bolton's norms (117) and were also computed for all Syrian subjects with Class II/1 malocclusion whose values were outside two SDs from Syrian population norms (74).

### **4.4 Method error**

Three approaches were used to assess the method error. First, Dahlberg's formula (118) was used to establish the random errors. Second, paired sample t-tests were used to assess the systematic error. Third, intraclass correlation coefficients were calculated for all variables.

To assess the intra-examiner reliability, 20 randomly selected lateral cephalograms and orthodontic casts were remeasured several weeks later by the same investigator. Both measurements were compared using the above-mentioned three approaches. First, according to Dahlberg's formula (118), the random errors of the cephalometric variables measured on lateral cephalograms did not exceed 0.45 mm or 0.64 degrees for linear and angular variables, respectively. The random errors for tooth-size measurements measured on orthodontic casts did not exceed 0.19 mm, and the anterior and overall Bolton's ratios showed random errors of

0.62 and 0.39, respectively. Second, no systematic error could be found after the use of paired t-tests for all variables ( $P > 0.05$ ). Third, the results of the intraclass correlation coefficients were larger than 0.93. The three approaches indicated excellent repeatability of measurements.

To establish inter-examiner reliability, measurements of 20 randomly selected lateral cephalograms and orthodontic casts were replicated again by another investigator. Both measurements were compared using the above-mentioned three approaches. First, according to Dahlberg's formula (118), the random errors of the cephalometric variables measured on lateral cephalograms did not exceed 0.53 mm or 0.66 degrees for linear and angular variables, respectively. The random errors for tooth-size measurements measured on orthodontic casts did not exceed 0.33 mm, and the anterior and overall Bolton's ratios showed random errors of 0.56 and 0.47, respectively. Second, no systematic error could be found after the use of paired t-tests for the variables ( $P > 0.05$ ). Third, the results of the intraclass correlation coefficients were larger than 0.92. The three approaches indicated excellent repeatability of measurements.

#### **4.5 Statistical analyses**

Statistical analyses were performed using the SPSS software 24.0 (SPSS Inc., Chicago, USA). Descriptive statistics (Mean/Median, Standard Deviation/Interquartile Range and 95% Confidence Intervals) of all variables were calculated. The data within each examined group were checked using the Shapiro–Wilk test to ensure normal distribution prior to using parametric tests. Comparisons between the two ethnic groups regarding the normally distributed variables were performed using t-test for independent variables (two-tailed) or Welch t-test for independent variables (two-tailed) depending on equality of variance according to F-test. Conversely, Mann-Whitney U-test for independent variables (two-tailed) was used to compare the non-normally distributed variables. In order to investigate correlations in each ethnic group, Spearman's correlation coefficient (with a two-tailed test for significance) was used since the assumptions for Pearson correlation coefficient were not fully met. The level of statistical significance was  $P < 0.05$ .

## 5 Results

### 5.1 Cephalometric and tooth-size characteristics of Syrian adolescents with Class II/1 malocclusion (119)

#### 5.1.1 Cephalometric characteristics of Syrian adolescents with Class II/1 malocclusion

Data presenting sex-based and general cephalometric characteristics of Syrian adolescents with Class II/1 malocclusion are summarized in Table 6.

**Table 6: Cephalometric characteristics of Syrian adolescents with Class II/1 malocclusion.**

Cephalometric measurements	Syrian Males with Class II/1 Malocclusion (n = 19)		Syrian Females with Class II/1 Malocclusion (n = 24)		Syrian adolescents with Class II/1 Malocclusion (n = 43)	
	Mean ( $\pm$ S.D)	95% CIs for mean Lower, Upper	Mean ( $\pm$ S.D)	95% CIs for mean Lower, Upper	Mean ( $\pm$ S.D)	95% CIs for mean Lower, Upper
Skeletal measurements						
Sagittal values						
A-NP (mm)	-0.64 ( $\pm$ 2.60)	<b>-1.90, 0.61</b>	0.53 ( $\pm$ 2.00)	<b>-0.31, 1.38</b>	0.01 ( $\pm$ 2.33)	<b>-0.70, 0.73</b>
SNA ( $^{\circ}$ )	79.70 ( $\pm$ 2.72)	<b>78.39, 81.01</b>	81.06 ( $\pm$ 2.50)	<b>80.00, 82.11</b>	80.46 ( $\pm$ 2.66)	<b>79.64, 81.27</b>
Pog-NP (mm)	-10.95 ( $\pm$ 3.85)	<b>-12.81, -9.10</b>	-11.05 ( $\pm$ 5.34)	<b>-13.31, -8.80</b>	-11.01 ( $\pm$ 4.69)	<b>-12.45, -9.57</b>
Cond-A (mm)	85.84 ( $\pm$ 5.31)	<b>83.28, 88.39</b>	85.25 ( $\pm$ 3.79)	<b>83.65, 86.85</b>	85.51 ( $\pm$ 4.48)	<b>84.13, 86.89</b>
Cond-Gn (mm)	108.47 ( $\pm$ 5.81)	<b>105.67, 111.27</b>	107.59 ( $\pm$ 5.43)	<b>105.30, 109.89</b>	107.98 ( $\pm$ 5.55)	<b>106.27, 109.69</b>
Max-Mand (mm)	22.64 ( $\pm$ 4.26)	<b>20.59, 24.69</b>	22.34 ( $\pm$ 4.59)	<b>20.41, 24.28</b>	22.47 ( $\pm$ 4.39)	<b>21.12, 23.82</b>
Vertical values						
ANS-Me (mm)	68.65 ( $\pm$ 5.41)	<b>66.04, 71.26</b>	68.01 ( $\pm$ 4.92)	<b>65.94, 70.09</b>	68.30 ( $\pm$ 5.09)	<b>66.73, 69.86</b>
MP-FH ( $^{\circ}$ )	28.70 ( $\pm$ 5.15)	<b>26.21, 31.18</b>	30.61 ( $\pm$ 5.94)	<b>28.11, 33.12</b>	29.77 ( $\pm$ 5.62)	<b>28.04, 31.50</b>
Facial Axis ( $^{\circ}$ )	-6.35 ( $\pm$ 5.05)	<b>-8.78, -3.91</b>	-6.18 ( $\pm$ 4.24)	<b>-7.97, -4.38</b>	-6.25 ( $\pm$ 4.56)	<b>-7.65, -4.85</b>
Dental measurements						
IU-AP (mm)	5.66 ( $\pm$ 2.07)	<b>4.66, 6.65</b>	6.03 ( $\pm$ 2.06)	<b>5.16, 6.90</b>	5.86 ( $\pm$ 2.05)	<b>5.23, 6.49</b>
IL-APog (mm)	4.33 ( $\pm$ 1.92)	<b>3.40, 5.25</b>	5.27 ( $\pm$ 1.79)	<b>4.51, 6.02</b>	4.85 ( $\pm$ 1.89)	<b>4.27, 5.43</b>
Soft tissue measurements						
NLA ( $^{\circ}$ )	104.78 ( $\pm$ 6.32)	<b>101.73, 107.82</b>	103.47 ( $\pm$ 8.27)	<b>99.98, 106.96</b>	104.05 ( $\pm$ 7.42)	<b>101.77, 106.33</b>
UL-NP ( $^{\circ}$ )	9.16 ( $\pm$ 7.51)	<b>5.54, 12.78</b>	12.54 ( $\pm$ 7.49)	<b>9.38, 15.70</b>	11.05 ( $\pm$ 7.60)	<b>8.71, 13.39</b>
Airway measurements						
UPh (mm)	10.57 ( $\pm$ 3.17)	<b>9.04, 12.10</b>	12.24 ( $\pm$ 3.00)	<b>10.97, 13.51</b>	11.50 ( $\pm$ 3.15)	<b>10.53, 12.47</b>
LPh (mm)	10.44 ( $\pm$ 2.48)	<b>9.25, 11.63</b>	11.41 ( $\pm$ 3.19)	<b>10.06, 12.75</b>	10.98 ( $\pm$ 2.90)	<b>10.09, 11.87</b>

CI=confidence intervals, S.D=standard deviation.

Bold values indicate lower and upper bounds of 95% confidence intervals for mean.

Results regarding the sagittal position of the maxilla were as follows: The linear variable (A-NP) showed a value of 0.01 ( $\pm$ 2.33) mm [mean ( $\pm$ standard deviation)]. The angular variable (SNA) showed a value of 80.46 ( $\pm$ 2.66) degrees. The sagittal mandibular position was

determined by one linear variable (Pog-NP) with a value of  $-11.01 (\pm 4.69)$  mm. The effective length of the maxilla (Cond-A) was  $85.51 (\pm 4.48)$  mm. The effective length of the mandible (Cond-Gn) was  $107.98 (\pm 5.55)$  mm. The difference between the maxillary length and the mandibular length (Max-Mand) was  $22.47 (\pm 4.39)$  mm.

Results in the vertical plane were as follows: First, lower anterior facial height (ANS-Me) showed a value of  $68.30 (\pm 5.09)$  mm. Second, mandibular plane angle (MP-FH) showed a value of  $29.77 (\pm 5.62)$  degrees. Third, facial axis (facial axis) showed a value of  $-6.25 (\pm 4.56)$  degrees.

Results regarding the incisors position were as follows: First, maxillary incisors position (1U-AP) showed a value of  $5.86 (\pm 2.05)$  mm. Second, mandibular incisors position (1L-APog) showed a value of  $4.85 (\pm 1.89)$  mm.

Soft tissue measurements showed an obtuse nasolabial angle (NLA) of  $104.05 (\pm 7.42)$  degrees. Further, the value of the upper-lip angle (UL-NP) was  $11.05 (\pm 7.60)$  degrees.

Regarding upper-airway dimensions, upper and lower-pharyngeal widths (UPh, LPh) were assessed. The upper-pharyngeal width (UPh) was  $11.50 (\pm 3.15)$  mm. The lower-pharyngeal width (LPh) was  $10.98 (\pm 2.90)$  mm. However, there was no prevalence of upper-pharyngeal obstructions (upper-pharyngeal width  $\leq 5$  mm) in Syrian subjects with Class II/1 malocclusion.

### 5.1.2 Tooth-size characteristics of Syrian adolescents with Class II/1 malocclusion

Data presenting sex-based and general tooth-size characteristics of Syrian adolescents with Class II/1 malocclusion are summarized in Table 7.

**Table 7.** Tooth-size ratios of Syrian adolescents with Class II/1 malocclusion.

Tooth-size ratios	Syrian Males with Class II/1 Malocclusion (n = 19)		Syrian Females with Class II/1 Malocclusion (n = 24)		Syrian adolescents with Class II/1 Malocclusion (n = 43)	
	Mean ( $\pm$ S.D)	95% CIs for means Lower, Upper	Mean ( $\pm$ S.D)	95% CIs for means Lower, Upper	Mean ( $\pm$ S.D)	95% CIs for means Lower, Upper
Anterior ratio (%)	80.55 ( $\pm 2.95$ )	<b>79.12, 81.97</b>	80.81 ( $\pm 2.60$ )	<b>79.71, 81.91</b>	80.69 ( $\pm 2.73$ )	<b>79.85, 81.53</b>
Overall ratio (%)	92.74 ( $\pm 1.80$ )	<b>91.87, 93.61</b>	92.92 ( $\pm 1.65$ )	<b>92.23, 93.62</b>	92.84 ( $\pm 1.70$ )	<b>92.32, 93.37</b>

CI=confidence intervals, S.D=standard deviation.

**Bold values indicate lower and upper bounds of 95% confidence intervals for mean.**

Bolton tooth-size analysis revealed that the anterior ratio was 80.69 ( $\pm 2.73$ ) percent, while the overall ratio was 92.84 ( $\pm 1.70$ ) percent.

Table 8 displays the frequencies of tooth-size discrepancies among Class II/1 Syrian subjects outside two SDs from Bolton's norms and the frequencies of tooth-size discrepancies of Class II/1 Syrian subjects outside two SDs from Syrian population norms.

**Table 8.** Frequencies of tooth-size discrepancies among Class II/1 Syrian subjects outside two SDs from Bolton's norms and frequencies of tooth-size discrepancies among Class II/1 Syrian subjects outside two SDs from Syrian population norms.

	Anterior ratio			
	Outside 2 SD from Bolton's norm (%)		Outside 2 SD from Syrian's norm (%)	
	<73.9	>80.5	<74.63	>83.35
Class II/1 Syrian subjects (n = 43)	0	39.54	0	25.58
	Overall Ratio			
	Outside 2 SD from Bolton's norm (%)		Outside 2 SD from Syrian's norm (%)	
	<87.5	>95.1	<88.14	>96.38
Class II/1 Syrian subjects (n = 43)	0	6.98	0	0

The percentage of Class II/1 Syrian patients who had anterior ratios greater than two SDs from Bolton's norm [77.2 ( $\pm 1.65$ ) percent] (117) was 39.5%, whereas the percentage of Class II/1 Syrian patients who had anterior ratios greater than two SDs from Syrian population norm [78.99 ( $\pm 2.18$ ) percent] (74) was 25.6%. The percentage of Class II/1 Syrian patients who had overall ratios greater than two SDs from Bolton's norm [91.3 ( $\pm 1.91$ ) percent] (117) was 6.98%, whereas none of Class II/1 Syrian patients had overall ratios greater than two SDs from Syrian population norm [92.26 ( $\pm 2.06$ ) percent] (74). Additionally, none of Class II/1 Syrian patients had anterior or overall ratios smaller than two SDs from Bolton's norms (117), and none of Class II/1 Syrian patients had anterior or overall ratios smaller than two SDs from Syrian population norms (74).

## 5.2 Dentoskeletal and tooth-size differences between Syrian and Hungarian adolescents with Class II/1 malocclusion (120)

Results for overall comparisons between the two ethnic groups are presented in Table 9 and Table 10 for dentoskeletal cephalometric comparisons and tooth-size comparisons, respectively.

**Table 9.** Overall comparison of dentoskeletal cephalometric measurements between Syrian and Hungarian adolescents with Class II/1 malocclusion.

	Syrian adolescents with Class II/1 malocclusion (n = 43)	Hungarian adolescents with Class II/1 malocclusion (n = 43)	95% CI of Mean/Median Difference		P-value
			L	U	
<b>Cephalometric measurements</b>					
Skeletal measurements					
Sagittal values					
SNA (°) (Mean ± S.D) $\delta$	80.46 ± 2.66	81.90 ± 3.45	-2.76	-0.12	<b>0.033</b>
SNB (°) (Mean ± S.D) $\delta$	73.94 ± 2.86	75.34 ± 3.49	-2.76	-0.03	<b>0.045</b>
ANB (°) (Median / IQR) †	6.48 / 2.45	6.18 / 1.78	-0.56	0.74	0.704
ANS-PNS (mm) (Median / IQR) †	54.43 / 5.17	54.78 / 5.55	-2.08	1.33	0.749
Go-Gn (mm) (Mean ± S.D) $\delta$	72.46 ± 5.31	69.37 ± 5.03	0.87	5.31	<b>0.007</b>
Vertical values					
ArGoMe (°) (Mean ± S.D) $\delta$	124.76 ± 8.81	119.71 ± 6.44	1.74	8.37	<b>0.003</b>
∑ Bjork (°) (Mean ± S.D) $\delta$	399.34 ± 6.13	393.48 ± 6.40	3.17	8.55	<b>&lt;0.001</b>
Ar-Go (mm) (Median / IQR) †	41.48 / 7.77	41.34 / 5.55	-1.62	2.41	0.650
SN/GoMe (°) (Mean ± S.D) $\delta$	39.34 ± 6.14	33.48 ± 6.40	3.17	8.55	<b>&lt;0.001</b>
S-Go:N-Me (%) (Mean ± S.D) $\delta$	61.07 ± 4.41	65.46 ± 5.11	-6.44	-2.34	<b>&lt;0.001</b>
Dental measurements					
U1/NA (°) (Median / IQR) †	25.96 / 4.61	26.60 / 7.24	-0.75	2.33	0.409
L1/NB (°) (Mean ± S.D) $\delta$	32.76 ± 5.74	28.08 ± 5.67	2.24	7.13	<b>&lt;0.001</b>
U1-NA (mm) (Mean ± S.D) $\delta$	6.05 ± 2.09	5.17 ± 2.20	-0.04	1.80	0.061
L1-NB (mm) (Mean ± S.D) $\delta$	9.06 ± 2.11	6.12 ± 2.06	2.05	3.84	<b>&lt;0.001</b>

$\delta$  *t*-tests for independent variables

† Mann-Whitney *U*-test for independent variables

CI=Confidence interval, S.D=Standard deviation, and IQR=Interquartile range

**Bold values indicate significance of *p* value (*p* < 0.05) (two-tailed)**

**<0.001 refers to very small values (values below 0.000) and it does not refer to another level of significance (this sign <0.001 was used in accordance with journals requirements)**

**Table 10.** Overall comparison of tooth-size ratios between Syrian and Hungarian adolescents with Class II/1 malocclusion.

	Syrian adolescents with Class II/1 malocclusion (n = 43)	Hungarian adolescents with Class II/1 malocclusion (n = 43)	95% CI of Mean/Median Difference		P-value
			L	U	
<b>Tooth-size ratios</b>					
Anterior ratio (%) (Mean ± S.D) $\bar{d}$	80.69 ± 2.73	78.57 ± 2.38	1.03	3.22	<b>&lt;0.001</b>
Overall ratio (%) (Mean ± S.D) $\bar{d}$	92.84 ± 1.70	92.28 ± 2.16	-0.27	1.40	0.180

$\bar{d}$  *t*-tests for independent variables

CI=Confidence interval, S.D=Standard deviation

Bold values indicate significance of *p* value (*p* < 0.05) (two-tailed)

### 5.2.1 Dentoskeletal cephalometric comparisons between Syrian and Hungarian adolescents with Class II/1 malocclusion

Results for sex-based dentoskeletal cephalometric comparisons between the two ethnic groups are presented in Tables 11.

**Table 11.** Sex-based comparison of dentoskeletal cephalometric measurements between Syrian and Hungarian adolescents with Class II/1 malocclusion.

	Males with Class II/1 malocclusion					Females with Class II/1 malocclusion				
	Syrians (n=19) Mean±S.D	Hungarians (n=19) Mean±S.D	95% CI of Mean Difference		P-value	Syrians (n=24) Mean±S.D	Hungarians (n=24) Mean±S.D	95% CI of Mean Difference		P-value
			L	U				L	U	
<b>Cephalometric measurements <math>\bar{d}</math></b>										
Skeletal measurements										
Sagittal values										
SNA (°)	79.70±2.72	83.43±2.79	-5.54	-1.91	<b>&lt;0.001</b>	81.06±2.50	80.69±3.49	-1.40	2.13	0.679
SNB (°)	73.81±2.93	76.73±2.82	-4.81	-1.03	<b>0.003</b>	74.05±2.85	74.24±3.62	-2.09	1.70	0.840
ANB (°)	5.89±1.46	6.70±1.19	-1.69	0.06	0.068	7.01±1.75	6.45±1.56	-0.41	1.52	0.249
ANS-PNS (mm)	56.44±4.95	56.68±3.12	-2.97	2.49	0.859	55.00±4.04	53.39±3.15	-0.50	3.71	0.132
Go-Gn (mm)	73.13±6.18	71.38±5.05	-1.96	5.47	0.345	71.93±4.58	67.77±4.50	1.52	6.79	<b>0.003</b>
Vertical values										
ArGoMe (°)	124.08±7.88	119.11±6.25	0.30	9.66	<b>0.038</b>	125.29±9.62	120.18±6.67	0.30	9.92	<b>0.038</b>
∑ Bjork (°)	398.33±5.75	392.16±5.85	2.35	9.99	<b>0.002</b>	400.14±6.43	394.53±6.75	1.78	9.44	<b>0.005</b>
Ar-Go (mm)	41.56±5.11	44.48±4.70	-6.15	0.30	0.074	42.01±4.81	39.98±4.17	-0.58	4.65	0.124
SN/GoMe (°)	38.33±5.76	32.16±5.85	2.35	9.99	<b>0.002</b>	40.14±6.43	34.53±6.75	1.78	9.44	<b>0.005</b>
S-Go:N-Me (%)	61.64±4.09	66.71±4.51	-7.90	-2.24	<b>0.001</b>	60.62±4.69	64.47±5.42	-6.80	-0.90	<b>0.012</b>
Dental measurements										
U1/NA (°)	26.97±4.18	26.98±3.71	-2.62	2.58	0.989	26.44±2.60	28.16±4.32	-3.81	0.36	0.102
L1/NB (°)	29.37±5.56	28.80±5.23	-2.99	4.11	0.750	35.45±4.34	27.50±6.05	4.89	11.01	<b>&lt;0.001</b>
U1-NA (mm)	5.86±2.06	4.90±2.11	-0.42	2.33	0.166	6.21±2.15	5.39±2.29	-0.47	2.11	0.208
L1-NB (mm)	8.22±2.03	6.88±2.08	-0.01	2.69	0.052	9.73±1.96	5.52±1.88	3.09	5.32	<b>&lt;0.001</b>

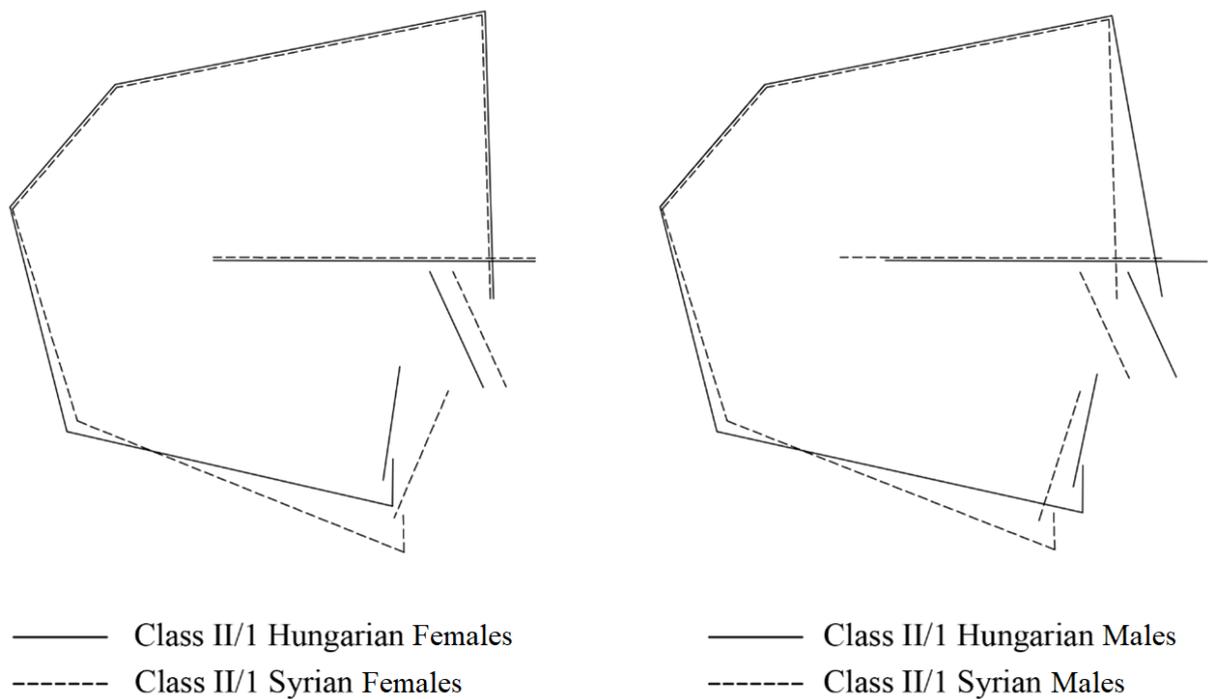
$\bar{d}$  *t*-tests for independent variables

S.D=Standard deviation, CI=Confidence interval

Bold values indicate significance of *p* value (*p* < 0.05) (two-tailed)

Sagittal comparisons revealed that Hungarian males with Class II/1 malocclusion had significantly more protruded maxillae (based on SNA angle) than their Syrian counterparts ( $p < 0.001$ ), while Syrian males with Class II/1 malocclusion had significantly more retruded mandibles (based on SNB angle) ( $p = 0.003$ ). Additionally, Hungarian females with Class II/1 malocclusion had significantly smaller mandibular lengths (based on Go-Gn distance) than their Syrian counterparts ( $p = 0.003$ ) (Figure 4).

**Figure 4.** Sex-based dentoskeletal cephalometric comparisons between Syrian and Hungarian adolescents with Class II/1 malocclusion



Vertical measurements (ArGoMe,  $\sum$ Bjork, SN/GoMe, and S-Go:N-Me) showed significant differences ( $p = 0.003$ ,  $p < 0.001$ ,  $p < 0.001$  and  $p < 0.001$ , respectively) between Syrian and Hungarian adolescents with Class II/1 malocclusion, regardless of sex, indicating a hyperdivergent facial pattern in Syrian sample with Class II/1 malocclusion (Table 9).

Dental measurement results revealed that Syrian females with Class II/1 malocclusion had significantly more protruded lower incisors (based on L1/NB and L1-NB values) ( $p < 0.001$ ) than Hungarian females with Class II/1 malocclusion. These observations did not extend to the male populations with Class II/1 malocclusion (Table 11).

### 5.2.2 Tooth-size comparisons between Syrian and Hungarian adolescents with Class II/1 malocclusion

Results for sex-based tooth-size comparisons between the two ethnic groups are presented in Tables 12.

**Table 12.** Sex-based comparison of anterior and overall tooth-size ratios between Syrian and Hungarian adolescents with Class II/1 malocclusion.

	Males with Class II/1 malocclusion					Females with Class II/1 malocclusion				
	Syrians (n=19) Mean±S.D	Hungarians (n=19) Mean±S.D	95% CI of Mean Difference		P-value	Syrians (n=24) Mean±S.D	Hungarians (n=24) Mean±S.D	95% CI of Mean Difference		P-value
			L	U				L	U	
<b>Tooth-size ratios <math>\delta</math></b>										
Anterior ratio (%)	80.55±2.95	79.42±2.08	-0.57	2.81	0.186	80.81±2.60	77.89±2.42	1.46	4.38	<b>&lt;0.001</b>
Overall ratio (%)	92.74±1.80	92.79±2.33	-1.42	1.32	0.941	92.92±1.65	91.87±1.98	0.00	2.11	0.051

$\delta$  *t*-tests for independent variables

S.D=Standard deviation, CI=Confidence interval

**Bold values indicate significance of *p* value ( $p < 0.05$ ) (two-tailed)**

Tooth-size comparisons revealed that Syrian females with Class II/1 malocclusion had significantly greater anterior tooth-size ratios than Hungarian females with Class II/1 malocclusion ( $p < 0.001$ ). Syrian and Hungarian males with Class II/1 malocclusion showed no such difference (Table 12).

### 5.3 Upper airway differences between Syrian and Hungarian adolescents with Class II/1 malocclusion (Accepted article/In press, III)

Comparisons of the upper airway morphology between the two ethnic groups showed that Syrian adolescents with Class II/1 malocclusion had a significantly smaller depth of the upper pharynx (UPh) ( $p = 0.007$ ) and a significantly larger soft palate angle (ANS-PNS-U) ( $p = 0.003$ ) than their Hungarian counterparts, while the hyoid bone was located more posteriorly (based on C3H distance) ( $p = 0.002$ ) in Hungarian adolescents with Class II/1 malocclusion than in Syrian adolescents with Class II/1 malocclusion (Table 13).

Additionally, comparisons of the soft tissue variables related to the upper airway showed that the cervical length (CL) was significantly shorter ( $p = 0.042$ ) and the lip-chin-throat angle

(LCT) was significantly larger ( $p = 0.049$ ) in Hungarian adolescents with Class II/1 malocclusion than in Syrian adolescents with Class II/1 malocclusion (Table 13).

**Table 13. Comparisons of upper airway and related soft tissue measurements between Syrian and Hungarian adolescents with Class II/1 malocclusion.**

	Syrians with Class II/1 malocclusion (n = 43)	Hungarians with Class II/1 malocclusion (n = 43)	95% CI of Mean/Median Difference		p-value
			L	U	
OPT/NSL (°) (Mean ± SD) $\partial$	102.88 ± 9.91	100.33 ± 10.58	-1.85	6.95	0.252
<b>Upper airway measurements</b>					
<b>Upper airway depth</b>					
PNS-Ad1(mm) (Median ± IQR) †	23.12 ± 8.14	20.52 ± 6.68	-3.33	0.82	0.232
UPh (mm) (Mean ± SD) $\partial$	11.50 ± 3.15	13.28 ± 2.85	-3.07	-0.49	<b>0.007</b>
MPh (mm) (Mean ± SD) $\partial$	10.34 ± 2.88	9.63 ± 2.70	-0.48	1.91	0.236
LPh (mm) (Median ± IQR) †	10.65 ± 4.56	10.44 ± 5.31	-2.02	0.61	0.316
<b>Upper airway length</b>					
PNS-Eb (mm) (Mean ± SD) $\partial$	59.35 ± 7.20	60.31 ± 6.68	-3.94	2.02	0.523
<b>Soft palate</b>					
PNS-U (mm) (Median ± IQR) †	30.79 ± 4.08	29.98 ± 5.14	-2.20	0.86	0.400
SPT (mm) (Mean ± SD) $\partial$	9.39 ± 1.38	9.05 ± 1.53	-0.28	0.97	0.279
ANS-PNS-U (°) (Mean ± SD) $\partial$	131.96 ± 6.94	127.51 ± 6.58	1.55	7.35	<b>0.003</b>
<b>Hyoid bone position</b>					
MPH (mm) (Mean ± SD) $\partial$	12.01 ± 5.17	13.68 ± 5.16	-3.88	0.55	0.138
C3H (mm) (Median ± IQR) †	33.36 ± 4.00	30.77 ± 7.27	-4.62	-1.12	<b>0.002</b>
<b>Soft tissue measurements</b>					
LCT (°) (Mean ± SD) $\partial$	121.54 ± 11.76	126.33 ± 10.46	-9.57	-0.02	<b>0.049</b>
CL (mm) (Median ± IQR) †	45.29 ± 11.17	41.97 ± 8.95	-6.95	-0.12	<b>0.042</b>

$\partial$  t-test for independent variables

† Mann-Whitney U-test for independent variables

CI=Confidence interval, SD=Standard deviation, and IQR=Interquartile range

**Bold values indicate significance of p value ( $p < 0.05$ ) (two-tailed)**

Correlation analyses revealed several statistically significant and moderate correlations (Table 14). Indeed, in Syrian subjects with Class II/1 malocclusion, dimensions at the upper level of the pharynx (PNS-Ad1) tended to decrease with decreasing SNA, SNB angles ( $r = 0.34$  and  $r = 0.31$ , respectively) ( $p = 0.028$  and  $p = 0.042$ , respectively), decreasing maxillary and mandibular lengths ( $r = 0.47$  and  $r = 0.47$ , respectively) ( $p = 0.002$  and  $p = 0.002$ , respectively), and increasing inter-maxillary and mandibular plane angles ( $r = -0.35$  and  $r = -0.31$ , respectively) ( $p = 0.022$  and  $p = 0.047$ , respectively). Furthermore, in Syrian subjects with Class II/1 malocclusion, dimensions at the middle level of the pharynx (MPh) tended to

decrease with a decreasing SNA angle ( $r = 0.41$ ) ( $p = 0.006$ ), decreasing maxillary length ( $r = 0.32$ ) ( $p = 0.036$ ), and increasing inter-maxillary angle ( $r = -0.40$ ) ( $p = 0.008$ ).

**Table 14. Correlations between pharyngeal depths and skeletofacial morphology for Syrian and Hungarian adolescents with Class II/1 malocclusion.**

Variables		Syrians with Class II/1 malocclusion				Hungarians with Class II/1 malocclusion			
		PNS-Ad1 (mm)	UPh (mm)	MPh (mm)	LPh (mm)	PNS-Ad1 (mm)	UPh (mm)	MPh (mm)	LPh (mm)
SNA (°)	Coefficient	<b>0.336</b>	0.145	<b>0.412</b>	0.293	0.038	-0.202	0.035	0.057
	P Value	0.028	0.352	0.006	0.056	0.811	0.195	0.825	0.715
SNB (°)	Coefficient	<b>0.312</b>	0.133	0.210	0.118	-0.051	-0.292	-0.012	0.023
	P Value	0.042	0.396	0.176	0.450	0.747	0.057	0.941	0.882
ANB (°)	Coefficient	0.054	0.069	0.270	0.170	0.268	0.108	0.213	0.046
	P Value	0.733	0.659	0.080	0.275	0.083	0.490	0.170	0.770
A-Ba (mm)	Coefficient	<b>0.465</b>	0.238	<b>0.320</b>	0.137	0.248	-0.116	0.140	0.080
	P Value	0.002	0.125	0.036	0.381	0.110	0.461	0.371	0.609
Pog-Ba (mm)	Coefficient	<b>0.469</b>	<b>0.340</b>	0.236	0.033	0.089	-0.107	0.102	0.093
	P Value	0.002	0.026	0.128	0.836	0.570	0.494	0.515	0.554
NL/NSL (°)	Coefficient	0.034	0.111	0.140	0.061	-0.158	-0.158	0.104	0.122
	P Value	0.831	0.479	0.371	0.698	0.313	0.311	0.509	0.436
ML/NSL (°)	Coefficient	<b>-0.305</b>	0.035	-0.242	-0.012	-0.070	-0.002	-0.111	-0.116
	P Value	0.047	0.822	0.118	0.937	0.654	0.987	0.477	0.459
NL/ML (°)	Coefficient	<b>-0.349</b>	-0.141	<b>-0.398</b>	-0.166	-0.052	0.028	-0.154	-0.170
	P Value	0.022	0.365	0.008	0.286	0.741	0.858	0.323	0.275
CL (mm)	Coefficient	0.073	-0.062	0.222	0.260	0.136	-0.095	0.297	<b>0.459</b>
	P Value	0.641	0.692	0.153	0.092	0.385	0.545	0.053	0.002
LCT (°)	Coefficient	-0.225	0.039	-0.202	-0.198	-0.190	-0.241	-0.266	<b>-0.479</b>
	P Value	0.147	0.803	0.195	0.202	0.223	0.120	0.085	0.001
PNS-Eb (mm)	Coefficient	-0.097	0.175	-0.163	-0.267	0.026	0.012	-0.219	-0.253
	P Value	0.538	0.261	0.295	0.084	0.869	0.937	0.159	0.102
PNS-U (mm)	Coefficient	0.234	0.107	-0.072	-0.282	0.232	-0.042	-0.285	0.030
	P Value	0.131	0.496	0.644	0.067	0.134	0.791	0.064	0.849
SPT (mm)	Coefficient	-0.051	-0.203	-0.063	-0.189	0.166	-0.196	-0.294	-0.206
	P Value	0.744	0.191	0.687	0.224	0.288	0.209	0.056	0.185
ANS-PNS-U (°)	Coefficient	0.121	-0.193	-0.193	0.042	0.293	0.074	-0.002	0.235
	P Value	0.439	0.215	0.216	0.789	0.057	0.637	0.990	0.129
MPH (mm)	Coefficient	-0.293	0.166	-0.207	-0.160	-0.105	-0.184	-0.113	-0.074
	P Value	0.057	0.288	0.184	0.307	0.502	0.238	0.473	0.637
C3H (mm)	Coefficient	0.160	0.234	0.189	0.001	0.134	-0.002	0.173	<b>0.411</b>
	P Value	0.306	0.131	0.224	0.997	0.391	0.988	0.266	0.006

**Bold values indicate correlation is significant at the 0.05 level (two-tailed)**

In contrast to Syrian subjects with Class II/1 malocclusion, Hungarian subjects with Class II/1 malocclusion showed no correlations between the depths of the pharynx and sagittal or vertical dimensions. However, dimensions at the lower level of the pharynx (LPh) were significantly correlated with hyoid bone position ( $r = 0.41$ ) ( $p = 0.006$ ), cervical length ( $r = 0.46$ ) ( $p = 0.002$ ), and lip-chin-throat angle ( $r = -0.48$ ) ( $p = 0.001$ ) only among the Hungarian subjects with Class II/1 malocclusion (Table 14).

## **6 Discussion**

### **6.1 Cephalometric and tooth-size characteristics of Syrian adolescents with Class II/1 malocclusion**

Several studies have reported the cephalometric and tooth-size features of individuals of Middle Eastern ethnicity (40,121–124). Although Syrians belong to the Middle Eastern ethnic group, limited data are available pertaining to the craniofacial characteristics of adolescents of Syrian nationality. Therefore, this compound cephalometric and tooth-size investigation was attempted to establish the dentofacial morphology, upper-airway dimensions, and tooth-size characteristics of Syrian adolescents with both skeletal and dental Class II/1 malocclusion. To the best of my knowledge, this is the first comprehensive investigation on this topic.

In addition, 95% confidence intervals (CIs) for the means of cephalometric and tooth-size variables in the Syrian sample with Class II/1 malocclusion (Table 6) were compared with 95% CIs for the means of Syrian normative cephalometric and tooth-size variables (Supplementary Table 3) obtained from two previous studies (73,74), and with 95% CIs for the means of the corresponding variables in previous Middle Eastern studies on Class II/1 malocclusion (Supplementary Table 4) (24,40,121–129).

#### **6.1.1 Skeletal components of Syrian adolescents with Class II/1 malocclusion**

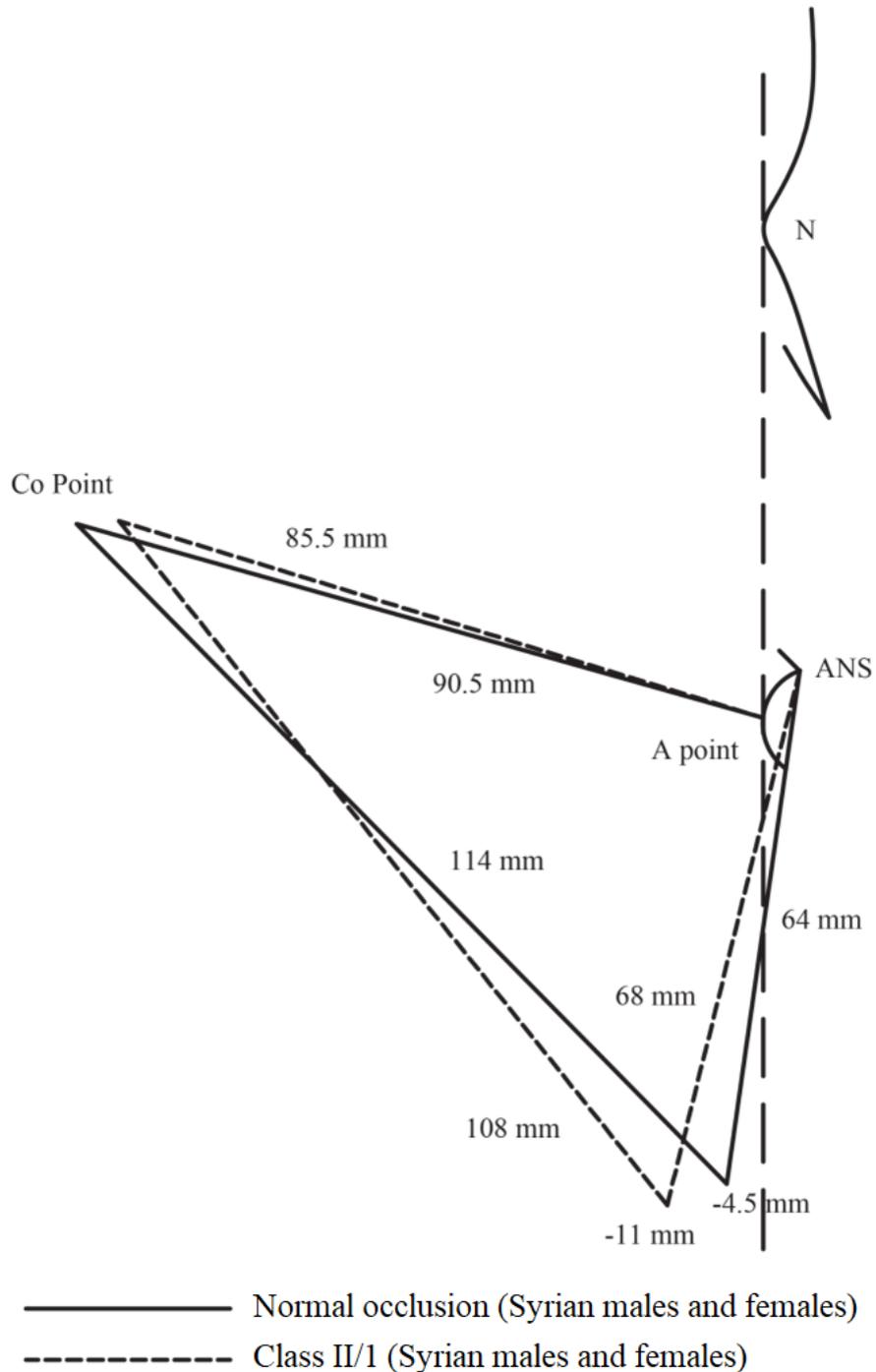
In this investigation, the maxillary anteroposterior position of Syrian subjects with Class II/1 malocclusion was normal relative to the Syrian normative data, while the mandible was posteriorly positioned (CIs of A-NP, SNA, and Pog-NP in Table 6 vs. their corresponding values in Supplementary Table 3); these findings were in agreement with those of several

Middle Eastern studies (24,25,51,121,130) (CIs of A-NP, and Pog-NP in Table 6 vs. their corresponding values in Supplementary Table 4). However, other Middle Eastern studies have reported different results (29,125); a possible reason for the divergence in findings could be, on one hand, due to differences in the methods used for the determination of the maxillary and mandibular position (46), while on the other hand, the involvement of different nationalities in the various studies may explain the range of results. Furthermore, previous Middle Eastern studies have suggested that the majority of Class II/1 patients may have abnormal development of the mandible, both in terms of size and in terms of position (24,121,130). In this current investigation, although a shorter absolute mandibular length was observed among Syrian subjects with Class II/1 malocclusion, the absolute maxillary length was also shorter when compared with the Syrian normative data, resulting in a normal maxillomandibular difference (CIs of Cond-A, Cond-Gn, and Max-Mand in Table 6 vs. their corresponding values in Supplementary Table 3). In the interpretation of such data, the difference between maxillary and mandibular lengths should also be considered since a geometric relationship exists between both measurements (107). The normal maxillomandibular difference in this investigation confirms that mandibular length was in proportion to the maxillary length. Therefore, the short mandibular and maxillary lengths do not represent a conclusive feature of Syrian subjects with Class II/1 malocclusion included in this investigation rather than a potential difference between this investigation and the cephalometric control study in estimating the point “condylion.” This point was considered by McNamara (1984) as “often difficult to find” and used as a measure of the lengths of both jaws; therefore, a slight difference in the estimation of condylion will simply affect the absolute lengths of both jaws but will not impact the maxillomandibular difference (107).

A prominent feature of Syrian subjects with Class II/1 malocclusion in the present investigation was the hyperdivergent facial pattern. The retruded mandible appeared to be accompanied by an increased mandibular plane angle and opened facial axis in both sexes and excessive lower anterior facial height that was clear enough in females (CIs of ANS-Me, MP-FH and Facial Axis in Table 6 vs. their corresponding values in Supplementary Table 3). A review of the Middle Eastern literature suggests wide agreement with these results (24,25,29,121,125,130) (CIs of MP-FH in Table 6 vs. their corresponding values in Supplementary Table 4). Therefore, the posterior position of the mandible and, consequently,

the inter-maxillary discrepancy of Syrian subjects with Class II/1 malocclusion in this investigation may be seen as a feature of the hyperdivergent facial pattern, as determined by the increased lower anterior facial height and backward rotation of the mandible (Figure 5).

**Figure 5.** Comparison between Syrian subjects with Class II/1 malocclusion and Syrian subjects with normal occlusion regarding the skeletal parameters.



### **6.1.2 Dentoalveolar components of Syrian adolescents with Class II/1 malocclusion**

Dentoalveolar aberrations in Syrian subjects with Class II/1 malocclusion were represented by protrusive mandibular incisors that were clear enough in females, whereas maxillary incisors were normally positioned in both sexes when compared with the Syrian normative data (CIs of 1U-AP and 1L-APog in Table 6 vs. their corresponding values in Supplementary Table 3). These findings contradicted those of certain Middle Eastern studies (51,125). However, other Middle Eastern studies confirmed normally positioned maxillary incisors (24) and more protrusive mandibular incisors existed in Class II/1 malocclusion (29,121,130) (CIs of 1U-AP and 1L-APog in Table 6 vs. their corresponding values in Supplementary Table 4). The inconsistent findings between this investigation and other previous studies might be owing to the use of different reference lines for the determination of the incisors position or might be attributed to the variations in the nationality background of the studied samples.

In the present investigation, the protrusion of mandibular incisors in Syrian subjects with Class II/1 malocclusion (especially in females) might be attributed to the dentoalveolar compensatory mechanism in response to the underlying skeletal discrepancy attempting to maintain relatively normal relationships between the dental arches, in accordance with the previously published study by Solow (1980) (131). Another explanation might be the relative tooth-size excess observed in the mandibular anterior segment of the Syrian subjects with Class II/1 malocclusion (see below: 6.1.5 Tooth-size characteristics of Syrian adolescents with Class II/1 malocclusion), since the protrusion of mandibular incisors could occur because of space limitations.

### **6.1.3 Soft tissue components of Syrian adolescents with Class II/1 malocclusion**

The soft tissue parameters of Syrian subjects with Class II/1 malocclusion, when compared with the Syrian normative data, showed a more obtuse nasolabial angle (CIs of NLA in Table 6 vs. their corresponding values in Supplementary Table 3). A previous Middle Eastern study found that Class II subjects had a greater value of the nasolabial angle than those with Class I; however, the difference was not significant (127). Conversely, other Middle Eastern studies suggested different results (126,132) (CIs of NLA in Table 6 vs. their corresponding values in Supplementary Table 4). A possible reason for this variability among outcomes might be the

differences in the methods used to determine the nasolabial angle (133). Moreover, nationality differences may be a possible reason for such variability in soft tissue results.

Since there was no difference in the upper-lip angulation between Syrian subjects with Class II/1 malocclusion and Syrian normative data (CIs of UL-NP in Table 6 vs. their corresponding values in Supplementary Table 3), the findings of this investigation suggest that the angulation of the lower border of the nose may be a reason for the more obtuse nasolabial angle in Syrian subjects with Class II/1 malocclusion. In this investigation, it was not possible to investigate the slope of the lower nasal border because there was no Syrian normative data available on this variable. Fitzgerald et al. (1992) established a reliable method of constructing the nasolabial angle to determine the interrelationship between the nose and the upper lip (134). Further investigations that consider using this method are needed to validate these results.

#### **6.1.4 Upper airway dimensions of Syrian adolescents with Class II/1 malocclusion**

In this investigation, upper-pharyngeal widths were smaller in Syrian subjects with Class II/1 malocclusion when compared with the Syrian normative data, and lower-pharyngeal widths showed smaller widths that were clear enough in males (CIs of UPh and LPh in Table 6 vs. their corresponding values in Supplementary Table 3). These findings support the results of previous Middle Eastern studies (125,128,135) (CIs of UPh and LPh in Table 6 vs. their corresponding values in Supplementary Table 4). In contrast, some Middle Eastern studies denied that a relationship exists between Class II/1 malocclusion and airway dimensions (136,137). An explanation for the contrasting results might be an overlap between etiologic factors, including abnormal skeletal morphology and abnormal upper-airway soft tissue structures (138). In this investigation, the observed smaller pharyngeal widths might be attributable to the hyperdivergent facial pattern associated with Class II/1 Syrian subjects; this corroborates the previous results found in the Middle Eastern literature (135).

McNamara (1984) emphasized that an upper-pharyngeal width of 5 mm or less can be used as an indicator of possible airway obstruction, whereas lower pharyngeal measurements smaller than average values are not remarkable (107). According to this indicator, there was no

prevalence of pharyngeal obstructions in Syrian subjects with Class II/1 malocclusion. However, a more accurate diagnosis can be made only by an otorhinolaryngologist (107).

#### **6.1.5 Tooth-size characteristics of Syrian adolescents with Class II/1 malocclusion**

Former Middle Eastern studies have indicated that tooth-size disharmonies exist among different groups of malocclusion (38,40). In accordance with the results of this investigation on tooth-size ratios (CIs of anterior ratio and overall ratio in Table 7 vs. their corresponding values in Supplementary Table 3), some Middle Eastern studies did not find differences in overall ratios between Class II/1 subjects and the normative data (129), whereas other Middle Eastern studies described an overall ratio for Class II/1 subjects that was smaller (40,139) or larger (140) compared to that of subjects with normal occlusion. Similarly, variability can be found in the Middle Eastern literature regarding the anterior ratio, whereas a smaller ratio (38) or no differences were detected (129,140) (CIs of anterior ratio and overall ratio in Table 7 vs. their corresponding values in Supplementary Table 4). Such divergence in findings may be explained by differences in the nationality backgrounds of the samples.

Several studies confirmed that a tooth-size disharmony greater than two SDs, as compared with Bolton's norms, could create clinical difficulties, particularly in the finishing phase of treatment (39,41). In contrast, one study suggested that Bolton's SDs may not be a valuable index to use to determine the clinical significance of tooth-size disharmony because of their relatively modest values (141). Therefore, the frequencies of tooth-size discrepancy outside two SDs from Bolton's norms as well as the frequencies of tooth-size discrepancy outside two SDs from Syrian population norms were calculated in this investigation among Syrian subjects with Class II/1 malocclusion. The percentage of Class II/1 Syrian subjects with anterior ratios greater than two SDs from Bolton's norm was 39.5%, while the percentage of Class II/1 Syrian subjects who had anterior ratios greater than two SDs from Syrian population norm was 25.6%, representing a relative tooth-size excess in the mandibular anterior segment that was sufficient to warrant clinical concern. These relatively high percentages of Class II/1 Syrian subjects with an anterior ratio exceeding two SDs from Bolton's norm and Syrian population norm may be explained by the association of a higher percentage of tooth-size disharmonies and sufficiently remarkable malocclusions such as

Class II/1. These disharmonies are particularly evident in the anterior segment, since the anterior teeth, especially the incisors, have the greatest incidence of tooth-size variations (38).

#### **6.1.6 Limitations and future scope of this investigation**

As far as limitations of the current investigation were concerned, consideration must be given to the sample size. Although the sample size estimation showed sufficient sample size for each sex group, it was small; therefore, the results should be interpreted with caution and further studies with larger sample size would be needed. Such studies should also include adolescents from several orthodontic centers, since the data in the present investigation were recruited from only one private orthodontic practice in Syria. Additionally, there was no concurrent control group in this investigation. The inclusion of a matched control group in this investigation would have been desirable for a better comparison, although, in case of normative cephalometric data, requiring the exposure of patients with well-balanced dentofacial relationships to X-ray radiation constitutes an ethical issue. As an alternative, already established Syrian cephalometric and tooth-size normative data were used as sources of Syrian normative measurements (73,74). Although age could not be a confounding factor in tooth-size analysis, a wider age range was used in the Syrian normative cephalometric study than in this investigation which could be a confounding factor in the cephalometric analysis. As no other cephalometric data have been published on Syrian adolescent norms, these published data were used as sources of Syrian normative measurements.

### **6.2 Dentoskeletal and tooth-size differences between Syrian and Hungarian adolescents with Class II/1 malocclusion**

This investigation evaluated the effects of ethnic variations on dentoskeletal and tooth-size characteristics of Syrians and Hungarians adolescents with Class II/1 malocclusion. As most dentoskeletal variations can be ascribed to sex, age (maturity stage), and ethnicity (44), and most tooth-size variations can be ascribed to sex and ethnicity (55,65), this investigation compared sex- and skeletal age-matched individuals to yield clear conclusions on the impact of ethnicity on dentoskeletal and tooth-size characteristics.

### **6.2.1 Differences in skeletal components between Syrian and Hungarian adolescents with Class II/1 malocclusion**

Skeletal comparisons showed that sagittal measurements significantly differed in the two groups. Hungarian males with Class II/1 malocclusion had more protruded maxillae compared to Syrian males with Class II/1 malocclusion, while Hungarian females with Class II/1 malocclusion had significantly shorter mandibles than Syrian females with Class II/1 malocclusion (Figure 4). These observations in Class II/1 Hungarian adolescents support those of a previous investigation (49), which found Class II/1 Caucasian males with maxillary protrusion and Class II/1 Caucasian females with shorter than average mandibular length. Although Class II/1 Syrian adolescents had relatively normal maxillary position and mandibular length as compared with Class II/1 Hungarian adolescents, their mandibles were posteriorly positioned. This was evident in Class II/1 Syrian males with significantly smaller SNB angles than Class II/1 Hungarian males who had relatively normal mandibular position (Table 11). In contrast, Class II/1 Syrian females also had restricted mandibles; however, the diminished mandibular sizes of Class II/1 Hungarian females were pronounced enough to cause a non-significant difference in SNB measurements between the two female populations (Table 11). Non-Caucasian studies reported similar findings of normal maxillary position and retruded mandible in Class II/1 Syrian subjects (24–26,51). Contrarily, some Caucasian studies reported varying findings with normally positioned mandibles and protruded maxillae (27,28). The involvement of different ethnicities across various studies may explain the inconsistent findings (30,44,45,50).

Many authors have recognized the importance of excessive vertical development in the determination of mandibular position (24,25,45). Thus, retruded mandibles of Class II/1 Syrian adolescents in this investigation can be explained with respect to the vertical plane: Class II/1 Syrian adolescents, in comparison to Class II/1 Hungarian adolescents, regardless of sex, had hyperdivergent facial patterns (Figure 4). This finding agrees with those of other studies that compared non-Caucasian and Caucasian Class II patients (44,45,98).

### **6.2.2 Differences in dentoalveolar components and tooth-size characteristics between Syrian and Hungarian adolescents with Class II/1 malocclusion**

The position of dentition, relative to the skeletal structure, is another important aspect of Class

II/1 malocclusion. The only significant difference in the dental position found in this investigation was related to the lower incisors and was evident among females (Table 11). Class II/1 Syrian females had more protrusive lower incisors than Class II/1 Hungarian females. Status on the position of lower incisors in patients with Class II/1 malocclusion remains unclear. Most non-Caucasian studies have reported protruded lower incisors (24–26,29), and two comparative studies (44,45) support ethnic variations in lower incisor position between non-Caucasian and Caucasian populations. Since a tooth-size excess with space limitation might cause incisor protrusion, the larger anterior tooth-size ratios of Class II/1 Syrian females relative to Class II/1 Hungarian females might further account for their lower incisor protrusion. This would be a possible explanation since the large anterior tooth-size ratios of Class II/1 Syrian females reflect a relative tooth-size excess in the lower anterior region. The significant difference in anterior tooth-size ratio between Syrian and Hungarian females with Class II/1 malocclusion can be attributed to ethnic variations, in accordance with previous studies in the literature (55,65).

### **6.2.3 Potential clinical implications**

According to our observations in this investigation, as Class II/1 malocclusion in Hungarian adolescents represents sagittal discrepancy (protruded maxillae in males; short mandibles in females), treatment strategies should aim to inhibit maxillary growth using extra-oral forces in Class II/1 Hungarian males and enhance mandibular growth using functional appliances in Class II/1 Hungarian females. Moreover, since Class II/1 Syrian adolescents had considerable vertical tendencies and in case of treatment of this anomaly most orthodontic treatment mechanics tend to open the bite, greater care should be exercised to control the vertical dimension when treating Class II/1 Syrian adolescents compared with Class II/1 Hungarian adolescents. Treatment strategies for Class II/1 Syrian adolescents should aim to alter the extent and direction of vertical facial growth and prevent posterior mandibular rotation. Finally, Class II/1 Syrian females exhibited more protrusive lower incisors with relative tooth-size excess in the lower anterior segment, which affects treatment objectives regarding the optimal final position of these teeth. Therefore, a more interproximal reduction might be a better treatment option for Class II/1 Syrian females than Class II/1 Hungarian females, if the treatment decision is to decrease protrusion. Contrarily, protruded lower incisors, more than

the standard levels, might be acceptable outcomes in Class II/1 Syrian females.

#### **6.2.4 Limitations and future scope of this investigation**

Although sample size estimation showed sufficient numbers of participants, there were relatively small groups. This was the major drawback of the present investigation; therefore, the results should be interpreted with caution, and additional studies with larger sample sizes would be needed.

### **6.3 Upper airway differences between Syrian and Hungarian adolescents with Class II/1 malocclusion**

This investigation is the first one to compare upper airway structures of untreated Middle Eastern (Syrian) and European (Hungarian) patients with Class II/1 malocclusion. Moreover, no previous investigation of racial differences has compared upper airway structures by matching skeletal age, sex, skeletal type and craniocervical inclination. The present investigation highlights the importance of matching subjects for skeletal age to prevent any maturity-related morphological changes from confounding the results, while previous studies typically comparing samples based on chronological age only (57,80,85,86,90,142). Other strengths of the present investigation are the exclusion of the influence of swallowing and hyperplasia of tonsil or adenoid and minimization of the influence of craniocervical inclination on the examined variables.

The results indicate that Class II/1 Syrian adolescents had smaller upper pharynx dimensions than Class II/1 Hungarian adolescents (Table 13). This can be attributed, on one hand, to the vertical growth patterns observed in Class II/1 Syrian adolescents when compared with Class II/1 Hungarian adolescents, since Class II subjects with vertical growth patterns showed significantly narrower upper pharyngeal airways than those with normal growth patterns (80,142). On the other hand, it can also be attributed to the larger soft palate angle of Class II/1 Syrian adolescents in comparison with Class II/1 Hungarian adolescents, as observed in the present investigation (Table 13), since a large soft palate angle could predispose the individual to smaller upper airway dimensions (100).

Comparisons between the two populations revealed that Class II/1 Hungarian adolescents had a more posterior hyoid position than Class II/1 Syrian adolescents (Table 13), which can be explained by the observation that Class II/1 Hungarian adolescents had a shorter mandible than their Syrian counterparts, since a shorter mandible may result in a more posterior hyoid position.

This investigation indicates that a number of skeletal variables are related to airway dimensions in Class II/1 Syrian subjects. A small upper pharynx was associated with a restricted maxilla, a restricted mandible, and long faces (Table 14). Moreover, a small middle pharynx was associated with a restricted maxilla and a large inter-maxillary angle (Table 14). On the other hand, no direct correlations between skeletal variables and airway dimensions were noted in Class II/1 Hungarian subjects (Table 14). This is consistent with previous results in the literature since skeletal restriction was found more frequently in Asian individuals with OSA than in Caucasian subjects with OSA (58–60). In patients with a restricted maxilla and mandible and those with long faces where the mandible is positioned downward and backward, the entire facial complex is placed closer to the cervical spine, thereby leading to a more posterior tongue position (79). Such displacement of the tongue can push the soft palate dorsally and contribute to a reduction of the space available for the airway in the upper and middle pharynx (143).

The present investigation found a significant correlation between the position of the hyoid bone and the depth of lower pharynx only in Class II/1 Hungarian subjects. The hyoid bone position has received much attention owing to the attachments of the lingual musculature. In this investigation, a reduced lower pharynx was associated with posteriorly placed hyoid bone in Class II/1 Hungarian subjects (Table 14). In such cases, the hyoid would tend to attract the tongue backward, further reducing the lower pharyngeal airway (144,145). To this regard, it is important to underline that oral appliances used to treat Class II malocclusion by mandibular repositioning and, consequently, hyoid bone repositioning, have been found to improve the lower pharyngeal airway in both growing and adult OSA European patients (146–148).

Interestingly, Class II/1 Hungarian adolescents had a significantly shorter cervical length and larger lip-chin-throat angle than Class II/1 Syrian adolescents (Table 13). Further, lower pharyngeal dimensions were associated with the cervical length and lip-chin-throat angle only

in the Hungarian group (Table 14). With a shorter cervical length and larger lip-chin-throat angle, lower pharyngeal dimensions tended to be more reduced in Class II/1 Hungarian subjects. According to the literature, a short cervical length is associated with OSA (149). To my knowledge, the association of the lip-chin-throat angle with upper airway morphology has not been investigated previously. I chose to determine the lip-chin-throat angle in order to obtain an objective measurement of this feature of the facial profile in accordance with the aim of the present investigation. I believe that this angle reflects the characteristics of both the mental soft tissue profile and the submental adipose tissue. A retrusive chin and/or an accumulation of adipose tissue in the submental region will result in a large lip-chin-throat angle and short cervical length. To this regard, it is important to underline that Caucasian patients with OSA had these features in comparison with normal controls or OSA Asian patients (150,151).

### **6.3.1 Potential clinical implications**

The two groups in this investigation presented with different pharyngeal airway features and different kinds of associations between their upper airway depths and their skeletofacial morphology. Therefore, it might be reasonable to formulate treatment options for these two distinct ethnic groups based on different considerations.

If orthognathic surgery is to be considered, Syrian subjects with Class II/1 malocclusion might be considered more appropriate than similar Hungarians for bimaxillary advancement surgery and for reducing vertical dimension by surgical maxillary intrusion. In contrast, special consideration might be given to the final hyoid bone position after orthognathic surgery in Class II/1 Hungarian subjects (152). On the other hand, if functional appliances are being used in Class II/1 Syrian subjects, it might be reasonable to design the mandibular repositioning to be more horizontal than vertical when compared with Class II/1 Hungarian subjects in order to prevent a negative effect on the pharyngeal airway due to a backward mandibular rotation.

### **6.3.2 Limitations and future scope of this investigation**

The use of lateral cephalogram as a two-dimensional (2D) representation of the three-dimensional (3D) airway structure can be considered as a limitation of this investigation. Nevertheless, a recent systematic review and meta-analysis revealed that the 2D

cephalometric analysis is a valid method not only to evaluate upper airway anatomy, but also to influence treatment choices (153). Moreover, in the last few years, lateral cephalograms have been used in upper airway evaluation by a considerable number of similar studies (86,88,154–157), because of advantages such as low costs, low radiation dose, and easy access. Cephalograms also provide standardization of measures with high reproducibility (158). Furthermore, the 2D cephalometric method when compared with the cone-beam computed tomography (CBCT) showed reliable airway measurements (159) that were strongly correlated with those of the CBCT (160).

Considering the retrospective nature of this investigation, it was not possible to recruit and match patients based on strict criteria from two orthodontic centers in two different countries using a 3D imaging method (CBCT) because of the following ethical and technical considerations:

- The increasing concerns about cancer risks from dental CBCT, especially in young individuals (161,162), because of the high amount of radiation accompanying the CBCT compared with the 2D cephalometric method (163,164),
- The CBCT is not a routine imaging method in orthodontic practice and its usage is limited to specific justified orthodontic cases (165–170), which do not include the routine investigation of the upper airway morphology of orthodontic patients (165–169) (as in this investigation). Therefore, the availability of such 3D images is very low and cannot be compared to that of 2D cephalometric images,
- The CBCT is not quite popular in Syria due to the high cost and limited public cognition.

## 7 Summary of New Findings

- A hyperdivergent facial pattern was the main cause of the inter-maxillary discrepancy in Syrian adolescents with Class II/1 malocclusion.
- The observed small pharyngeal widths in Class II/1 Syrian sample were not clinically significant.
- 39.5% of Class II/1 Syrian samples had anterior tooth-size ratios exceeding two SDs of Bolton's norm and 25.6% of Class II/1 Syrian samples had anterior tooth-size ratios exceeding two SDs of Syrian population norm, which may be considered as clinically relevant.
- Class II/1 treatment strategies for Hungarian adolescents are not applicable to Syrian adolescents, because.
  1. Class II/1 malocclusion reflects sagittal discrepancy in Hungarian adolescents (protruded maxillae in males; short mandibles in females), while it was caused by excessive vertical growth among Syrian adolescents, regardless of sex.
  2. Class II/1 Syrian females have more protrusive lower incisors with a relative tooth-size excess in the lower anterior region compared with Class II/1 Hungarian females.
- Ethnic differences were found in upper airway structures between Syrian and Hungarian subjects with Class II/1 malocclusion. Class II/1 Syrian adolescents had a significantly smaller depth of the upper pharynx and a larger soft palate angle than their Hungarian counterparts, while the hyoid bone was located more posteriorly in Class II/1 Hungarian adolescents than in Class II/1 Syrian adolescents.
- An influence of skeletal restriction and vertical growth pattern on the upper and middle pharyngeal airway depths existed in Syrian patients with Class II/1 malocclusion. In contrast, the depths of the pharynx were generally quite independent of the skeletal parameters in Hungarian subjects with Class II/1 malocclusion.
- The hyoid bone position and soft tissue morphology of the neck and chin regions had an influence on the lower pharyngeal airway depth only among Hungarians with Class II/1 malocclusion.

## 8 Summary

It is well established that Class II/1 malocclusion can be caused by a broad range of factors. However, conflicting results, possibly due to ethnic variations, have not allowed the general characterization of this malocclusion. Ethnic differences in cephalometric and tooth-size characteristics have been documented in several studies, but only few studies have investigated these differences with respect to Class II/1 malocclusion. This malocclusion is one of the most frequently seen orthodontic anomalies in Syrian and Hungarian populations with prevalences of 16% and 23.5% in Syrian and Hungarian adolescents, respectively. Because of recent wars and conflicts, such as the Syrian conflict, ethnic diversity is increasing worldwide. During the last few years, Syrian refugees and immigrants have occupied the foreground of migration statistics, particularly in Europe. Such a view of recent trends in migration, especially from the Middle East toward Europe, underscores the need for updated studies to discover the craniofacial characteristics of these new immigrants and compare the cephalometric and tooth-size characteristics between different ethnic groups.

The investigations described in the thesis attempt: A) To elucidate the cephalometric and tooth-size characteristics of Syrian adolescents with skeletal and dental Class II/1 malocclusion and compare the acquired data with those of Syrian population norms. B) To compare the dentoskeletal and tooth-size characteristics of Middle Eastern (Syrian) and European (Hungarian) adolescents with skeletal and dental Class II/1 malocclusion. C) To compare the upper airway structures between Middle Eastern (Syrian) and European (Hungarian) adolescents with skeletal and dental Class II/1 malocclusion and to investigate a number of skeletal and soft tissue parameters that would be associated with the upper airway depths in each ethnic group. The retrospectively collected data in this thesis consisted of lateral cephalograms and orthodontic casts that were analyzed by special orthodontic software (OnyxCeph3™) and a universal digital caliper, respectively.

Results of this thesis showed that a hyperdivergent facial pattern was the main cause of the intermaxillary discrepancy in Syrian adolescents with Class II/1 malocclusion, and the observed small pharyngeal widths in Class II/1 Syrian sample were not clinically significant. Additionally, 39.5% of Class II/1 Syrian samples had anterior tooth-size ratios exceeding two SDs of Bolton's norm and 25.6% of Class II/1 Syrian samples had anterior tooth-size ratios exceeding two SDs of Syrian population norm, which may be considered as clinically relevant. Results also revealed that Class II/1 treatment strategies for Hungarian adolescents are not applicable to Syrian adolescents, because: First, Class II/1 malocclusion reflects sagittal discrepancy in Hungarian adolescents (protruded maxillae in males; short mandibles in females), while it was caused by excessive vertical growth among Syrian adolescents, regardless of sex. Second, Class II/1 Syrian females have more protrusive lower incisors with a relative tooth-size excess in the lower anterior region compared with Class II/1 Hungarian females. Moreover, ethnic differences were found in upper airway structures between Syrian and Hungarian subjects with Class II/1 malocclusion. Class II/1 Syrian adolescents had a significantly smaller depth of the upper pharynx and a larger soft palate angle than those of their Hungarian counterparts, while the hyoid bone was located more posteriorly in Class II/1 Hungarian adolescents than in Class II/1 Syrian adolescents. Furthermore, an influence of skeletal restriction and vertical growth pattern on the upper and middle pharyngeal airway depths existed in Syrian patients with Class II/1 malocclusion. In contrast, the depths of the pharynx were generally quite independent of the skeletal parameters in Hungarian subjects with Class II/1 malocclusion. Finally, the hyoid bone position and soft tissue morphology of the neck and chin regions had an influence on the lower pharyngeal airway depth only among Hungarians with Class II/1 malocclusion. In conclusion, determining the craniofacial characteristics of Class II/1 malocclusion in the young Syrian and Hungarian populations could help orthodontists to establish an effective protocol for long-term stable treatment outcome in Syrian and Hungarian orthodontic patients. The findings of this thesis may also have potential implications for optimizing the effects of orthognathic/orthodontic treatments on the airway structures in Syrian and Hungarian orthodontic patients.

## 9 Supplementary Information

**Supplementary Table 1.** The demographic characteristics of the Syrian normative studies' samples used as sources of Syrian normative measurements (73,74).

	Sample size (n)	Mean age $\pm$ S.D (y)	Age range (y)
Syrian normative tooth-size sample			
Females	20	ND	ND
Males	35	ND	ND
Total	55	ND	11 - 22
Syrian normative cephalometric sample			
Females	50	16.4 $\pm$ 2.1	ND
Males	50	16.7 $\pm$ 1.7	ND
Total	100	16.6 $\pm$ 1.9	13.5 - 20

ND indicates not declared.

**Supplementary Table 2.** Definitions of the cephalometric landmarks used in this thesis.

<b>Cephalometric Landmarks</b>	<b>Interpretations</b>
<b>S</b> (sella)	The center of sella turcica
<b>N</b> (nasion)	Anterior point at frontonasal suture
<b>P</b> (porion)	The superior aspect of the external auditory meatus
<b>Or</b> (orbitale)	The lower border of the orbit of the eye
<b>PTM</b>	The posterosuperior aspect of the pterygomaxillary fissure
<b>Co</b> (condylion)	The most posterosuperior point on the outline of the mandibular condyle
<b>Ba</b> (basion)	Most inferior point on anterior foramen magnum
<b>ANS</b> (anterior nasal spine)	Most anterior point of anterior nasal spine
<b>PNS</b> (posterior nasal spine)	Most posterior point of hard palate
<b>Sn</b> (subnasale)	The point at which the columella merges with the upper lip in the midsagittal plane
<b>A</b>	Deepest anterior point in concavity of anterior maxilla
<b>B</b>	Deepest anterior point in concavity of anterior mandible
<b>IU/U1</b>	The most anterior surface of the upper incisor
<b>IL/L1</b>	The most anterior surface of the lower incisor
<b>Pog</b> (pogonion)	Most anterior point of bony chin
<b>Gn</b> (anatomic gnathion)	The most anteroinferior aspect of the mandibular symphysis
<b>cGn</b> (constructed gnathion)	The intersection of the facial plane and the mandibular plane
<b>Me</b> (menton)	Most inferior point of bony chin
<b>Go</b> (gonion)	A mid-plane point at the gonial angle located by bisecting the posterior and inferior borders of the mandible
<b>Ar</b> (articulare)	A mid-plane point at the intersection of posterior ramus with inferior cranial base
<b>OPT</b>	Point of intersection of odontoid process tangent (line joining C2s point and C2i point) and line NS
<b>C2s</b>	Tangent point at the superior, posterior extremity of the odontoid process of the second cervical vertebra
<b>C2i</b>	Most inferoposterior point on the body of the second cervical vertebra
<b>Adi</b>	Point of intersection of posterior pharyngeal wall and line PNS-Ba
<b>U</b> (uvula)	The tip of the uvula
<b>Eb</b> (base of epiglottis)	The intersection of the epiglottis and the base of the tongue
<b>C3</b>	Most anteroinferior point on the body of the third cervical vertebra
<b>H</b> (hyoid point)	Most anterosuperior point of hyoid
<b>H'</b> (neck point)	The intersection between the submental area and the tangent line of the neck
<b>Me'</b> (soft tissue menton)	The most inferior point on the soft tissue chin
<b>Gn'</b> (soft tissue gnathion)	The most anteroinferior aspect of the soft tissue chin
<b>Li</b> (labrale inferius)	The most anterior point on the lower lip

**Supplementary Table 3.** Syrian normative cephalometric measurements and tooth-size ratios (73,74).

Cephalometric measurements	Syrian Males with Normal Occlusion (n = 50)		Syrian Females with Normal Occlusion (n = 50)		Syrian adolescents with Normal Occlusion (n = 100)	
Variables	Mean ( $\pm$ S.D)	95% CIs for mean Lower, Upper	Mean ( $\pm$ S.D)	95% CIs for mean Lower, Upper	Mean ( $\pm$ S.D)	95% CIs for mean Lower, Upper
Skeletal measurements						
Sagittal values						
A-NP (mm)	-1.14 ( $\pm$ 1.28)	<b>-1.51, -0.77</b>	-0.04 ( $\pm$ 1.34)	<b>-0.42, 0.34</b>	-0.58 ( $\pm$ 0.96)	<b>-0.77, -0.39</b>
SNA ( $^{\circ}$ )	80.26 ( $\pm$ 2.72)	<b>79.48, 81.04</b>	80.87 ( $\pm$ 2.49)	<b>80.16, 81.58</b>	80.58 ( $\pm$ 1.63)	<b>80.25, 80.91</b>
Pog-NP (mm)	-4.72 ( $\pm$ 5.77)	<b>-6.37, -3.07</b>	-4.12 ( $\pm$ 5.62)	<b>-5.73, -2.51</b>	-4.41 ( $\pm$ 2.09)	<b>-4.83, -3.99</b>
Cond-A (mm)	90.76 ( $\pm$ 3.61)	<b>89.73, 91.79</b>	90.34 ( $\pm$ 3.72)	<b>89.28, 91.40</b>	90.61 ( $\pm$ 2.14)	<b>90.18, 91.04</b>
Cond-Gn (mm)	114.77 ( $\pm$ 4.65)	<b>113.44, 116.10</b>	113.16 ( $\pm$ 4.88)	<b>111.77, 114.55</b>	113.98 ( $\pm$ 1.98)	<b>113.58, 114.38</b>
Max-Mand (mm)	24.01 ( $\pm$ 3.77)	<b>22.93, 25.09</b>	22.72 ( $\pm$ 3.18)	<b>21.81, 23.63</b>	23.38 ( $\pm$ 2.16)	<b>22.95, 23.81</b>
Vertical values						
ANS-Me (mm)	65.04 ( $\pm$ 4.82)	<b>63.66, 66.42</b>	62.57 ( $\pm$ 4.80)	<b>61.20, 63.94</b>	63.82 ( $\pm$ 2.50)	<b>63.32, 64.32</b>
MP-FH ( $^{\circ}$ )	23.01 ( $\pm$ 4.48)	<b>21.73, 24.29</b>	22.19 ( $\pm$ 5.11)	<b>20.73, 23.65</b>	22.61 ( $\pm$ 2.16)	<b>22.18, 23.04</b>
Facial Axis ( $^{\circ}$ )	1.17 ( $\pm$ 3.34)	<b>0.22, 2.12</b>	0.46 ( $\pm$ 4.09)	<b>-0.71, 1.63</b>	0.83 ( $\pm$ 2.26)	<b>0.38, 1.28</b>
Dental measurements						
IU-AP (mm)	5.82 ( $\pm$ 2.36)	<b>97.55, 99.17</b>	5.26 ( $\pm$ 2.52)	<b>4.54, 5.98</b>	5.55 ( $\pm$ 1.20)	<b>5.31, 5.79</b>
IL-APog (mm)	3.13 ( $\pm$ 2.28)	<b>2.48, 3.78</b>	2.53 ( $\pm$ 2.36)	<b>1.86, 3.20</b>	2.84 ( $\pm$ 1.31)	<b>2.58, 3.10</b>
Soft tissue measurements						
NLA ( $^{\circ}$ )	98.36 ( $\pm$ 2.85)	<b>97.55, 99.17</b>	97.14 ( $\pm$ 2.21)	<b>96.51, 97.77</b>	97.76 ( $\pm$ 1.69)	<b>97.42, 98.10</b>
UL-NP ( $^{\circ}$ )	9.02 ( $\pm$ 3.28)	<b>8.08, 9.96</b>	13.53 ( $\pm$ 3.85)	<b>12.43, 14.63</b>	11.29 ( $\pm$ 0.84)	<b>11.12, 11.46</b>
Airway measurements						
UPh (mm)	17.65 ( $\pm$ 3.11)	<b>16.76, 18.54</b>	16.96 ( $\pm$ 2.87)	<b>16.14, 17.78</b>	17.32 ( $\pm$ 1.36)	<b>17.05, 17.59</b>
LPh (mm)	13.44 ( $\pm$ 2.56)	<b>12.71, 14.17</b>	13.01 ( $\pm$ 2.25)	<b>12.37, 13.65</b>	13.24 ( $\pm$ 1.21)	<b>13.00, 13.48</b>
Tooth-size ratios						
Variables	Mean ( $\pm$ S.D)	95% CIs for means Lower, Upper	Mean ( $\pm$ S.D)	95% CIs for means Lower, Upper	Mean ( $\pm$ S.D)	95% CIs for means Lower, Upper
Anterior ratio (%)	78.90 ( $\pm$ 2.29)	<b>78.11, 79.69</b>	79.20 ( $\pm$ 2.02)	<b>78.25, 80.15</b>	78.99 ( $\pm$ 2.18)	<b>78.40, 79.58</b>
Overall ratio (%)	92.40 ( $\pm$ 2.06)	<b>91.69, 93.11</b>	91.90 ( $\pm$ 2.08)	<b>90.93, 92.87</b>	92.26 ( $\pm$ 2.06)	<b>91.70, 92.82</b>

CI=confidence intervals, S.D=standard deviation.

Bold values indicate lower and upper bounds of 95% confidence intervals for mean.

**Supplementary Table 4.** Corresponding cephalometric measurements and tooth-size ratios in previous Middle Eastern studies on Class II/1 malocclusion.

Cephalometric measurements		Means (95% CIs for means)							
Nationality & Series / Variables		A-NP (mm)	Pog-NP (mm)	MP-FH (°)	1U-AP (mm)	1L-APog (mm)	NLA (°)	UPh (mm)	LPh (mm)
Turkish (Sayın & Türkkahraman, 2005) (24)	F	0.9 (-0.2, 2.0)	-10.6 (-13.2, -8.0)	28.3 (25.9, 30.7)	5.9 (5.1, 6.7)	2.2 (1.3, 3.1)	-	-	-
	M	2.2 (2.0, 2.5)	-7.4 (-8.1, -6.6)	34.0 (33.0, 34.9)	8.5 (7.9, 9.0)	2.8 (2.4, 3.2)	-	13.4 (12.6, 14.2)	9.8 (9.2, 10.4)
Iraqi (Ali, 2014) (125)	F	2.7 (2.5, 2.9)	-8.7 (-9.5, -7.8)	34.6 (32.9, 36.3)	7.6 (6.9, 8.4)	2.8 (2.5, 3.1)	-	13.2 (12.5, 13.9)	8.4 (7.8, 9.0)
	M	0.7 (-1.3, 2.7)	-5.5 (-8.9, -2.1)	-	-	-	-	-	-
Saudi (Al Jundi & Riba, 2014) (121)	M	-	-	-	-	3.4 (2.9, 3.8)	-	-	-
	F	-	-	-	-	3.5 (3.1, 3.8)	-	-	-
Egyptian (Fouda, Hafez & Al-Awdi, 2017) (123)	M + F	-	-	-	-	-	123.8 (118.9, 128.6)	-	-
Iraqi* (Mohammed, Nissan & Taha, 2013) (126)	M	-	-	-	-	-	105.1 (104.2, 105.9)	-	-
	F	-	-	-	-	-	110.6 (109.6, 111.6)	-	-
Turkish* (Gulsen et al., 2006) (127)	M	-	-	-	-	-	108.5 (105.3, 111.7)	-	-
	F	-	-	-	-	-	-	-	-
Iranian* (Gholinia, Habibi & Amrollahi Boyouki, 2019) (128)	M + F	-	-	-	-	-	-	-	9.6 (8.4, 10.9)
Tooth-size ratios		Means (95% CIs for means)							
Nationality & Series / Variables		Anterior ratio				Overall ratio			
Egyptian* (Ali, El-Shorbagy & Elliathy, 2016) (122)	M	78.7 (77.7, 79.7)				92.5 (91.9, 93.1)			
	F	78.4 (77.6, 79.1)				91.9 (91.2, 92.6)			
Saudi (Asiry & Hashim, 2012) (129)	M	77.9 (77.1, 78.7)				92.1 (91.3, 92.8)			
	F	77.4 (76.2, 78.6)				91.8 (91.0, 92.6)			
Iranian (Mollabashi et al., 2019) (40)	M	78.5 (77.8, 79.3)				91.6 (91.0, 92.2)			
	F								

CI=confidence intervals, M=males, F=females

\*Class II malocclusion

## **10 List of abbreviations**

**PgDip:** Postgraduate Diploma

**SBODO:** Syrian Board (certificate of speciality) in Orthodontics and Dentofacial Orthopedics

**Class II/1:** Class II division 1 (orthodontic anomaly by Angle's classification and skeletal classification)

**OSA:** Obstructive sleep apnea

**2D:** Two-dimensional

**3D:** Three-dimensional

**CBCT:** Cone-beam computed tomography

**CI:** Confidence intervals

**S.D:** Standard deviation

**IQR:** Interquartile range

**n:** Sample size

**y:** Years

**r:** Correlation coefficient

**mm:** Millimeters

**ND:** Not declared

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## **13 Appendix**

**Copies of the publications providing the basis of the thesis.**

**I**

# Craniofacial characteristics of Syrian adolescents with Class II division 1 malocclusion: a retrospective study

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## ABSTRACT

**Background:** Malocclusion characteristics vary across different ethnic groups and populations. Limited data are available regarding the characteristics of Syrian adolescents with Class II division 1 (Class II-1) malocclusion, and the recent inflow of Syrian refugees and immigrants into Europe and many areas worldwide demonstrate the need for updated studies to discover the craniofacial characteristics of these new immigrants.

**Objectives:** The present compound cephalometric and tooth-size study sought to assess the dentofacial morphology, upper-airway dimensions, and tooth-size characteristics of Syrian adolescents with Class II-1 malocclusion and compare the results with established Syrian population norms.

**Materials and Methods:** The study sample consisted of 43 Syrian patients including 24 females and 19 males with Class II-1 malocclusion (age: 14.3 ( $\pm 1.5$ ) years, mean ( $\pm$ SD)). Cephalometric radiographs and orthodontic casts were analyzed using special orthodontic software (OnyxCeph3<sup>TM</sup>) and a universal digital caliper, respectively. Statistics were calculated using the SPSS software.

**Results:** In Syrian adolescents with Class II-1 malocclusion, the position of the mandible relative to the nasion perpendicular (mean (95% confidence interval)) was  $-11.01$  ( $-12.45$ ,  $-9.57$ ) mm. Facial axis angle showed a negative value:  $-6.25$  ( $-7.65$ ,  $-4.85$ ) degrees. An obtuse nasolabial angle was observed:  $104.05$  ( $101.77$ ,  $106.33$ ) degrees. The average width of the upper pharynx was  $11.50$  ( $10.53$ ,  $12.47$ ) mm; however, there was no prevalence of an upper-pharyngeal width of 5 mm or less. The average value of the anterior tooth-size ratio was  $80.69$  ( $79.85$ ,  $81.53$ ) percent. In total, 39.5% of the investigated subjects had anterior ratios outside two standard deviations from Bolton's norm, while 25.6% of the investigated subjects had anterior ratios outside two standard deviations from Syrian population norm.

**Conclusions:** In this study, the inter-maxillary discrepancy of Class II-1 Syrian adolescents was a consequence of their hyperdivergent facial pattern. The observed small pharyngeal widths were not clinically significant, while the anterior tooth-size discrepancy might be clinically relevant.

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Additional Information and  
Declarations can be found on  
page 13

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**Keywords** Dentofacial morphology, Upper airway, Tooth size, Class II division 1 malocclusion, Syrian adolescents

## INTRODUCTION

In orthodontics, it is essential to understand the complex relationship between skeletal, dental and facial aberrations in each malocclusion to achieve an accurate diagnosis followed by an optimal treatment plan. Class II division 1 (Class II-1) malocclusion has been suggested as the most frequent pathology that orthodontists may encounter in their practice. According to epidemiologic studies conducted among different populations, the prevalence of this malocclusion ranges from 12 to 40% worldwide (Table S1) (Massler & Frankel, 1951; Thilander & Myrberg, 1973; Foster & Walpole Day, 1974; El-Mangoury & Mostafa, 1990; Lew, Foong & Loh, 1993; Saleh, 1999; Silva & Kang, 2001; Thilander et al., 2001; Onyeaso, 2004; Tausche, Luck & Harzer, 2004; Gábris, Márton & Madléna, 2006; Borzabadi-Farahani, Borzabadi-Farahani & Eslamipour, 2009; Perillo et al., 2009; Bugaighis & Karanth, 2013; Alatrach, Saleh & Osman, 2014; Nadim, Aslam & Rizwan, 2014; Singh & Sharma, 2014; Bilgic, Gelgor & Celebi, 2015; De Souza et al., 2016; Albakri, Ingle & Assery, 2018; Shyagali et al., 2019).

Numerous studies have investigated the cephalometric and tooth-size characteristics of patients with Class II-1 malocclusion using various measurements either on cephalometric radiographs or orthodontic casts. However, the significance of these studies is limited by multiple factors, including the conflicting results; some cephalometric studies have reported that a retrognathic mandible is the key factor contributing to Class II-1 malocclusion with a normally positioned maxilla (Riedel, 1952; Hitchcock, 1973; Freitas et al., 2005; Sayın & Türkkahraman, 2005; Isik et al., 2006). In contrast, other studies have indicated that maxillary protrusion is the most common feature of Class II-1 malocclusion with a neutral mandibular position (Altemus, 1955; Rothstein & Yoon-Tarlie, 2000). Finally, some researchers have described the malpositioning of both the maxilla and mandible in this condition (Drelich, 1948; Lau & Hägg, 1999; Al-Khateeb & Al-Khateeb, 2009). Likewise, inconsistent results have been reported regarding the relationship between Class II-1 malocclusion and upper-airway dimensions, where some investigators suggested Class II-1 malocclusion may have an influence on the upper-airway dimensions (Mergen & Jacobs, 1970; Silva et al., 2015; Soni et al., 2015) while other authors did not find a significant correlation between the two (Sosa, Graber & Muller, 1982; Ceylan & Oktay, 1995; Bollhalder et al., 2013). Further, a number of tooth-size studies have investigated Class II-1 malocclusion; their results were also contradictory, with some reporting significant differences in tooth-size ratios between Class II-1 malocclusion and Class I malocclusion or normal occlusion (Fattahi, Pakshir & Hedayati, 2006; Wędrychowska-Szulc, Janiszewska-Olszowska & Stepień, 2010; Mollabashi et al., 2019) and others indicating no significant differences in this regard (Crosby & Alexander, 1989; Oktay & Ulukaya, 2010; Machado et al., 2018).

It is also important to consider that most of these previous studies were performed on radiographs or casts of Caucasians or without mention of the ethnicity of the investigated subjects, ignoring the fact that ethnicity is an important etiologic factor in Class II malocclusion (*Lau & Hägg, 1999; Ishii, Deguchi & Hunt, 2002*). Because of recent wars and conflicts, such as the Syrian conflict, ethnic diversity is increasing worldwide. During the last few years, Syrian refugees and immigrants have occupied the foreground of migration statistics, particularly in Europe (*Eurostat Database, 2019*). Data show that the population of Syrian immigrants has reached 8.2 million in 2019 and is considered to be among the fastest-growing populations of ethnic minorities (*DESTATIS, 2018; United Nations Population Division, 2019*). This inflow of Syrian refugees and immigrants into Europe and in many areas worldwide demonstrate the need for updated studies to discover the craniofacial characteristics of these new immigrants. Former studies on the Syrian population aimed to establish cephalometric and tooth-size norms (*Nourallah et al., 2005; Al Sabbagh, 2014*). However, limited data are available on the characteristics of Syrian adolescents with Class II-1 malocclusion.

The objective of this study was to elucidate the cephalometric and tooth-size characteristics of Syrian adolescents with Class II-1 malocclusion and compare the acquired data with those of Syrian population norms.

## MATERIALS AND METHODS

### Subjects

Ethical approval for the present retrospective study was obtained from the Human Investigation Review Board at the University of Szeged (151/2018-SZTE). Written informed consent to participate in this study was provided by the participants' guardians/parents.

According to previous dentofacial, upper-airway, and tooth-size studies, effect sizes were estimated from the Pog-Np distance 7.8 ( $\pm 8.1$ ) mm (*Sayin & Türkkahraman, 2005*), the upper-pharyngeal width 3.1 ( $\pm 2.6$ ) mm (*Mergen & Jacobs, 1970*), and the upper first molar's width 0.2 ( $\pm 0.2$ ) mm (*Lavelle, 1972*). On the basis of a significance level of alpha of 0.05 (two-sided) with a power of 80%, the sample size was calculated to detect the standardized effect sizes of 0.96 (7.8/8.1 mm), 1.19 (3.1/2.6 mm) and 1 (0.2/0.2 mm) for dentofacial, upper-airway and tooth-size comparisons, respectively. Sample size calculations when considering these three types of comparisons showed that 17 patients of each gender were necessary for inclusion in the present study (*Hulley et al., 2013*). As a consequence, cephalometric radiographs and orthodontic casts of 43 untreated patients with skeletal and dental Class II-1 malocclusion (24 females and 19 males, age: 14.3 ( $\pm 1.5$ ) years, mean ( $\pm$ standard deviation)) were selected from a private orthodontic practice in Damascus, Syria, based on the following inclusion criteria:

- Adolescents of Syrian origin aged between 12 and 17 years
- Overjet of more than 4 mm with an absence of retroclination of the upper incisors (1U/NA angle  $\geq 22$  degrees)
- Permanent dentition with bilateral distal occlusion (half-unit or greater)

- ANB angle of more than 4 degrees with a convex facial profile
- Absence of extractions or interproximal caries/restorations or any other condition that affects the dental mesiodistal distance

Patients with craniofacial syndromes or a history of trauma as well as previous orthodontic treatment were excluded from this study. Additionally, we excluded patients with cephalograms in which a swallowing action or obvious hyperplasia of tonsils and adenoids was detected.

Two previous studies on the Syrian population were used as sources of normative cephalometric and tooth-size measurements (*Nourallah et al., 2005*; *Al Sabbagh, 2014*) (Table S2).

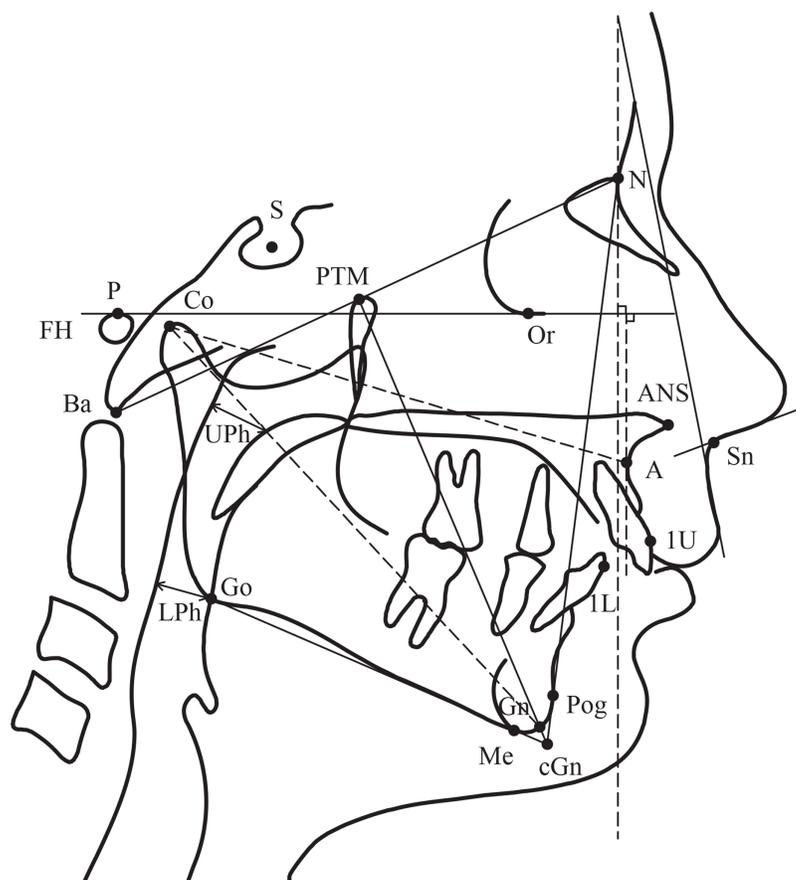
Age and gender distributions in the present study sample and normative studies' samples used as sources of normative measurements are shown in Table S3.

### Cephalometric measurements

Pretreatment lateral cephalometric radiographs were taken for each patient with the head in the natural position using a dental radiograph system (PAX 400; Vatech Co., Hawseong, Korea). The same X-ray machine was used to acquire cephalograms in the normative cephalometric study using the same protocol (*Al Sabbagh, 2014*). The cephalometric measurements used in this study were derived from the analyses developed by *McNamara (1984)* and *McNamara, Brust & Riolo (1992)*. Reference landmarks and lines are illustrated in Fig. 1. Definitions of the cephalometric measurements are shown in Table S4. Each radiograph was digitized and analyzed by one investigator (A. A.) using a special orthodontic software program (OnyxCeph3™, Image Instruments GmbH, Chemnitz, Germany). Additionally, upper-pharyngeal widths were computed for all Class II-1 subjects whose values were equal to 5 mm or less (*McNamara, 1984*).

### Orthodontic cast measurements

The mesiodistal crown diameters of all teeth from the right first permanent molar to the left first permanent molar on each cast were measured to the nearest 0.01 mm by one investigator (A. A.) using a universal digital caliper (MIB Messzeuge GMBH, Spangenberg, Germany). The measurements were conducted according to the methods described by *Seipel (1946)* and *Moorrees & Reed (1964)*. The same method was used in the normative tooth-size study (*Nourallah et al., 2005*). Bolton's overall ratio (sum of mesiodistal widths of 12 mandibular teeth divided by the sum of mesiodistal widths of 12 maxillary teeth multiplied by 100) and Bolton's anterior ratio (sum of mesiodistal widths of six mandibular anterior teeth divided by the sum of mesiodistal widths of six maxillary anterior teeth multiplied by 100) were calculated and used in the statistical analysis (*Bolton, 1958*). Overall and anterior ratios were computed for all Class II-1 subjects whose values were outside two SDs from Bolton's norms (*Bolton, 1958*) and were also computed for all Class II-1 subjects whose values were outside two SDs from Syrian population norms (*Nourallah et al., 2005*).



**Figure 1** Reference cephalometric landmarks and lines used in this study.

Full-size  DOI: [10.7717/peerj.9545/fig-1](https://doi.org/10.7717/peerj.9545/fig-1)

## Method error

Following a random selection of 10 patients, all measurements on their cephalometric radiographs and orthodontic casts were retaken 2 months later by the same investigator. To evaluate the method error, both measurements were compared using three approaches.

First, the random method error was established according to Dahlberg's formula ([Dahlberg, 1940](#)). The method errors for angular and linear measurements on cephalometric radiographs were within 0.64 degrees and 0.42 mm, respectively, while the method error for tooth-size measurements on orthodontic casts did not exceed 0.19 mm, and the errors of anterior and overall tooth-size ratios were 0.62 and 0.39, respectively. Second, the systematic error was investigated by paired sample *t*-tests; results showed that no systematic error could be found ( $p > 0.05$ ). Finally, intraclass correlation coefficients were calculated for all variables; results ranged from 0.935 to 0.999.

Inter-examiner reliability was established to investigate the potential investigator bias. Measurements of 10 randomly selected cephalograms and casts were replicated for a second time by another investigator. Random errors were within 0.53 mm and 0.61 degrees for linear and angular cephalometric variables, respectively, and within 0.28 mm for tooth-size measurements. Random errors of anterior and overall tooth-size ratios were

0.56 and 0.47, respectively. Systematic error was absent ( $p > 0.05$ ) and intraclass correlation coefficients were above 0.92.

### Statistical analysis

Descriptive statistics (Means, SDs and 95% CIs) of all variables were calculated with the use of the SPSS software 24.0 (SPSS Inc., Chicago, IL, USA). All variables in the total group (43 Syrian adolescents with Class II-1 malocclusion) and within each gender group (24 Syrian females and 19 Syrian males) were normally distributed according to Shapiro–Wilk test.

## RESULTS

Data presenting gender-based and general characteristics of Syrian adolescents with Class II-1 malocclusion are summarized in [Table 1](#).

Two variables (A-NP, SNA) were used to assess the sagittal position of the maxilla. The linear variable (A-NP) showed a value of 0.01 ( $\pm 2.33$ ) mm. The angular variable (SNA) showed a value of 80.46 ( $\pm 2.66$ ) degrees. The sagittal mandibular position was determined by one linear variable (Pog-NP) with a value of  $-11.01$  ( $\pm 4.69$ ) mm. The effective length of the maxilla (Cond-A) was 85.51 ( $\pm 4.48$ ) mm. The effective length of the mandible (Cond-Gn) was 107.98 ( $\pm 5.55$ ) mm. The difference between the maxillary length and the mandibular length (Max-Mand) was 22.47 ( $\pm 4.39$ ) mm.

Results in the vertical plane were as follows: First, lower anterior facial height (ANS-Me) showed a value of 68.30 ( $\pm 5.09$ ) mm. Second, mandibular plane angle (MP-FH) showed a value of 29.77 ( $\pm 5.62$ ) degrees. Third, facial axis (facial axis) showed a value of  $-6.25$  ( $\pm 4.56$ ) degrees.

For incisors position determination, two linear variables were used: First, maxillary incisors position (IU-AP) showed a value of 5.86 ( $\pm 2.05$ ) mm. Second, mandibular incisors position (IL-APog) showed a value of 4.85 ( $\pm 1.89$ ) mm.

Soft tissue measurements showed an obtuse nasolabial angle (NLA) of 104.05 ( $\pm 7.42$ ) degrees. Further, the value of the upper-lip angle (UL-NP) was 11.05 ( $\pm 7.60$ ) degrees.

Regarding upper-airway dimensions, upper and lower-pharyngeal widths (UPh, LPh) were assessed. The upper-pharyngeal width (UPh) was 11.50 ( $\pm 3.15$ ) mm. The lower-pharyngeal width (LPh) was 10.98 ( $\pm 2.90$ ) mm. However, there was no prevalence of upper-pharyngeal obstructions (upper-pharyngeal width  $\leq 5$  mm) in the subjects of this study.

Bolton tooth-size analysis revealed that the anterior ratio was 80.69 ( $\pm 2.73$ ) percent, while the overall ratio was 92.84 ( $\pm 1.70$ ) percent.

The percentage of Class II-1 patients who had anterior ratios greater than two SDs from Bolton's norm (77.2 ( $\pm 1.65$ ) percent) ([Bolton, 1958](#)) was 39.5%, whereas the percentage of Class II-1 patients who had anterior ratios greater than two SDs from Syrian population norm (78.99 ( $\pm 2.18$ ) percent) ([Nourallah et al., 2005](#)) was 25.6%. The percentage of Class II-1 patients who had overall ratios greater than two SDs from Bolton's norm (91.3 ( $\pm 1.91$ ) percent) ([Bolton, 1958](#)) was 6.98%, whereas none of Class II-1 patients had overall ratios greater than two SDs from Syrian population norm

**Table 1** Cephalometric measurements and tooth-size ratios of Syrian adolescents with Class II-1 malocclusion.

Cephalometric measurements	Syrian Males with Class II-1 Malocclusion (n = 19)		Syrian Females with Class II-1 Malocclusion (n = 24)		Syrian adolescents with Class II-1 Malocclusion (n = 43)	
	Mean (±S.D)	95% CIs for mean Lower, Upper	Mean (±S.D)	95% CIs for mean Lower, Upper	Mean (±S.D)	95% CIs for mean Lower, Upper
Skeletal measurements						
Sagittal values						
A-NP (mm)	-0.64 (±2.60)	<b>-1.90, 0.61</b>	0.53 (±2.00)	<b>-0.31, 1.38</b>	0.01 (±2.33)	<b>-0.70, 0.73</b>
SNA (°)	79.70 (±2.72)	<b>78.39, 81.01</b>	81.06 (±2.50)	<b>80.00, 82.11</b>	80.46 (±2.66)	<b>79.64, 81.27</b>
Pog-NP (mm)	-10.95 (±3.85)	<b>-12.81, -9.10</b>	-11.05 (±5.34)	<b>-13.31, -8.80</b>	-11.01 (±4.69)	<b>-12.45, -9.57</b>
Cond-A (mm)	85.84 (±5.31)	<b>83.28, 88.39</b>	85.25 (±3.79)	<b>83.65, 86.85</b>	85.51 (±4.48)	<b>84.13, 86.89</b>
Cond-Gn (mm)	108.47 (±5.81)	<b>105.67, 111.27</b>	107.59 (±5.43)	<b>105.30, 109.89</b>	107.98 (±5.55)	<b>106.27, 109.69</b>
Max-Mand (mm)	22.64 (±4.26)	<b>20.59, 24.69</b>	22.34 (±4.59)	<b>20.41, 24.28</b>	22.47 (±4.39)	<b>21.12, 23.82</b>
Vertical values						
ANS-Me (mm)	68.65 (±5.41)	<b>66.04, 71.26</b>	68.01 (±4.92)	<b>65.94, 70.09</b>	68.30 (±5.09)	<b>66.73, 69.86</b>
MP-FH (°)	28.70 (±5.15)	<b>26.21, 31.18</b>	30.61 (±5.94)	<b>28.11, 33.12</b>	29.77 (±5.62)	<b>28.04, 31.50</b>
Facial Axis (°)	-6.35 (±5.05)	<b>-8.78, -3.91</b>	-6.18 (±4.24)	<b>-7.97, -4.38</b>	-6.25 (±4.56)	<b>-7.65, -4.85</b>
Dental measurements						
1U-AP (mm)	5.66 (±2.07)	<b>4.66, 6.65</b>	6.03 (±2.06)	<b>5.16, 6.90</b>	5.86 (±2.05)	<b>5.23, 6.49</b>
1L-APog (mm)	4.33 (±1.92)	<b>3.40, 5.25</b>	5.27 (±1.79)	<b>4.51, 6.02</b>	4.85 (±1.89)	<b>4.27, 5.43</b>
Soft tissue measurements						
NLA (°)	104.78 (±6.32)	<b>101.73, 107.82</b>	103.47 (±8.27)	<b>99.98, 106.96</b>	104.05 (±7.42)	<b>101.77, 106.33</b>
UL-NP (°)	9.16 (±7.51)	<b>5.54, 12.78</b>	12.54 (±7.49)	<b>9.38, 15.70</b>	11.05 (±7.60)	<b>8.71, 13.39</b>
Airway measurements						
UPh (mm)	10.57 (±3.17)	<b>9.04, 12.10</b>	12.24 (±3.00)	<b>10.97, 13.51</b>	11.50 (±3.15)	<b>10.53, 12.47</b>
LPh (mm)	10.44 (±2.48)	<b>9.25, 11.63</b>	11.41 (±3.19)	<b>10.06, 12.75</b>	10.98 (±2.90)	<b>10.09, 11.87</b>
Tooth-size ratios						
Variables	Syrian Males with Class II-1 Malocclusion (n = 19)		Syrian Females with Class II-1 Malocclusion (n = 24)		Syrian adolescents with Class II-1 Malocclusion (n = 43)	
	Mean (±S.D)	95% CIs for means Lower, Upper	Mean (±S.D)	95% CIs for means Lower, Upper	Mean (±S.D)	95% CIs for means Lower, Upper
Anterior ratio (%)	80.55 (±2.95)	<b>79.12, 81.97</b>	80.81 (±2.60)	<b>79.71, 81.91</b>	80.69 (±2.73)	<b>79.85, 81.53</b>
Overall ratio (%)	92.74 (±1.80)	<b>91.87, 93.61</b>	92.92 (±1.65)	<b>92.23, 93.62</b>	92.84 (±1.70)	<b>92.32, 93.37</b>

**Notes:**

CIs, confidence intervals; S.D, standard deviation.

Bold values indicate lower and upper bounds of 95% confidence intervals for mean.

(92.26 (±2.06) percent) (*Nourallah et al., 2005*). Additionally, none of Class II-1 patients had anterior or overall ratios smaller than two SDs from Bolton's norms (*Bolton, 1958*), and none of Class II-1 patients had anterior or overall ratios smaller than two SDs from Syrian population norms (*Nourallah et al., 2005*).

## DISCUSSION

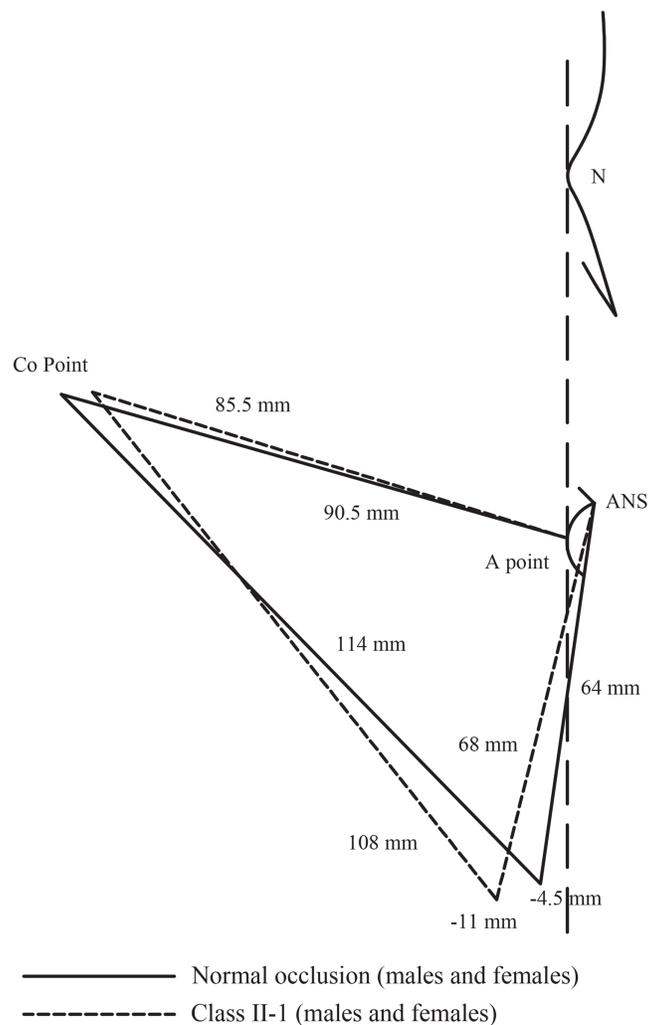
Several studies have reported the cephalometric and tooth-size features of individuals of Middle Eastern ethnicity (*Al Jundi & Riba, 2014; Ali, El-Shorbagy & Elliathy, 2016; Fouda, Hafez & Al-Awdi, 2017; Mollabashi et al., 2019; ELAbbasy, 2019*). Although Syrians

belong to the Middle Eastern ethnic group, limited data are available pertaining to the craniofacial characteristics of adolescents of Syrian nationality. Therefore, this compound cephalometric and tooth-size study was attempted to establish the dentofacial morphology, upper-airway dimensions, and tooth-size characteristics of Syrian adolescents with both skeletal and dental Class II-1 malocclusion. To our knowledge, this is the first comprehensive study on this topic.

In addition, 95% confidence intervals (CIs) for the means of cephalometric and tooth-size variables in the present study sample (Class II-1 malocclusion) (Table 1) were compared with 95% CIs for the means of normative cephalometric and tooth-size variables (Table S2) obtained from two previous studies (Nourallah et al., 2005; Al Sabbagh, 2014) and with 95% CIs for the means of the corresponding variables in previous Middle Eastern studies on Class II-1 malocclusion (Table S5).

### Skeletal components

In this study, the maxillary anteroposterior position was normal relative to the normative data, while the mandible was posteriorly positioned (CIs of A-NP, SNA, and Pog-NP in Table 1 vs. their corresponding values in Table S2); these findings were in agreement with those of several Middle Eastern studies (Demir, Uysal & Basciftci, 2005; Sayin & Türkkahraman, 2005; Isik et al., 2006; Mortazavi, Salehi & Ansari, 2009; Al Jundi & Riba, 2014) (CIs of A-NP, and Pog-NP in Table 1 vs. their corresponding values in Table S5). However, other Middle Eastern studies have reported different results (Al-Khateeb & Al-Khateeb, 2009; Ali, 2014); a possible reason for the divergence in findings could be, on the one hand, due to differences in the methods used for the determination of the maxillary and mandibular position (Pancherz, Zieber & Hoyer, 1997), while on the other hand, the involvement of different nationalities in the various studies may explain the range of results. Furthermore, previous Middle Eastern studies have suggested that the majority of Class II-1 patients may have abnormal development of the mandible, both in terms of size and in terms of position (Sayin & Türkkahraman, 2005; Mortazavi, Salehi & Ansari, 2009; Al Jundi & Riba, 2014). In this study, although a shorter absolute mandibular length was observed among Class II-1 subjects, the absolute maxillary length was also shorter when compared with the normative data, resulting in a normal maxillomandibular difference (CIs of Cond-A, Cond-Gn and Max-Mand in Table 1 vs. their corresponding values in Table S2). In the interpretation of such data, the difference between maxillary and mandibular lengths should also be considered because a geometric relationship exists between both measurements (McNamara, 1984). The normal maxillomandibular difference in this study confirms that mandibular length was proportional to the maxillary length. Therefore, the short mandibular and maxillary lengths do not represent a conclusive feature of Class II-1 subjects included in this study rather than a potential difference between this study and the cephalometric control study in estimating the point “condylion.” This point was considered by McNamara as “often difficult to find” and used as a measure of the lengths of both jaws; therefore, a slight difference in the estimation of condylion will simply affect the absolute lengths of both jaws but will not impact the maxillomandibular difference (McNamara, 1984).



**Figure 2** Comparison between Class II-1 subjects and normal occlusion subjects regarding the skeletal parameters. [Full-size](#) DOI: 10.7717/peerj.9545/fig-2

A prominent feature of subjects with Class II-1 malocclusion in the present study was the hyperdivergent facial pattern. The retruded mandible appeared to be accompanied by an increased mandibular plane angle and opened facial axis in both genders and excessive lower anterior facial height that was clear enough in females (CIs of ANS-Me, MP-FH and Facial Axis in [Table 1](#) vs. their corresponding values in [Table S2](#)). A review of the Middle Eastern literature suggests wide agreement with these results ([Sayin & Türkkahraman, 2005](#); [Isik et al., 2006](#); [Al-Khateeb & Al-Khateeb, 2009](#); [Mortazavi, Salehi & Ansari, 2009](#); [Ali, 2014](#); [Al Jundi & Riba, 2014](#)) (CIs of MP-FH in [Table 1](#) vs. their corresponding values in [Table S5](#)). Therefore, the posterior position of the mandible and, consequently, the inter-maxillary discrepancy of Class II-1 subjects in this study may be seen as a feature of the hyperdivergent facial pattern, as determined by the increased lower anterior facial height and backward rotation of the mandible ([Fig. 2](#)).

## Dentoalveolar components

Dentoalveolar aberrations were represented by protrusive mandibular incisors that were clear enough in females, whereas maxillary incisors were normally positioned in both genders when compared with the normative data (CIs of 1U-AP and 1L-APog in [Table 1](#) vs. their corresponding values in [Table S2](#)). These findings contradicted those of certain Middle Eastern studies ([Demir, Uysal & Basciftci, 2005](#); [Ali, 2014](#)). However, other Middle Eastern studies confirmed normally positioned maxillary incisors ([Sayın & Türkkahraman, 2005](#)) and more protrusive mandibular incisors existed in Class II-1 malocclusion ([Al-Khateeb & Al-Khateeb, 2009](#); [Mortazavi, Salehi & Ansari, 2009](#); [Al Jundi & Riba, 2014](#)) (CIs of 1U-AP and 1L-APog in [Table 1](#) vs. their corresponding values in [Table S5](#)). The inconsistent findings between this study and other previous studies might be owing to the use of different reference lines for the determination of the incisors position or might be attributed to the variations in the nationality background of the studied samples.

In the present study, the protrusion of mandibular incisors in Class II-1 subjects (especially in females) might be attributed to the dentoalveolar compensatory mechanism in response to the underlying skeletal discrepancy attempting to maintain relatively normal relationships between the dental arches ([Solow, 1980](#)). Another explanation might be the relative tooth-size excess observed in the mandibular anterior segment (see below), since the protrusion of mandibular incisors could occur because of space limitations.

## Soft tissue components

The soft tissue parameters of Class II-1 subjects, when compared with the normative data, showed a more obtuse nasolabial angle (CIs of NLA in [Table 1](#) vs. their corresponding values in [Table S2](#)). A previous Middle Eastern study found that Class II subjects had a greater value of the nasolabial angle than those with Class I; however, the difference was not significant ([Gulsen et al., 2006](#)). Conversely, other Middle Eastern studies suggested different results ([Al-Saleem, 2013](#); [Mohammed, Nissan & Taha, 2013](#)) (CIs of NLA in [Table 1](#) vs. their corresponding values in [Table S5](#)). A probable reason for this variability among outcomes might be the differences in the methods used to determine the nasolabial angle ([Hwang, Kim & McNamara, 2000](#)). Moreover, nationality differences may be a possible reason for such variability in soft tissue results.

Since there was no difference in the upper-lip angulation (CIs of UL-NP in [Table 1](#) vs. their corresponding values in [Table S2](#)), our findings suggest that the angulation of the lower border of the nose may be a reason for the more obtuse nasolabial angle in Class II-1 subjects. In the present study, it was not possible to investigate the slope of the lower nasal border because there was no normative data available on this variable. [Fitzgerald, Nanda & Currier \(1992\)](#) established a reliable method of constructing the nasolabial angle to determine the interrelationship between the nose and the upper lip. Further investigations that consider using this method are needed to validate our results.

## Upper-airway dimensions

In the present study, upper-pharyngeal widths when compared with the normative data were smaller in Class II-1 subjects, and lower-pharyngeal widths showed smaller widths

that were clear enough in males (CIs of UPh and LPh in [Table 1](#) vs. their corresponding values in [Table S2](#)). These findings support the results of previous Middle Eastern studies ([Ali, 2014](#); [Elwareth Abd Elrazik Yousif, 2015](#); [Gholinia, Habibi & Amrollahi Boyouki, 2019](#)) (CIs of UPh and LPh in [Table 1](#) vs. their corresponding values in [Table S5](#)). In contrast, some Middle Eastern studies denied that a relationship exists between Class II-1 malocclusion and airway dimensions ([Abu Allhaija & Al-Khateeb, 2005](#); [Uslu-Akcam, 2017](#)). An explanation for the contrasting results might be an overlap between etiologic factors, including abnormal skeletal morphology and abnormal upper-airway soft tissue structures ([Ferguson et al., 1995](#)). In this study, the observed smaller pharyngeal widths might be attributable to the hyperdivergent facial pattern associated with Class II-1 malocclusion; this corroborates previous results in the Middle Eastern literature ([Elwareth Abd Elrazik Yousif, 2015](#)).

McNamara emphasized that an upper-pharyngeal width of 5 mm or less can be used as an indicator of possible airway obstruction, whereas lower pharyngeal measurements smaller than average values are not remarkable ([McNamara, 1984](#)). According to this indicator, there was no prevalence of pharyngeal obstructions in the subjects of this study. However, a more accurate diagnosis can be made only by an otorhinolaryngologist ([McNamara, 1984](#)).

### Tooth-size characteristics

Former Middle Eastern studies have indicated that tooth-size disharmonies exist among different groups of malocclusion ([Fattahi, Pakshir & Hedayati, 2006](#); [Mollabashi et al., 2019](#)). In accordance with our results on tooth-size ratios (CIs of anterior ratio and overall ratio in [Table 1](#) vs. their corresponding values in [Table S2](#)), some Middle Eastern studies did not find differences in overall ratios between Class II-1 subjects and the normative data ([Asiry & Hashim, 2012](#)), whereas other Middle Eastern studies described an overall ratio for Class II-1 subjects that was smaller ([Mollabashi et al., 2019](#); [Shamaa, 2019](#)) or larger ([Uysal et al., 2005](#)) compared to that of subjects with normal occlusion. Similarly, variability can be found in the Middle Eastern literature regarding the anterior ratio, whereas a smaller ratio ([Fattahi, Pakshir & Hedayati, 2006](#)) or no differences were detected ([Uysal et al., 2005](#); [Asiry & Hashim, 2012](#)) (CIs of anterior ratio and overall ratio in [Table 1](#) vs. their corresponding values in [Table S5](#)). Such divergence in findings may be explained by differences in the nationality background of the samples.

Several studies confirmed that a tooth-size disharmony greater than two SDs, as compared with Bolton's norms, could create clinical difficulties, particularly in the finishing phase of treatment ([Crosby & Alexander, 1989](#); [Wędrychowska-Szulc, Janiszewska-Olszowska & Stepień, 2010](#)). In contrast, one study suggested that Bolton's SDs may not be a valuable index to use to determine the clinical significance of tooth-size disharmony because of their relatively modest values ([Othman & Harradine, 2006](#)). Therefore, the frequencies of tooth-size discrepancy outside two SDs from Bolton's norms as well as the frequencies of tooth-size discrepancy outside two SDs from Syrian

population norms were calculated in this study. The percentage of patients with anterior ratios greater than two SDs from Bolton's norm was 39.5%, while the percentage of patients who had anterior ratios greater than two SDs from Syrian population norm was 25.6%, representing a relative tooth-size excess in the mandibular anterior segment that was great enough to warrant clinical concern. These relatively high percentages of patients with an anterior ratio exceeding two SDs may be explained by the association of a higher percentage of tooth-size disharmonies and sufficiently remarkable malocclusions such as Class II-1 malocclusion. These disharmonies are particularly evident in the anterior segment, since the anterior teeth, especially the incisors, have the greatest incidence of tooth-size variations (*Fattahi, Pakshir & Hedayati, 2006*).

### Limitations

As far as limitations of the current study were concerned, consideration must be given to the sample size. Although the sample size estimation showed sufficient sample size for each gender group, the sample size was small; therefore, the results should be interpreted with caution and further studies with larger sample size are warranted. Such studies should also include adolescents from several orthodontic centers, since the data in the present study were recruited from only one private orthodontic practice in Syria. Additionally, there was no concurrent control group in this study. The inclusion of a matched control group in this investigation would have been desirable for a better comparison, although, in the case of normative cephalometric data, requiring the exposure of patients with well-balanced dentofacial relationships to X-ray radiation constitutes an ethical issue. As an alternative, already established cephalometric and tooth-size data were used as sources of normative measurements (*Nourallah et al., 2005; Al Sabbagh, 2014*). Although age could not be a confounding factor in tooth-size analysis, a wider age range was used in the normative cephalometric study than that in this study which could be a confounding factor in the cephalometric analysis. Because no other cephalometric data have been published on Syrian adolescent norms; these published data, therefore, were used as sources of normative measurements.

### CONCLUSIONS

- In this study, cephalometric results showed that a hyperdivergent facial pattern was the main cause of the inter-maxillary discrepancy in Syrian adolescents with Class II-1 malocclusion, while the observed small pharyngeal widths were not clinically significant.
- Tooth-size results revealed that 39.5% of samples had anterior ratios exceeding two SDs of Bolton's norm and 25.6% of samples had anterior ratios exceeding two SDs of Syrian population norm, which may be considered as clinically relevant.
- Determining the craniofacial characteristics of Class II-1 malocclusion in the young Syrian population would help orthodontists to establish an effective protocol for long-term stable treatment of Syrian orthodontic patients.

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### Competing Interests

The authors declare that they have no competing interests.

### Author Contributions

- Alaa Al Ayoubi conceived and designed the experiments, performed the experiments, analyzed the data, prepared figures and/or tables, authored or reviewed drafts of the paper, and approved the final draft.
- Daniel Dalla Torre analyzed the data, authored or reviewed drafts of the paper, and approved the final draft.
- Melinda Madléna conceived and designed the experiments, analyzed the data, authored or reviewed drafts of the paper, and approved the final draft.

### Human Ethics

The following information was supplied relating to ethical approvals (i.e., approving body and any reference numbers):

Ethical approval for the present study was obtained from the Human Investigation Review Board at the University of Szeged (151/2018-SZTE).

### Data Availability

The following information was supplied regarding data availability:

The raw measurements are available in the [Supplemental Files](#).

### Supplemental Information

Supplemental information for this article can be found online at <http://dx.doi.org/10.7717/peerj.9545#supplemental-information>.

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**II**

RESEARCH NOTE

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# Dentoskeletal and tooth-size differences between Syrian and Hungarian adolescents with Class II division 1 malocclusion: a retrospective study

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## Abstract

**Objectives:** Malocclusion features differ across various populations and ethnicities. At this time, no data are available regarding the dentofacial differences between Syrian and European adolescents with Class II division 1 malocclusion, which is one of the most frequently treated pathologies in orthodontic practice. The present combined cephalometric and tooth-size study aimed to compare the dentoskeletal and tooth-size characteristics of Syrian and Hungarian adolescents with Class II division 1 malocclusion.

**Results:** Class II division 1 malocclusion in Hungarian adolescents was a sagittal discrepancy, while in Syrian adolescents, it was a result of excessive vertical development. Syrian adolescents had a significantly excessive vertical development when compared with Hungarian adolescents, regardless of sex ( $p < 0.01$ ). Hungarian boys had significantly more protruded maxillae ( $p < 0.001$ ) and less retruded mandibles ( $p < 0.01$ ) when compared with Syrian boys, while Hungarian girls had significantly shorter mandibles relative to those of Syrian girls ( $p < 0.01$ ). Syrian girls had significantly more protrusive lower incisors ( $p < 0.001$ ), accompanied by significantly larger anterior tooth-size ratios when compared to Hungarian girls ( $p < 0.001$ ). In conclusion, these findings underscore the importance of considering ethnic differences during orthodontic diagnosis and may have implications for optimizing orthodontic treatments in Syrian and Hungarian adolescents with Class II division 1 malocclusion.

**Keywords:** Ethnic differences, Dentoskeletal structure, Tooth size, Class II division 1 malocclusion

## Introduction

Although several studies have reported ethnic differences in dentoskeletal structure and tooth-size characteristics [1–8], limited studies have investigated these differences in Class II division 1 (Class II/1) malocclusion [9–11]. With prevalences of 16% and 23.5% in Syrian and Hungarian adolescents, respectively [12, 13], this is one of

the most frequently seen malocclusions in orthodontic practice.

Various factors cause Class II/1 malocclusion. However, conflicting results, possibly due to ethnic variations, have prevented their general characterization [9–11]. Likewise, tooth-size ratios show ethnic differences [4, 5]. In view of recent migration trends, especially from the Middle-East toward Europe, these findings underscore the need for updated comparisons of dentoskeletal and tooth-size characteristics across ethnicities [14]. Although some research has considered some dentoskeletal and tooth-size characteristics of Syrian populations [15, 16] or Hungarian populations [17, 18], no study has

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compared these characteristics between Syrian and European (Hungarian) adolescents.

Despite the evaluation of Class II/1 malocclusion in multiple studies, the following factors limit their results:

- The influence of skeletal age variations on results' reliability has been neglected, since most Class II/1 malocclusion studies grouped patients by their chronological age [9–11, 19–23].
- While most studies selected patients with Class II/1 malocclusion based on their dental relationships (molar and/or incisor relationships) [9, 11, 20, 21, 23–25], these patients might have had either Class I or Class II skeletal pattern; studies on both skeletal and dental Class II/1 malocclusion are lacking [10, 22, 26].
- The dentoskeletal structure of individuals with Class II/1 malocclusion was analyzed during childhood [10, 11], adulthood [26], or by including subjects across a wide age-range—early childhood to adulthood [19–21]. However, most orthodontic patients seek treatment during adolescence, during which all treatment options and techniques are available.
- Limited studies have included cephalometric and tooth-size measurements in the same investigation [1]. This combination could comprehensively diagnose the malocclusion and elucidate the complex relationship between its components.

**Objective**

This combined cephalometric and tooth-size study aimed to compare the skeletal morphology, dental position, and tooth size of skeletal age- and sex-matched Syrian and Hungarian adolescents with skeletal and dental Class II/1 malocclusion.

**Main text**

**Materials and methods**

**Subjects**

The sample size assumed a bilateral two-sample *t* test for assessment. According to previous similar dentoskeletal

and tooth-size studies [5, 27], effect sizes were estimated from the SNGoMe angle  $5.80^\circ (\pm 5.78^\circ)$  [27], and the upper central-incisor width  $0.54 (\pm 0.52)$  mm [5], respectively. With a significance level  $\alpha = 0.05$  (two-sided) and 80% power, the required sample size was calculated to detect standardized effect sizes of 1.00 ( $5.80/5.78^\circ$ ) and 1.03 ( $0.54/0.52$  mm) for dentoskeletal and tooth-size comparisons, respectively. Sample size calculation showed that 17 patients were required for each sex subgroup in each ethnic group [28]; lateral cephalograms and dental casts of 86 untreated patients with skeletal and dental Class II/1 malocclusion were thus included in this study. The study sample comprised two groups based on ethnicity—group 1, 43 Syrian patients selected from a private orthodontic office in Damascus, Syria; and group 2, 43 Hungarian patients selected from the Department of Orthodontics and Pediatric Dentistry, Faculty of Dentistry, University of Szeged, Hungary. Both groups included 24 girls and 19 boys. Each patient in group 1 was matched with a patient in group 2 by sex and skeletal age. To eradicate bias caused by growth variation, skeletal age was assessed from lateral cephalograms according to the method developed by Baccetti et al. [29]. Age and sex distributions of the study sample are summarized in Table 1.

The inclusion criteria were as follows:

- Age, 12–17 years.
- Syrian ethnicity for group 1; Hungarian ethnicity for group 2.
- Overjet > 4 mm without upper incisor retroclination ( $U1/NA$  angle  $\geq 22^\circ$ ).
- Half-unit or greater bilateral distal occlusion with permanent dentition.
- ANB angle >  $4^\circ$  with a convex facial profile.
- No extractions, interproximal restorations, or conditions affecting the mesio-distal teeth diameter.

The exclusion criteria were patients with history of orthodontic treatment, trauma, or craniofacial syndromes. The Human Investigation Review Board at the University of Szeged (151/2018-SZTE) approved this

**Table 1 Age and sex distributions of the study groups**

	Boys			Girls			Total
	Mean age $\pm$ S.D (y)	Age range (y)	Sample size (n)	Mean age $\pm$ S.D (y)	Age range (y)	Sample size (n)	
Syrians	14.1 $\pm$ 1.7	11.7–17.3	19	14.6 $\pm$ 1.4	11.9–17.1	24	43
Hungarians	14.0 $\pm$ 2.0	11.4–17.6	19	14.6 $\pm$ 1.8	11.3–17.7	24	43
Total			38			48	86

retrospective cross-sectional study. The participants or their parent/legal guardian provided written informed consent to participate in this study.

### Cephalometric measurements

Pretreatment lateral cephalograms were obtained for each patient in both ethnic groups using the same protocol (head in natural position and teeth in maximal intercuspation). Magnification was corrected to 1:1 since the cephalograms were acquired with two different machines. The cephalometric measurements used herein were derived from the analyses developed by Jarak, Steiner, and the University of Bonn [30–32]. Definitions of the cephalometric measurements are presented in Additional file 1: Table S1. Landmarks and reference lines are shown in Additional file 2: Figure S1. A special orthodontic software (OnyxCeph3™, Image Instruments GmbH, Chemnitz, Germany) was used by one investigator to digitize and analyze all lateral cephalograms.

### Dental-cast measurements

The teeth in both arches—from the right first permanent molar to the left first permanent molar—were measured at the largest mesio-distal dimension [33], to the nearest 0.01-mm, by one investigator using a universal digital caliper (MIB Messzeuge GmbH, Spangenberg, Germany). Bolton's overall ratio ( $\sum$ widths of the mandibular 6–6/ $\sum$ widths of the maxillary 6–6  $\times$  100) [34] and Bolton's anterior ratio ( $\sum$ widths of the mandibular 3–3/ $\sum$ widths of the maxillary 3–3  $\times$  100) [34] were calculated and used in statistical analyses.

### Method error

To establish intra-examiner reliability, measurements of 20 randomly selected cephalograms and casts were replicated several weeks later by the same investigator. Dahlberg's formula [35] showed random errors  $\leq 0.40$  mm and  $\leq 0.44^\circ$  for linear and angular cephalometric variables, respectively, and  $\leq 0.17$  mm for tooth-size measurements. Paired sample *t*-tests showed no systematic error ( $p > 0.05$ ). Intraclass correlation coefficients were  $> 0.95$ .

To establish inter-examiner reliability, measurements of 20 randomly selected cephalograms and casts were replicated again by another investigator. Random errors were  $\leq 0.46$  mm and  $\leq 0.48^\circ$  for linear and angular cephalometric variables, respectively, and  $\leq 0.33$  mm for tooth-size measurements. Systematic error was absent ( $p > 0.05$ ). Intraclass correlation coefficients were  $> 0.93$ .

### Statistical analyses

Descriptive statistics of each variable were calculated using SPSS software 24.0 (SPSS Inc., Chicago, USA). Intergroup comparisons were performed using *t*- or

Mann–Whitney *U*-tests, depending on data normality (according to Shapiro–Wilk's test). For normally-distributed data, two-sample or Welch's *t*-tests were used depending on equality of variance (according to *F*-test). The level of statistical significance was set at 0.05.

### Results

Results for sex-based comparisons between the two ethnic groups are presented in Table 2.

Results for overall comparisons between the two ethnic groups are presented in Additional file 3: Table S2.

### Cephalometric comparisons

Sagittal comparisons revealed that Hungarian boys had significantly more protruded maxillae (SNA) than their Syrian counterparts ( $p < 0.001$ ), while Syrian boys had significantly more retruded mandibles (SNB) ( $p < 0.01$ ). Hungarian girls had significantly smaller mandibular lengths (Go-Gn) than their Syrian counterparts ( $p < 0.01$ ) (Fig. 1).

Vertical measurements (ArGoMe,  $\sum$ Bjork, SN/GoMe, and S-Go:N-Me) showed significant differences ( $p < 0.01$ ) between Syrian and Hungarian adolescents, regardless of sex, indicating a hyperdivergent facial pattern in Syrian ethnicity (Additional file 3: Table S2).

Dental measurement results revealed that Syrian girls had significantly more protruded lower incisors (L1/NB and L1-NB) ( $p < 0.001$ ) than Hungarian girls. These observations did not extend to the male populations (Table 2).

### Tooth-size comparisons

Syrian girls had significantly greater anterior tooth-size ratios than Hungarian girls ( $p < 0.001$ ). Syrian and Hungarian boys showed no such difference (Table 2).

### Discussion

This study evaluated the effects of ethnic variations on dentoskeletal and tooth-size characteristics of Syrians and Hungarians adolescents with Class II/1 malocclusion. As most dentoskeletal variations can be ascribed to sex, age (maturity stage), and ethnicity [9], and most tooth-size variations can be ascribed to sex and ethnicity [4, 5], this study compared sex- and skeletal age-matched individuals to yield clear conclusions on the impact of ethnicity on dentoskeletal and tooth-size characteristics.

Skeletal comparisons showed that sagittal measurements significantly differed between the two groups—Hungarian boys had more protruded maxillae compared to Syrian boys, while Hungarian girls had significantly shorter mandibles than Syrian girls (Fig. 1). These observations in Hungarian adolescents support those of a previous study [19], which found

**Table 2 Sex-based comparison of cephalometric measurements and tooth-size ratios between the two ethnic groups**

	Boys					Girls				
	Syrians (n = 19) Mean ± S.D	Hungarians (n = 19) Mean ± S.D	95% CI of Mean difference		p-value	Syrians (n = 24) mean ± S.D	Hungarians (n = 24) Mean ± S.D	95% CI of Mean difference		p-value
			L	U				L	U	
Cephalometric measurements ∂										
Skeletal measurements										
Sagittal values										
SNA (°)	79.70 ± 2.72	83.43 ± 2.79	-5.54	-1.91	< 0.001	81.06 ± 2.50	80.69 ± 3.49	-1.40	2.13	0.679
SNB (°)	73.81 ± 2.93	76.73 ± 2.82	-4.81	-1.03	0.003	74.05 ± 2.85	74.24 ± 3.62	-2.09	1.70	0.840
ANB (°)	5.89 ± 1.46	6.70 ± 1.19	-1.69	0.06	0.068	7.01 ± 1.75	6.45 ± 1.56	-0.41	1.52	0.249
ANS-PNS (mm)	56.44 ± 4.95	56.68 ± 3.12	-2.97	2.49	0.859	55.00 ± 4.04	53.39 ± 3.15	-0.50	3.71	0.132
Go-Gn (mm)	73.13 ± 6.18	71.38 ± 5.05	-1.96	5.47	0.345	71.93 ± 4.58	67.77 ± 4.50	1.52	6.79	0.003
Vertical values										
ArGoMe (°)	124.08 ± 7.88	119.11 ± 6.25	0.30	9.66	0.038	125.29 ± 9.62	120.18 ± 6.67	0.30	9.92	0.038
∑ Bjork (°)	398.33 ± 5.75	392.16 ± 5.85	2.35	9.99	0.002	400.14 ± 6.43	394.53 ± 6.75	1.78	9.44	0.005
Ar-Go (mm)	41.56 ± 5.11	44.48 ± 4.70	-6.15	0.30	0.074	42.01 ± 4.81	39.98 ± 4.17	-0.58	4.65	0.124
SN/GoMe (°)	38.33 ± 5.76	32.16 ± 5.85	2.35	9.99	0.002	40.14 ± 6.43	34.53 ± 6.75	1.78	9.44	0.005
S-Go:N-Me (%)	61.64 ± 4.09	66.71 ± 4.51	-7.90	-2.24	0.001	60.62 ± 4.69	64.47 ± 5.42	-6.80	-0.90	0.012
Dental measurements										
U1/NA (°)	26.97 ± 4.18	26.98 ± 3.71	-2.62	2.58	0.989	26.44 ± 2.60	28.16 ± 4.32	-3.81	0.36	0.102
L1/NB (°)	29.37 ± 5.56	28.80 ± 5.23	-2.99	4.11	0.750	35.45 ± 4.34	27.50 ± 6.05	4.89	11.01	< 0.001
U1-NA (mm)	5.86 ± 2.06	4.90 ± 2.11	-0.42	2.33	0.166	6.21 ± 2.15	5.39 ± 2.29	-0.47	2.11	0.208
L1-NB (mm)	8.22 ± 2.03	6.88 ± 2.08	-0.01	2.69	0.052	9.73 ± 1.96	5.52 ± 1.88	3.09	5.32	< 0.001
Tooth-size ratios ∂										
Anterior ratio (%)	80.55 ± 2.95	79.42 ± 2.08	-0.57	2.81	0.186	80.81 ± 2.60	77.89 ± 2.42	1.46	4.38	< 0.001
Overall ratio (%)	92.74 ± 1.80	92.79 ± 2.33	-1.42	1.32	0.941	92.92 ± 1.65	91.87 ± 1.98	0.00	2.11	0.051

Italic values indicate significance of p value (p < 0.05)

∂ t-tests for independent variables

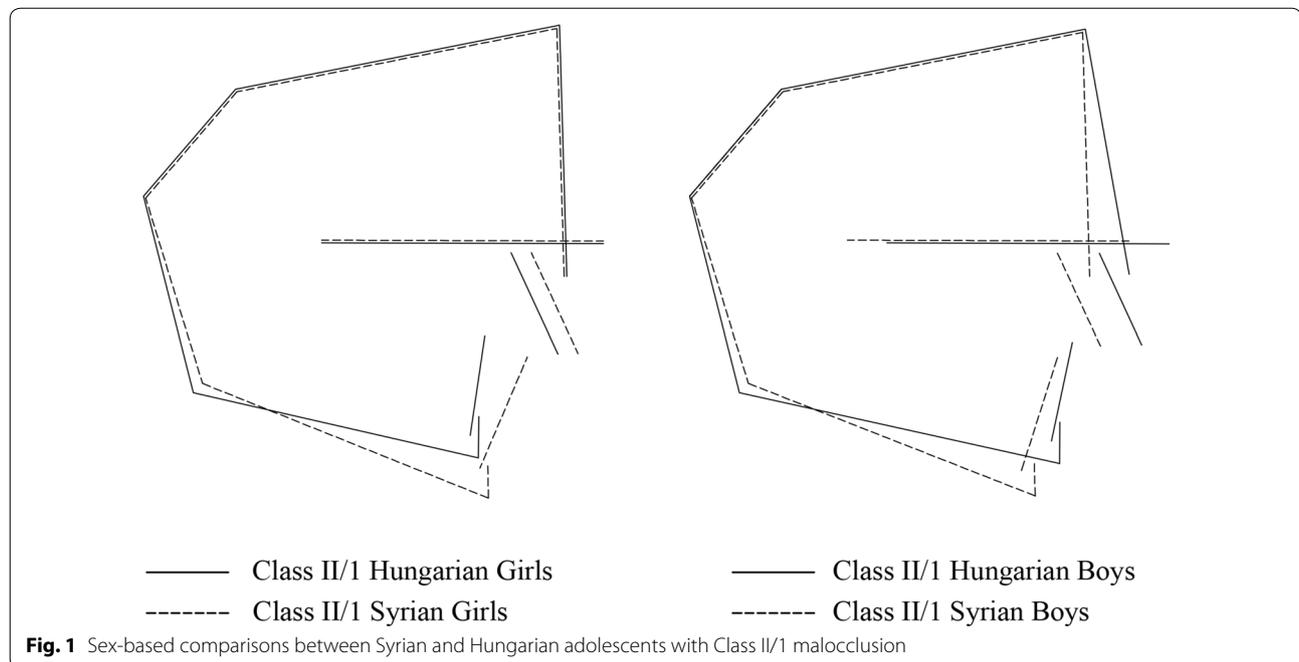
S.D Standard deviation, CI Confidence interval

Class II/1 Caucasian boys with maxillary protrusion and Class II/1 Caucasian girls with shorter-than-average mandibular length. Although Syrian adolescents had relatively normal maxillary position and mandibular length as compared with Hungarian adolescents, their mandibles were posteriorly positioned. This was evident in Syrian boys with significantly smaller SNB angles than Hungarian boys who had relatively normal mandibular position. In contrast, Syrian girls also had restricted mandibles; however, the diminished mandibular sizes of Hungarian girls were pronounced enough to cause a non-significant difference in SNB measurements between the two female populations. Non-Caucasian studies reported similar findings of normal maxillary position and retruded mandible in Class II/1 Syrian subjects [20, 22, 23, 26]. Contrarily, some Caucasian studies reported varying findings with normally positioned mandibles and protruded maxillae [24, 25].

The involvement of different ethnicities across various studies may explain the inconsistent findings.

Many authors have recognized the importance of excessive vertical development in the determination of mandibular position [10, 20, 26]. Thus, retruded mandibles of Syrian adolescents in this study can be explained with respect to the vertical plane: Syrian adolescents, in comparison to Hungarian adolescents, regardless of sex, had hyperdivergent facial patterns (Fig. 1). This finding agrees with those of other studies that compared non-Caucasian and Caucasian Class II patients [9, 10, 27].

The position of dentition, relative to the skeletal structure, is another important aspect of Class II/1 malocclusion. The only significant difference in the dental position found in this study was related to the lower incisors and was evident among girls. Syrian girls had more protrusive lower incisors than Hungarian girls. Status on the position of lower incisors in patients with Class II/1 malocclusion remains unclear. Most non-Caucasian studies



have reported protruded lower incisors [20–22, 26], and two comparative studies [9, 10] support ethnic variations in lower incisor position between non-Caucasian and Caucasian populations. Since a tooth-size excess with space limitation might cause incisor protrusion, the larger anterior tooth-size ratios of Syrian girls relative to Hungarian girls might further account for their lower incisor protrusion. This is because the large anterior tooth-size ratios of Syrian girls reflect a relative tooth-size excess in the lower anterior region. The significant difference in anterior tooth-size ratio between Syrian and Hungarian girls can be attributed to ethnic variations [4, 5].

#### **Clinical implications**

First, because Class II/1 malocclusion in Hungarian adolescents represents sagittal discrepancy (protruded maxillae in boys; short mandibles in girls), treatment strategies should aim to inhibit maxillary growth using extra-oral forces in Hungarian boys and enhance mandibular growth using functional appliances in Hungarian girls. Second, since Syrian adolescents had considerable vertical tendencies and most orthodontic treatment mechanics tend to open the bite, greater care should be exercised to control the vertical dimension when treating Syrian adolescents compared with Hungarian adolescents. Treatment strategies for Class II/1 Syrian adolescents should aim to alter the extent and direction of vertical facial growth and prevent posterior

mandibular rotation. Finally, Class II/1 Syrian girls exhibited more protrusive lower incisors with relative tooth-size excess in the lower anterior segment, which affects treatment objectives regarding the optimal final position of these teeth. Therefore, a more interproximal reduction might be a better indication for Syrian girls than Hungarian girls, if the treatment decision is to decrease protrusion. Contrarily, protruded lower incisors, more than the standard levels, might be acceptable outcomes in Syrian girls.

#### **Conclusions**

Class II/1 treatment strategies for Hungarian adolescents are not applicable to Syrian adolescents, because.

- Class II/1 malocclusion reflects sagittal discrepancy in Hungarian adolescents (protruded maxillae in boys; short mandibles in girls), while it was caused by excessive vertical growth among Syrian adolescents, regardless of sex.
- Class II/1 Syrian girls have more protrusive lower incisors with a relative tooth-size excess in the lower anterior region compared with Class II/1 Hungarian girls.

Thus, even among patients with the same malocclusion type, dentoskeletal and tooth-size characteristics can vary with ethnicity. Hence, orthodontists should

be aware of this variation to optimize their differential diagnosis and treatment planning.

### Limitations

Although sample size estimation showed sufficient sample sizes, they were relatively small. This was the major drawback of the present study; therefore, the results should be interpreted with caution, and additional studies with larger sample sizes are warranted.

### Supplementary information

**Supplementary information** accompanies this paper at <https://doi.org/10.1186/s13104-020-05115-0>.

**Additional file 1: Table S1.** Definitions of the cephalometric measurements used in this study.

**Additional file 2: Figure S1.** Landmarks and reference lines used in this study.

**Additional file 3: Table S2.** Overall comparison of cephalometric measurements and tooth-size ratios between the two ethnic groups.

### Abbreviation

Class II/1: Class II division 1.

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### Authors' contributions

AA contributed to the planning, data acquisition, analysis of data, interpretation of the results and writing of the manuscript. AK contributed to analysis of data, interpretation of the results and writing of the manuscript. MM contributed to the planning, analysis of data, interpretation of the results and writing of the manuscript. All authors read and approved the final manuscript.

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### Availability of data and materials

The datasets generated and analyzed during the current study are available from the corresponding authors on reasonable request.

### Ethics approval and consent to participate

The present study was approved by the Human Investigation Review Board at the University of Szeged (151/2018-SZTE). Written informed consent to participate in this study was provided by the participants or their parent/legal guardian.

### Consent for publication

Not applicable.

### Competing interests

The authors declare that they have no competing interests.

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