

The role of probabilistic tractography in the surgical treatment of deep seated brain tumors

Ph.D Thesis

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Original publications related to the thesis

I. Neurosurgery. 2014 Jun;10 Suppl 2:262-72.

The Role of Probabilistic Tractography in the Surgical Treatment of Thalamic Gliomas

Kis D, Mate A, Voros E, Kincses T, Barzo P

IF: 3.62

II. Brain Res. 2018 Jul 1;1690:74-88.

Connectivity-based segmentation of the brainstem by probabilistic tractography.

Máté A, **Kis D**, Czigner A, Fischer T, Halász L, Barzó P.

IF: 3.125

Original publications not directly related to the thesis

I. Brain Res. 2016 Oct 1;1648(Pt A):438-44.

Effect of subthalamic stimulation on distal and proximal upper limb movements in Parkinson's disease.

Tamás G, Kelemen A, Radics P, Valálik I, Heldman D, Klivényi P, Vécsei L, Hidasi E, Halász L, **Kis D**, Barsi P, Golopencza P, Erőss L.

IF: 2.746

II. Brain Res. 2009 Aug 4;1283:50-7.

PACAP and VIP differentially preserve neurovascular reactivity after global cerebral ischemia in newborn pigs.

Lenti L, Zimmermann A, **Kis D**, Oláh O, Tóth GK, Hegyi O, Busija DW, Bari F, Domoki F.

IF: 2.463

III. Brain Res. 2007 Aug 24;1165:81-8.

Pituitary adenylate cyclase-activating polypeptide induces pial arteriolar vasodilation through cyclooxygenase-dependent and independent mechanisms in newborn pigs.

Lenti L, Domoki F, **Kis D**, Hegyi O, Toth GK, Busija DW, Bari F.

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Abbreviations

AC – anterior commissure
ALIC – anterior limb of internal capsule
ARAS – ascending reticular activating system
ASSET – array spatial sensitivity encoding technique
CDR – connectivity-defined region
CoG_{conn} – center of gravity connectivity
CM – connectivity map
CST/CBT – corticospinal and corticobulbar tracts
DES – direct electrical stimulation
DOF – degree of freedom
DTI – diffusion tensor imaging
FA – fractional anisotropy
FDT – FMRIB's Diffusion Toolbox
FLIRT – FMRIB's Linear Image Registration Tool
FOV – field of view
FSL – FMRIB Software Library
MNI152 – Montreal Neurological Institute 152
MRI – magnetic resonance imaging
NEX – number of excitation
PDM – probability distribution map
PLIC – posterior limb of internal capsule
rp – Pearson's coefficient
rs – Spearman's rho
SNR – signal to noise ratio
TBI – traumatic brain injury
TE – echo time
TI – inversion time
TR – repetition time

Summary

Surgery provides the best survival rate for brain tumor patients but the risk of severe permanent neurological deficit is high in case of deep-seated tumors involving eloquent regions. Advanced MRI-based brain mapping techniques, such as probabilistic tractography are capable to identify important white matter pathways and subcortical nuclei that are not visible on conventional MRI sequences.

In this Ph.D thesis we examined if probabilistic tractography is suitable to identify important brain structures in tumor patients and healthy subjects in two distinct subcortical eloquent regions: 1, the thalamus and 2, the brainstem. As far as we know, no previous study has examined this question before.

In thalamic tumor patients we used connectivity-based thalamic segmentation by probabilistic tractography to identify the border of the normal thalamus and the tumor and differentiate the thalamic nuclei. The same technique was applied to the brainstem in healthy subjects to segment the four main ascending-descending pathways, namely the corticospinal/corticobulbar, the frontopontine and the sensory pathways and the reticular formation. The method was applied in two representative brainstem tumor patients to demonstrate the clinical applicability of the technique.

Our results showed that connectivity-based segmentation by probabilistic tractography of the thalamus and the brainstem is suitable for clinical use and can potentially lower the surgical risk of tumor resection in these delicate eloquent subcortical brain regions.

1. Introduction

In the treatment of intrinsic brain tumors, the gold standard is surgical resection. Although it is now quite obvious that the efficacy of radiotherapy and survival time can be increased by partial or total resection of these tumors ([Krouwer et al. 1995](#); [Steiger et al. 2000](#); [Nishio et al. 1997](#); [Cuccia et al. 1997](#)), in many cases only stereotactic biopsy is carried out followed by radio- and/or chemotherapy on the basis of the histological findings.

Although there have been many advances in radiosurgery in the past decade, its usage is limited in primary intrinsic brain tumors and in metastases larger than 2 cm. The goal of brain tumor surgery is maximum removal associated with no functional deficit. Tumors involving eloquent (either cortical or subcortical) areas are at a high risk of postoperative neurological deficit. This is especially true for deep-seated brain tumors due to the following reasons:

- 1, the tumor is located in important subcortical eloquent areas (e.g. thalamus, brainstem)
- 2, accessing these tumors has higher risk of injuring important subcortical pathways due to their location and the long surgical trajectory.

Surgical resection of these tumors requires a highly experienced neurosurgeon who must be aware of the cortical and subcortical functional neuroanatomy. However, space occupying lesions distort and dislocate normal anatomy, and it can be extremely challenging to recognize those structures that must be kept intact.

In eloquent brain tumor surgery, the gold standard for brain mapping is still awake craniotomy combined with direct cortical and subcortical electrical stimulation (DES) ([Foster et al. 2019](#)). Intraoperative electrophysiology (e.g., motor evoked potential – MEP, somatosensory evoked potential - SSEP) can also be used in some special cases for subcortical mapping and monitoring. Electrophysiological monitoring is limited to sensory and motor functions. In case of deep-seated tumors several other functional areas can be involved (motor coordination, cognitive, speech, memory functions, awareness, etc.).

Noninvasive (preoperative) and invasive (intraoperative) brain mapping techniques can help to reduce surgical risk, while maximizing the extent of resection. MRI-based preoperative methods are widely used for cortical and subcortical mapping. Functional MRI is a blood oxygen level-dependent method which can be used to

identify functional cortical areas ([Bartos et al. 2009](#)). Diffusion MRI-based tractography techniques can depict subcortical white matter pathways ([Costabile et al. 2019](#)).

Although awake craniotomy and DES have the best sensitivity and specificity, their application in case of deep-seated brain tumors is limited. Due to the location of these tumors, these procedures may lead to severe and intolerable side effects and symptoms (e.g., nausea, vomiting, unbearable headache, pain, wide range of neurological symptoms), which would hinder the patients' cooperation, making awake surgery meaningless. In case of these tumors, preoperative brain mapping methods have the highest impact to minimize risk and improve the extent of surgical resection. As these tumors are located in deep white matter or in the basal ganglia, fMRI is not suitable to map the surrounding brain around the lesion ([Witwer et al. 2002](#)). Tractography on the other hand can identify white matter tracts and subcortical nuclei.

Conventional diffusion tensor imaging-based deterministic tractography can visualize the major white matter pathways reliably ([Mori et al. 2002](#); [Mukherjee et al. 2008](#)). Therefore, it can be used to identify white matter fibers around the tumor (e.g., corticospinal tract) and help to plan the surgical trajectory to avoid injuring important pathways. Y.A. Moshel and colleagues showed, that surgical risk may be decreased by mapping white matter tracts around the thalamus, but they did not identify thalamic nuclei ([Moshel et al. 2009](#)). In eloquent subcortical regions, such as the thalamus and brainstem, a well-planned trajectory alone is not enough to minimize functional deficit. As the structure itself has important functions, its injury during the tumor removal can lead to severe neurological deficit and significant impairment of the quality of life. In the past decade, diffusion MRI has been used to improve our knowledge on normal brainstem anatomy ([Stieltjes et al., 2001](#); [Golay et al., 2002](#); [Nagae-Poetscher et al., 2004](#); [Wakana et al., 2004](#); [Salamon et al., 2005](#); [Newton et al., 2006](#); [Ramnani et al., 2006](#); [Habas and Cabanis, 2007](#); [Upadhyay et al., 2008](#); [Kamali et al., 2009](#); [Naidich et al., 2009](#); [Hodaie et al., 2010](#); [Hong et al., 2010](#); [Kamali et al., 2010](#); [Soria et al., 2011](#); [Edlow et al., 2012](#); [Prats-Galino et al., 2012](#); [Aggarwal et al., 2013](#); [Ford et al., 2013](#); [McNab et al., 2013](#); [Yeo et al., 2013](#)) and on pathologies that distort it ([Phillips et al., 2005](#); [Helton et al., 2006](#); [Chen et al., 2007a, 2007b](#); [Lui et al., 2007](#); [Helton et al., 2008](#); [Giussani et al., 2010](#); [Kovanlikaya et al., 2011](#); [Prabhu et al., 2011](#); [Ulrich et al., 2014](#)). These studies either used color-coded vector maps or diffusion tractography to identify brainstem structures. However, color-coded vector maps cannot distinguish

between similarly oriented pathways connecting to different brain regions (like the corticospinal, frontopontine, occipitoparietotemporopontine fibers in the cerebral peduncle) ([Soria et al., 2011](#)), and in case of lesions that distort the normal course of the pathways, color and intensity alterations make these maps difficult to interpret. Most tractography studies of the brainstem have at least in part relied on anatomical landmarks located within the brainstem itself. This may render their applicability limited in case of space-occupying lesions when the identification of brainstem anatomical landmarks becomes difficult and unreliable.

The ball and sticks model-based probabilistic tractography has several advantages. It can track fibers in regions with low anisotropy values and visualize crossing fibers. Moreover, it can provide quantitative measures representing the connectivity properties of the seed region. It can be thresholded to exclude false positive results and provides possibility to investigate connectivity of different brain areas, thus subcortical nuclei and pathways become identifiable based on their connections. ([Behrens et al. 2003b](#); [Elias et al. 2012](#))

2. Objectives

Our aim was to develop noninvasive, reliable and clinically applicable MRI-based brain mapping methods to improve the surgical resection of deep-seated brain tumors. We examined two distinct subcortical eloquent brain regions by probabilistic tractography: the thalamus and the brainstem.

1. Connectivity based thalamus segmentation by probabilistic tractography has been proven to be reliable and reproducible in healthy subjects ([Behrens et al 2003b](#); [Johansen-Berg et al. 2005](#); [Traynor et al. 2010](#)). In our study we were the first to apply this technique for thalamic tumor patients to differentiate between normal thalamus and tumor tissue, make preoperative planning possible, optimize the surgical approach and facilitate surgical resection. Thalamic segmentation classifies thalamic voxels according to the cortical region with which they show the highest connection probability ([Behrens et al 2003b](#)) and the specific connectivity pattern of each thalamic nucleus allows determination of its location.

2. Part of the brainstem is potentially suitable for tractography studies, as it has relatively simple fiber architecture ([Stieltjes et al., 2001](#)) and its main functional regions maintain connections with supratentorial centers through white matter pathways mainly

running in rostrocaudal orientation. We were the first to apply connectivity based segmentation technique in healthy subjects to identify four important brainstem subregions (1, frontopontine pathways, which reach the brainstem through the anterior limb of the internal capsule (ALIC); 2, corticospinal and corticobulbar tracts (CST/CBT), dominant component of the posterior limb of the internal capsule (PLIC); 3, sensory connections involving the spinothalamic tract and the medial lemniscus converging on the ventral posterior nucleus of the thalamus; 4, reticular formation and ascending reticular activating system (ARAS)) based on their connectivity to supratentorial structures, thereby avoiding the need for applying masks that rely on anatomical landmarks within the brainstem. This can be significant help in the preoperative planning of tumor resection, make preoperative planning possible, optimize the surgical approach and facilitate surgical resection. Two representative cases of brainstem tumor patients are included to this thesis to demonstrate the clinical applicability of the introduced method.

3. Materials and methods

The applied MRI imaging and analysis methods differ in some aspects between the two studies therefore they are presented separately. Both studies were approved by the Institutional Review Board. Written informed consent was obtained from all subjects.

3.1 Thalamus tumor

3.1.1 Study population

Five patients with thalamic gliomas were included in the study. Two of these patients will be presented in details in the thesis as representative cases. For detailed information about all patients, segmentation results, surgery and outcome, please see [Table 1](#). The Patient number has been changed in the thesis compared to the original article, therefore the representative cases are assigned to be Patient 1 and 2. Please note that Patient 2 in the thesis is presented as Patient 4 in the original article.

3.1.2 MRI acquisition

Scanning was conducted on 1.5 T GE Signa Excite scanner. High resolution T1 weighted (3D IR-FSPGR: TR/TE/TI:10.3/4.2/450 ms, flip angle: 15 u, ASSET: 2, FOV:

25*25 cm, matrix: 256*256, slice thickness:1 mm), FLAIR (TR/TE: 6 000/140 ms, FOV: 25*25 cm, slice thickness: 1 mm, matrix: 256*256) and diffusion-weighted images (DTI: TR/TE: 11 500/98.4 ms, flip angle: 90 u, FOV: 36*36 cm, matrix: 128*128, slice thickness: 3 mm, ASSET: 2, NEX:2,voxel size 2*2*3 mm, b value = 1000 s/mm²) in 30 independent directions and one nongradient set (b value = 0 s/mm²) were made with 2 repetitions. Scans covered the whole brain. Total scan time took 25-30 minutes/subject.

3.1.3 Data processing

Raw MRI data were processed using tools from the FMRIB Software Library (FSL, version 2.0; Oxford Centre for Functional MRI of the Brain (FMRIB) ([Smith et al. 2004](#)), UK; www.fmrib.ox.ac.uk/fsl) according to the method previously. ([Behrens et al. 2003b](#)). DICOM images were converted into NIFTI format with MRICron software ([Rorden et al 2000](#)), followed by eddy current correction, skull stripping, reconstruction of diffusion tensors and modeling of diffusion parameters. Diffusion and high resolution FLAIR images were registered to T1 weighted images with 6 degrees of freedom (DOF).

3.1.4 Data analysis

Seven cortical masks were delineated manually on both hemispheres (prefrontal-, premotor-, primary motor-, primary sensory-, posterior parietal-, occipital and temporal cortex). These masks covered the whole cortical surface of each hemisphere (Fig. 1). Masks were prepared based on the MNI Structural and Harvard-Oxford Cortical Structural Atlas. Masks in standard space were co-registered to the subject's T1 images (with 12 DOF) and were corrected manually under visual control to fit the patient's anatomy. The thalamus and the thalamus-tumor complex were outlined individually according to the gray matter areas between the posterior limb of the internal capsule and the lateral and third ventricles (Fig. 1). The following step was segmentation of the thalamus-tumor complex and the normal thalamus with the FDT toolbox PROBTRACKX option using standard settings. After segmentation, seed areas of the tumorous hemispheres were thresholded (lower threshold was 10% or less connection probability) to extract possible cortical connections of the tumor (false positive results). Finally, we performed the `find_the_biggest` command on the thresholded output of PROBTRACKX. This is called hard segmentation ([Behrens et](#)

al., 2003b). Segmentations of both intact and tumorous hemispheres were carried out to obtain comparable results. The average total time needed for the analysis was 3-4 days/subject.

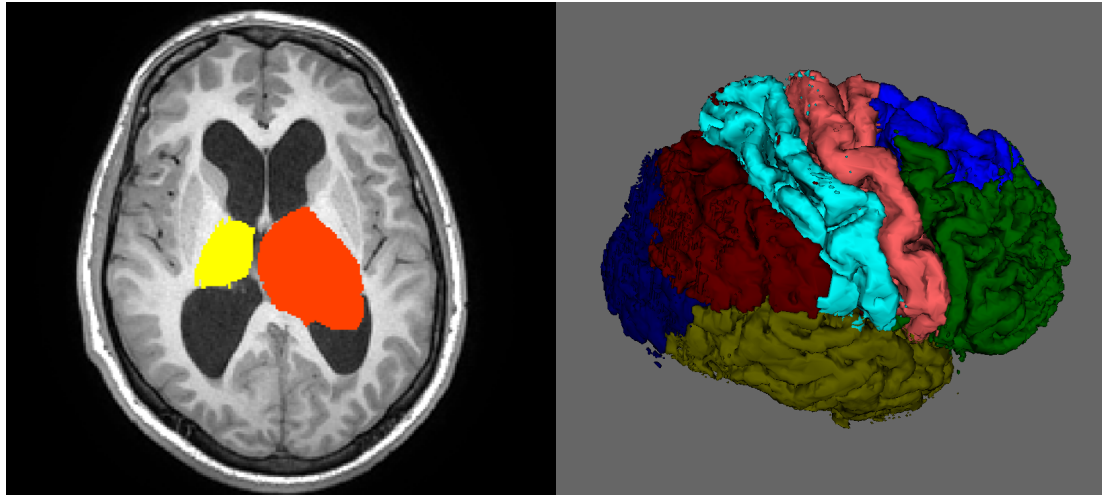


Figure 1. The location of the seed and the target masks in a thalamus tumor patient: The thalamus (yellow) and thalamus-tumor complex (red) mask (left image) and the 7 cortical target (green – prefrontal, light blue – premotor, pink – primary motor, caribbean blue – primary sensor, red – dorsal parietal, dark blue occipital, yellow – temporal) masks (right image).

3.1.5 Surgery

Images of thalamic segmentation were fused with T1 and FLAIR images and were reconverted into DICOM format (Matlab-based own software development). Images were uploaded to neuronavigation system (StealthStation®, Medtronic). The surgery of all patients was performed under neuronavigation. Preoperative planning was not carried out in the case of Patient 1, because at that time thalamic segmentation was not applied for this purpose at our department. Surgical approach was planned individually for all the other patients based on preoperative MRI scans and safest access was selected by the help of the results of thalamic segmentation. In the case of patients 3 and 4, awake surgery was performed to confirm the correlation between the expected anatomic location based on the segmentation to the patient's actual anatomic circumstances explored during surgery.

	Patient 1	Patient 2	Patient 3	Patient 4	Patient 5
Sex (male/female)	female	male	male	male	male
Age	65 years	32 years	27 years	22 years	25 years
Symptoms/signs on admission	vertigo, gait imbalance, urinary incontinence	left hemiparesis, muscle power 3/5, unable to walk	headache, blurred vision in the right eye, impaired consciousness	headache, gait disturbance, tactile paresthesia, hearing loss on the right side	strong headache, progredient paresthesia on the right side
Conventional neuroimaging	MRI: right thalamic tumor spreading into the right lateral ventricle	CT, MRI: right thalamic tumor	MRI: left thalamic tumor, occlusive hydrocephalus	CT, MRI: right thalamic tumor, hydrocephalus	MRI: left thalamic tumor
Origin of tumor	Anterior third of the medial thalamus	Border of the middle-posterior third of the medial part of the thalamus	Border of the middle and posterior thirds of the thalamus (border of the medial and lateral part of pulvinar)	Middle medial part of the thalamus	posteromedial part of the thalamus (border of the medial and lateral part of pulvinar)
Dislocation of thalamic nuclei	anterolateral, inferior	anterolateral, inferior direction	medial-superior-anterior (prefrontal, premotor, motor and sensory connections), postrolateral (posterior parietal and temporal connections) direction	Inferolateral direction	Anterolateral (prefrontal, premotor, motor and sensory connections), superior (posterior parietal and temporal connections) direction
Surgical approach	right occipital, transcortical, transventricular under general anesthesia	right occipital, transcortical, transventricular under general anesthesia	left occipital, transcortical, transventricular, awake craniotomy	right occipital, transcortical, transventricular, awake craniotomy	left occipital, transcortical, transventricular under general anesthesia
Extent of resection	subtotal	subtotal	partial resection	subtotal	subtotal
Histology	glioblastoma multiforme	glioblastoma multiforme	fibrillary astrocytoma	pilocytic astrocytoma	glioblastoma multiforme
Postoperative oncotherapy	-	radio- and chemotherapy	radio- and chemotherapy	radiotherapy	radio- and chemotherapy
Symptoms after surgery	somnolence, GCS:8, left hemiparesis	left hemiparesis improved, regained ability to walk	no new neurological deficit	improved gait stability, no paresthesia	no headache, no paresthesia
Karnofsky score at long term follow up (2 months before death)	-	80%	80%	80%	80%
Karnofsky score at mid-term	10-20%	80%	80%	80%	80%
Survival time	2 months	18 months	20 months	22 months	20 months

Table 1. Detailed clinical information of Patient 1 to 5.

3.2 Brainstem

3.2.1 Study population

20 healthy subjects were included in the study (age [mean \pm SD]: 31.7 ± 7 years, range: 21.7–43.2 years, 12 females) with no previous history of neurological or psychiatric disorders and without any structural abnormalities on the anatomical scans.

3.2.2 Data acquisition

Scanning was conducted on a 1.5T GE Signa Excite scanner with an eight-channel head coil and maximum gradient strength of 33 mTm^{-1} . High resolution T1-weighted scans (3D IR-FSPGR: TR/TE/TI: 10.3/4.2/450 ms, flip angle: 15° , ASSET, FOV: $25 \times 25 \text{ cm}$, matrix: 256×256 , slice thickness: 1 mm) and diffusion-weighted images (DTI: TR/TE: 11500/97.4 ms, flip angle: 90° , FOV: $23 \times 23 \text{ cm}$, matrix: 96×96 , slice thickness: 2.4 mm, voxel size: 2.4 mm isotropic, the ASSET option was used to decrease image distortions of the posterior fossa and acquisition time, NEX: 2 - to reduce artifacts of pulsatile motion, $b = 1000 \text{ s/mm}^2$) in 60 independent directions and six non-diffusion weighted sets ($b = 0 \text{ s/mm}^2$) (later referred as nodif image) were acquired. Scans covered the whole brain. The total scan time was 35 to 40 minutes per subject. All images were acquired parallel to the anterior-posterior commissure line.

3.2.3 Data preprocessing

MRI data were preprocessed using tools from the FMRIB Software Library (FSL, v5.0, [Smith et al., 2004; Woolrich et al., 2009; Jenkinson et al., 2012]; FMRIB's Diffusion Toolbox [FDT], v3.0, [Behrens et al., 2003a, b]; Oxford Centre for Functional MRI of the Brain [FMRIB], UK; www.fmrib.ox.ac.uk/fsl) according to the method previously described by Behrens et al. (Behrens et al., 2003b). DICOM images were converted to NIFTI format by MRICron (Rorden et al., 2007). Images were visually checked for artifacts, and no volume was discarded, as data quality was found to be satisfactory in all subjects. Affine registration of the diffusion dataset was performed to adjust for distortions caused by eddy currents and head motion, followed by skull stripping (Smith, 2002), reconstruction of diffusion tensors, and estimation of diffusion parameters. Transformation matrices between the structural, diffusion (6 degrees of freedom, cost function: mutual information, interpolation: trilinear) and standard (Montreal Neurological Institute, MNI152 2 mm brain) (12 degrees of freedom, cost function:

correlation ratio, interpolation: trilinear) spaces were obtained with FMRIB's linear registration tool FLIRT (Jenkinson and Smith, 2001; Jenkinson et al., 2002). Each subject's white matter mask was created from the T1 images by FMRIB's model-based segmentation/registration tool FIRST (Patenaude et al., 2011).

3.2.4 Selection and definition of masks

To reduce the effect of the distance dependence of tractography on the connectivity results (Jbabdi and Johansen-Berg, 2011; Pelzer et al., 2013), targets were preferably chosen to be located in the relative proximity of the seed mask and at a similar distance to it. According to this, the following six target masks were applied: the left (1) and right (2) ALIC to detect frontopontine connections (Kamali et al., 2010), the left (3) and right (4) PLIC to trace the CST/CBT (Stieltjes et al., 2001; Wakana et al., 2004; Ulrich et al., 2014), the bilateral sensory (Ulrich et al., 2014) (5) and medial (6) thalamus to find the main ascending sensory pathways (medial lemniscus, spinothalamic pathways) and the reticular formation, respectively (Fig. 2).

The pontomesencephalic seed mask and all target masks were delineated in the subject's structural T1-weighted image space. The accuracy of the registration between the subject's structural T1-weighted and diffusion spaces was visually checked by identification of anatomical landmarks (border of the lateral ventricles, anterior and posterior commissures, basilar artery, fourth ventricle). Manual drawing of the masks took 15 to 30 minutes per subject.

3.2.4.1 Pontomesencephalic (seed) mask (Fig. 2A)

The pontomesencephalic region was manually delineated including the entire cross-section of the brainstem in all slices starting from the pontomedullary junction to the subthalamic region (to the uppermost slice, in which the red nucleus could be detected).

3.2.4.2 ALIC and PLIC (target) masks (Fig. 2B, C)

Masks of the ALIC and PLIC were created in a standardized fashion. In the first step, all masks were created manually in the individual T1 space using the previously registered FA and V1 maps as overlays. The anterior commissure (AC) was identified on the T1-weighted images, and delineation of the ALIC masks was performed manually in one coronal slice anterior to the AC, where the most prominent green (anterior-posterior main diffusion direction) areas between the caudate nucleus and putamen-globus pallidus complex were apparent bilaterally. Similarly, the PLIC mask was delineated manually in one axial slice superior to the level of the AC, where the most prominent blue (superior-inferior main diffusion

direction) areas between the globus pallidus and the thalamus were apparent bilaterally. Then all individuals' ALIC and PLIC masks were transformed into MNI 2 mm space. Next, the standard ALIC and PLIC masks were generated using the JHU ICBM-DTI 81 White-Matter labels. The slice of the PLIC and ALIC of this standard atlas at the $z=8$ axial and $y=8$ coronal slice, respectively, was chosen because the manually derived masks had the biggest overlap (8 or more subjects) at these levels. These standard ALIC and PLIC masks were then transformed back to the individual space and masked by the previously generated white matter mask of each subject.

3.2.4.3 Sensory and medial thalamic (target) masks (Fig.2D)

The sensory and medial thalamic masks were created according to the FSL's Oxford Thalamic Connectivity Probability Atlas (Behrens et al., 2003a, b) built in MNI standard space. The thalamic region showing the highest connectivity to the primary sensory cortex was chosen from this atlas to represent the sensory thalamus, and the thalamic region with the highest connectivity to the prefrontal cortex was assigned to represent the medial thalamus. These masks were registered to the individual T1-weighted image and were manually corrected to remove voxels overlapping with the ventricles and the internal capsule.

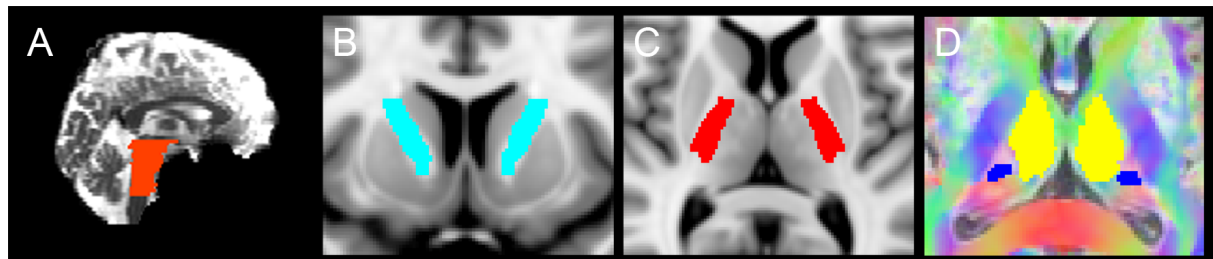


Figure 2. The location of the seed and target masks of a brainstem segmentation subject: Pontomesencephalic seed (A) and supratentorial target masks (B – ALIC, C – PLIC, D – blue: sensory thalamus, yellow: medial thalamus).

3.2.5 Connectivity-based probabilistic brainstem segmentation

3.2.5.1 Probability distribution maps of the subregions

Probabilistic tractography was performed based on a multifiber model (Behrens et al., 2007) using the seed and target regions described above. The default settings of the FDT (5000 samples, 0.5-mm step length, curvature threshold = 0.2) were applied (Behrens et al., 2003a, b). On the individual level, these analyses resulted in a probability distribution map (PDM) for each target mask, in which only voxels within the seed mask contained data. To eliminate low-

probability connections (false positive results) from these PDMs, they were thresholded to contain only those voxels that represented a connectivity value equal to or higher than a certain percentage of the maximum connectivity voxel of the given PDM (Bennett et al., 2011; Khalsa et al., 2014). In this work, we defined connectivity as the total number of successful samples per seed voxel that reach a particular target mask. Eight threshold levels were tested: 1%, 5%, 10%, 15%, 20%, 25%, 35% and 50%, of which the 25% threshold was found to yield the anatomically most plausible results. This threshold level was arbitrarily chosen for further analyses.

3.2.5.2 Brainstem connectivity maps derived by hard segmentation

On the individual level, the six PDMs thresholded at 25% were integrated into a single representation corresponding to the connectivity map (CM) of the brainstem. It was created by assigning each voxel in the seed mask with the identity of the target mask that had the highest number of samples projecting to it. This method is called hard segmentation (Behrens et al., 2003b). As a result, the CM of the brainstem consisted of six connectivity-defined regions (CDR), namely the left and right frontopontine, the left and right motor, the sensory (involving the left and right side as well) and the reticular subregions.

The total analysis time from data acquisition to the completion of the connectivity-based brainstem segmentation was 24 to 48 hours per subject on an Apple Mac Pro, 2x2.4 GHz 6 Core Intel Xeon processor, 12 GB 1333 MHz DDR3 RAM (Apple Inc., California, USA).

To generate the group CM of the brainstem, all individual unthresholded PDMs were registered to standard space. Then the individual PDMs of the same brainstem subregion were mathematically summed and averaged to create a group PDM in standard space. The group PDMs were also thresholded each at the eight different levels tested as it was described in section 3.2.5.1. Then the six group PDMs thresholded with the same percentage level were integrated into a single representation corresponding to the group CM of the brainstem as described above (Fig. 5, 6).

3.2.5.3 Comparison of segmentation results with microscopic anatomy and anatomical reference material

To qualitatively assess the correspondence between the results of the connectivity-based brainstem segmentation and microscopic anatomy, horizontal histological sections obtained from a single subject (41-year-old male without history of neurological disorders or brain injury) and stained for myelin and for cells were consulted. These histological images

were courtesy of the Department of Anatomy, University of Szeged, Hungary. The results of the connectivity-based brainstem segmentation were visually compared with the underlying anatomical structures as defined by an experienced neuroanatomist on the histological images and the standard brain MR images as well. The anatomical structures used for comparison were as follows: frontopontine tract, corticospinal tract, occipitoparietotemporo-pontine tract, central tegmental tract, superior cerebellar peduncle, dorsal longitudinal fasciculus, medial lemniscus, and spinothalamic tract (Fig. 7).

4. Results

4.1 Thalamus tumor

4.1.1. Representative cases

4.1.1.1 Patient 1

A 65-year-old woman presented with a history of vertigo, gait imbalance and sudden onset urinary incontinence. MRI revealed right thalamic tumor, spreading into the right lateral ventricle. We decided to perform surgery from occipital, transcortical, transventricular access based on the tumor's appearance on conventional MRI sequences. Postoperative CT scan showed marked diminution of the tumor mass, but unfortunately, the patient became somnolent after surgery, she had no verbal response, did not cooperate and marked left hemiparesis appeared (no spontaneous motor activity, withdrawal to painful stimuli, GCS score: 8). There were no remarkable changes in her neurological state during the 10-day postoperative care at our department. Histology revealed glioblastoma multiforme. She was transferred to the Oncology Department of another institute. Her condition did not improve and she died in pneumonia related sepsis 2 months after surgery. The retrospectively performed thalamic segmentation showed that the tumor originated from the middle part of the medial thalamus and dislocated thalamic nuclei in anterolateral and inferior direction, which could have helped us to choose frontal, transcortical, transventricular approach instead of the applied one. We suppose that in the background of her postoperative conscious state the injury of important thalamic nuclei may have played a significant role due to the choice of an inappropriate surgical approach (Fig. 3).

We present this case to emphasize the usefulness of thalamic segmentation in the selection of the appropriate surgical approach. At the time of this patient's surgery, thalamic segmentation was not applied at our department. The additional information gained by this

retrospectively performed thalamic segmentation brought us to the idea to introduce this new method to the preoperative planning of our thalamic glioma cases.

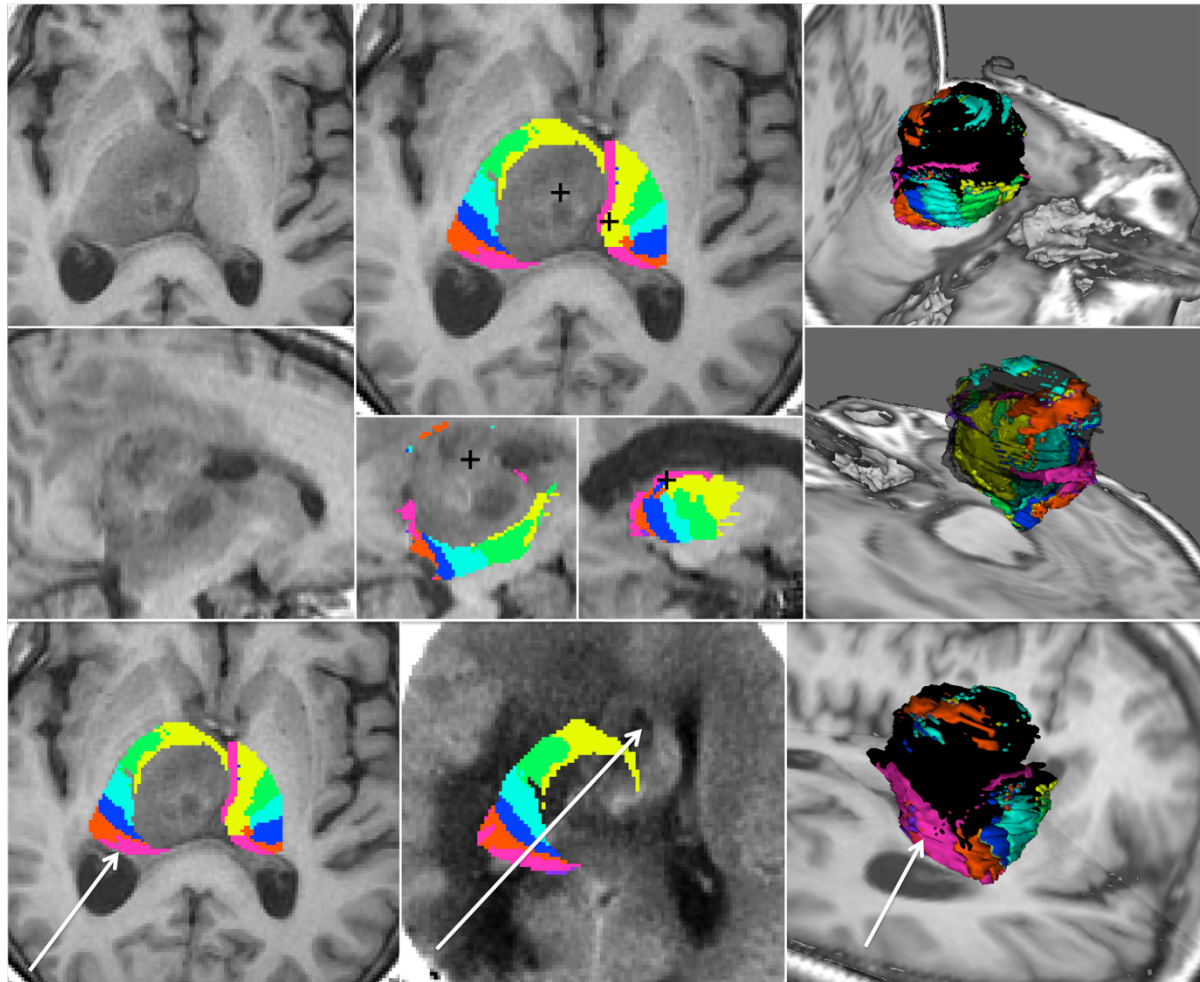


Figure 3. Segmentation results and surgical approach, trajectory and resection of Patient 1.: The upper two rows show the thalamus segmentation result of Patient 1. On the left, the axial and sagittal T1 images show the tumor in the right thalamus. The thalamus cannot be differentiated from the tumor. In the middle the results of the thalamic segmentation of both sides are superimposed on the T1 (axial and sagittal – right and left side) images (the colors represent the following connectivity: yellow- prefrontal, green- premotor, light blue – primary motor, dark blue – primary sensory, orange – dorsal parietal, purple – occipital, pink – temporal lobe). The black crosses indicate the point where the tumor growth probably started. The 3D reconstructions are on the right side. The tumor is marked in black. In the middle picture the tumor is partially transparent. According to the segmentation results the tumor originated from the medial part of the thalamus dislocating the nuclei to lateral and inferior direction. The pictures in the lower row show the surgical approach. The white arrows illustrate the trajectory. In the middle picture the preoperative thalamic segmentation result of the right side is superimposed on the postoperative CT scans. According to these, during the surgery the pulvinar and partially the lateral group of nuclei probably suffered severe irreversible damage.

4.1.1.2 Patient 2

A 32-year-old man complained of paresthesia on the left side and clumsiness of the left hand. CT scans revealed right thalamic tumor. His left-sided hemiparesis increased progressively, muscle power decreased to 3/5 in three weeks and subsequently he became unable to walk. This progression brought us to the decision of performing surgery. Thalamic segmentation showed that the tumor originated from the medial part of the thalamus and caused anterolateral and inferior dislocation. We chose occipital, transcortical, transventricular access. MRI scans 2 days after surgery showed subtotal resection of the tumor (Fig. 4). His neurological state improved after surgery, the hemiparesis decreased and the patient regained ability to walk. Histopathologic examination revealed glioblastoma multiforme, thus the patient received chemo- and radiotherapy postoperatively.

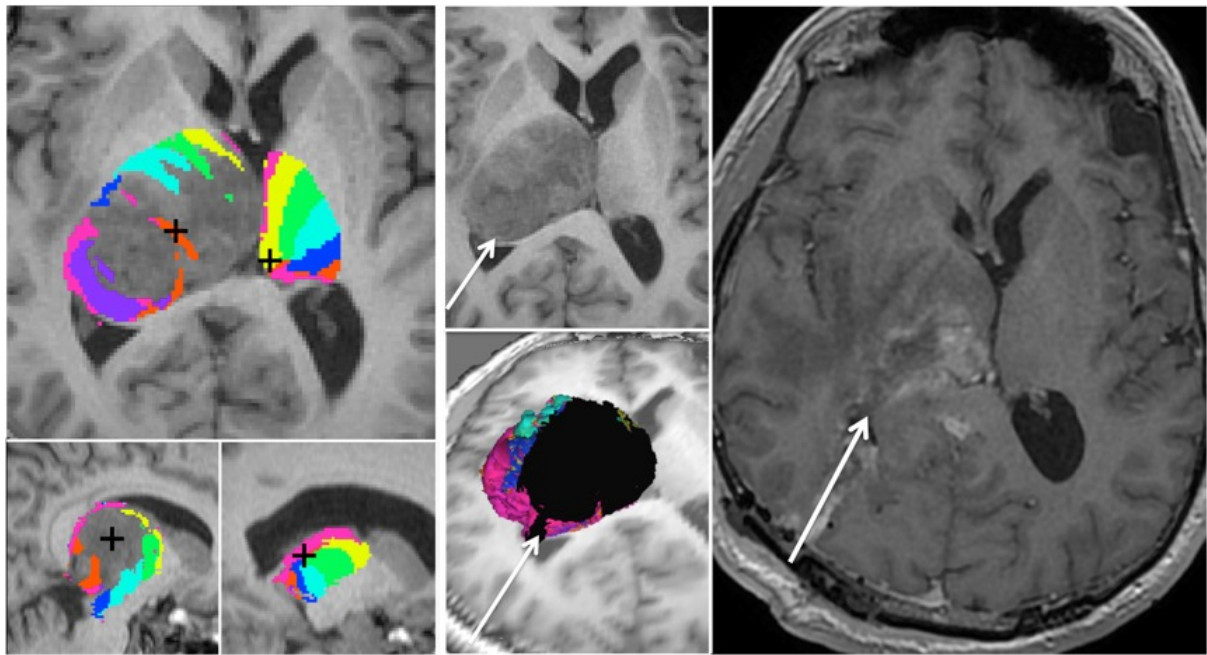


Figure 4. Segmentation results and surgical approach, trajectory and resection of Patient 2.: The segmentation of the thalamus is superimposed on the axial (Top left) and right and left sagittal (Bottom, left and right) T1-weighted images. The black crosses indicate the estimated starting point of the tumor growth (the healthy side is marked to illustrate the origin of the tumor on the normal thalamic anatomy). The color code is the same as on Figure 3. The tumor was estimated to have originated from the medial part of the thalamus and compressed the nuclei in anterior, lateral, and inferior directions. On the preoperative axial T1-weighted images (Middle top), the tumor cannot be differentiated from the thalamus. Middle Bottom, the result of the thalamic segmentation is reconstructed in 3-D (the tumor is black). The postoperative axial T1-weighted image is on the right. The white arrows indicate the operative trajectory. Occipital, transcortical, transventricular approach was chosen. The resection was guided by neuronavigation, according to the results of thalamic segmentation.

4.2 Brainstem

4.2.1 Segmentation pattern on the individual level

The individual CMs were very similar in 13 out of the 20 healthy subjects (65%) (Fig. 5) resulted in a highly consistent connectivity pattern. Voxels dominantly connected to the left and right ALIC (frontopontine CDR) were located in the medial portion of the cerebral peduncles. This CDR could be followed downwards until the mid-pons. Voxels dominantly connected to the left and right PLIC (motor CDR) were located in the middle and lateral portion of the cerebral peduncles and on the pontine basis on both sides. Voxels dominantly connected to the sensory thalamus (sensory CDR) were located in the dorsolateral part of the mesencephalon and on the border of the pontine tegmentum and basis. Voxels dominantly connected to the medial thalamus (reticular CDR) were located in the mesencephalic and pontine tegmentum.

In 1 subject (5%) the sensory CDR was not appropriately detected, and in 6 subjects the reticular CDR was not adequately reproduced (30%). In the former case, the sensory PDM did not incorporate part of the area that was expected to contain sensory connections, but this region was shown to be part of the motor PDM. In the other 6 subjects, the sensory CDR was found to be more prominent and occupied regions expected to be dominantly connected to the medial thalamus. Evaluation of the underlying connectivity values revealed that at the expected anatomical location of the reticular formation, both the sensory PDM and the reticular PDM showed high sample counts, being on average 13.5% higher in the sensory PDM. The connectivity patterns of the frontopontine and motor CDRs were similar in all subjects. The frontopontine CDR could be followed downwards until the mid-pons in all subjects.

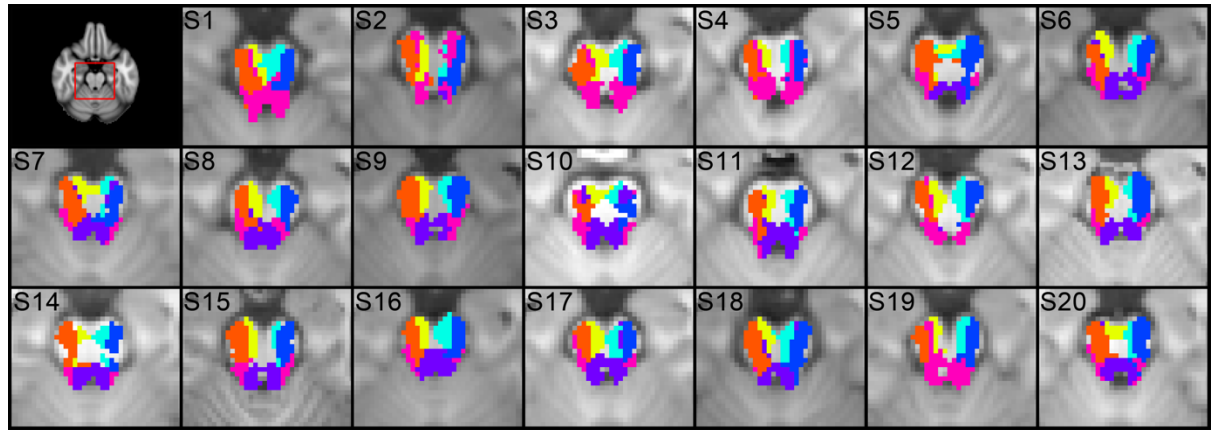


Figure 5. Individual connectivity maps derived by connectivity-based segmentation of the brainstem at the $z = -20$ axial slice of the MNI152 2 mm brain: The segmentation results of all Subjects are overlaid on their T1 images which were registered to the MNI152 2mm brain. The upper left picture indicates the magnified region of the brainstem displayed on the individual images, numbered according to the subject number (S1-S20). Colors represent the following connectivity-defined regions (CDR): yellow – right frontopontine CDR, light blue – left frontopontine CDR, orange – right motor CDR, dark blue – left motor CDR, pink – sensory CDR, purple – reticular CDR. All CDRs correspond well with the expected anatomical location in 13 subjects (except for S1, S2, S3, S4, S12, S18 and S19). Note that in case of S1, S2, S3, S4, S12 and S19, the connectivity-based segmentation of the brainstem failed to adequately identify the reticular CDR, and in S18, the sensory CDR was not appropriately detected. Orientation is radiological.

4.2.2 Segmentation pattern on the group level

The group CM was very much resembling to the individual CMs. Location of the CDRs in the group level were similar to the identified CDRs of all subjects (as described above) at the individual level.

In the mesencephalic region, the eight group CMs derived using the eight different threshold levels (1%, 5%, 10%, 15%, 20%, 25%, 35%, 50%) appeared similar with regard to the internal boundaries between the CDRs, while lower thresholds resulted in larger CDRs reaching the outer surface of the brainstem (Fig. 6). In the pontine region, with increasing thresholds, both the internal and external borders of the subregions became more congruent with the known anatomical locations, but thresholds above 25% resulted in an excessive reduction of the area of the CDRs.

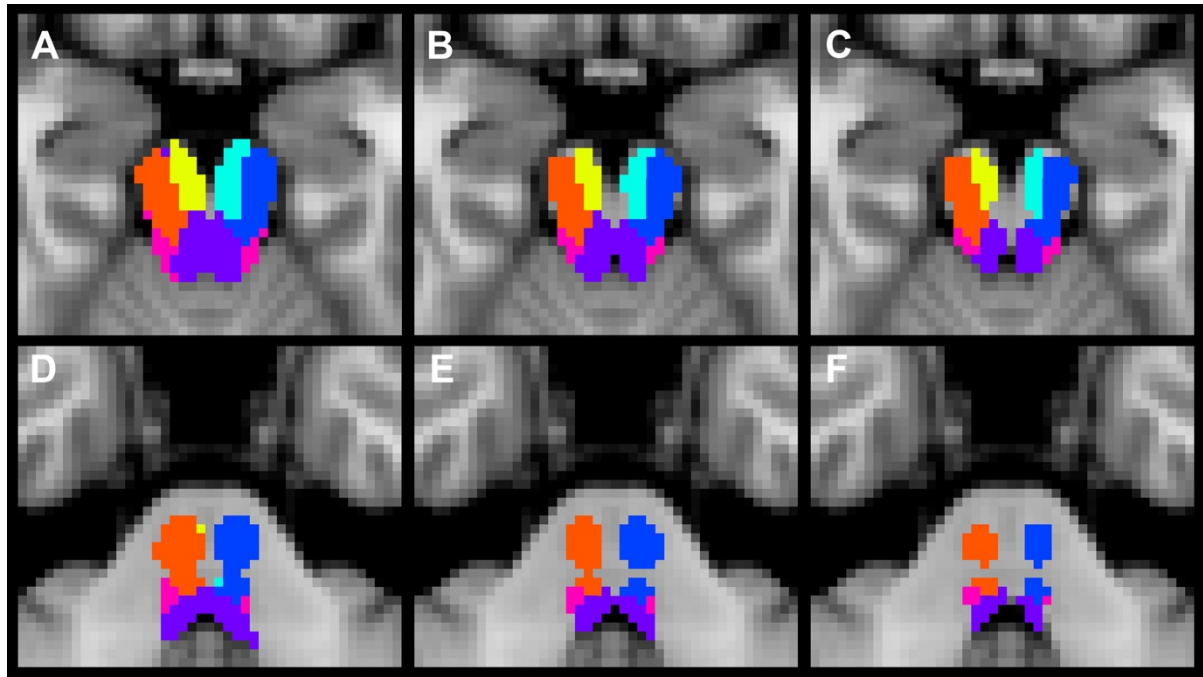


Figure 6. Axial sections of the group connectivity maps (CM) derived by connectivity-based segmentation of the brainstem overlaid on axial slices of the MNI152 2 mm; A, B and C are shown at level of the mesencephalon ($z = -20$). D, E and F represent the level of the mid-pons ($z = -36$). A and D: group CM at 15% threshold, B and E: group CM at 25% threshold, C and F: group CM at 35% threshold. Color-coding: yellow – right frontopontine CDR, light blue – left frontopontine CDR, orange – right motor CDR, dark blue – left motor CDR, pink – sensory CDR, purple – reticular CDR. Note that the relative location of the CDRs corresponds well with the relative position of the anatomical structures they represent. With the increasing threshold, the internal boundaries of the CDRs in the mesencephalon remain similar, whereas in the mid-pons, higher thresholds cause more pronounced changes in their shape. Of the 8 threshold levels tested, the 25% level resulted in the anatomically most plausible results. Orientation is radiological.

4.2.3 Comparison of segmentation results with microscopic anatomy and anatomical reference material

The group CM derived by the connectivity-based segmentation of the brainstem with a 25% threshold was in good overall visual concordance, with regard to the spatial distribution of the identified CDRs, with the pathways determined on the histological sections (Fig. 7). In case of the sensory CDR, the medial lemniscus was located on the border of the motor and sensory CDRs in the mesencephalon, whereas in the pontine region, part of it was identified in the motor CDR.

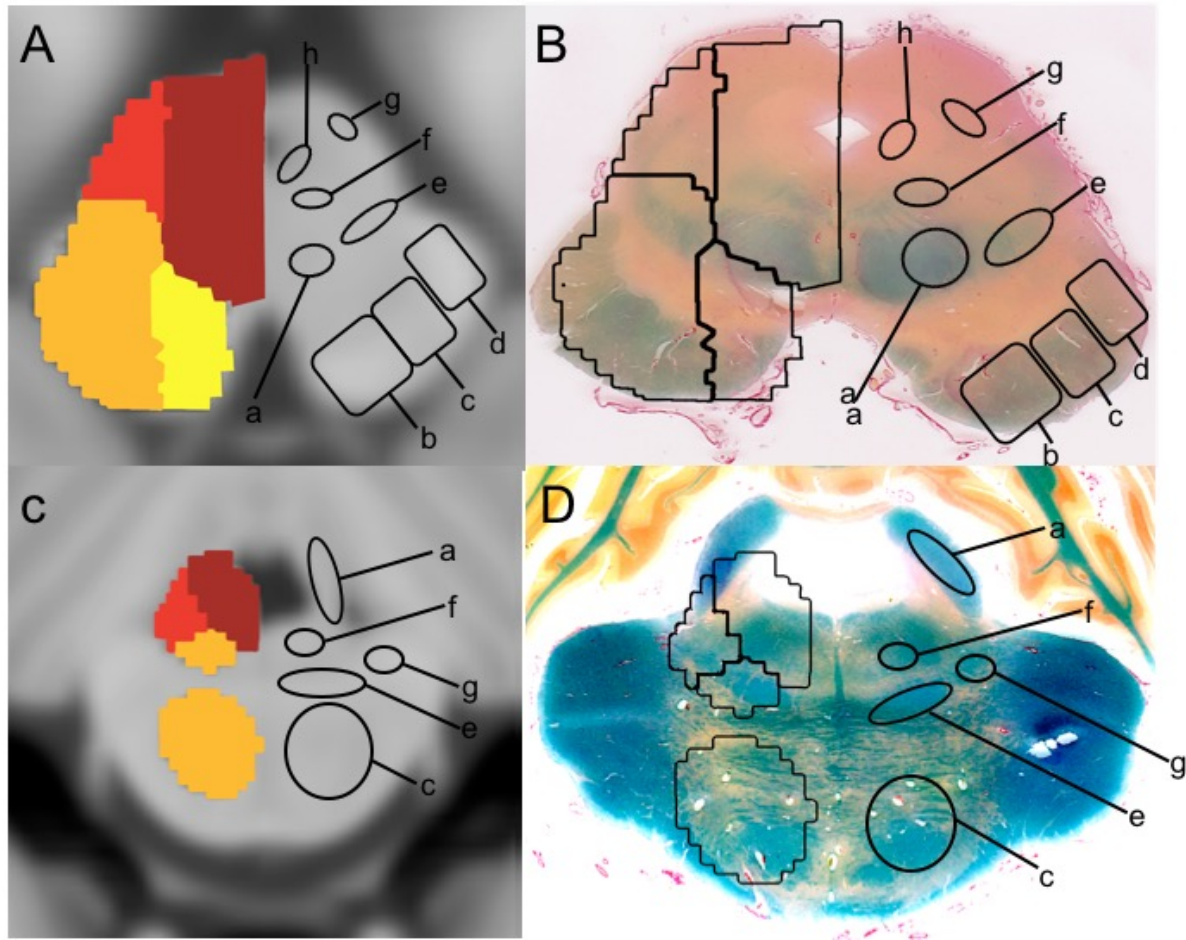


Figure 7. Comparison of the group connectivity map (CM) with histological sections: A: Group CM (left) is overlaid on an axial slice of the MNI152 1 mm brain at the level of the oculomotor nerve root (axial plane of the MNI standard brain was adjusted to fit the horizontal position of the histological slice on panel B). B: Contours of the connectivity-defined regions (CDR) of the group CM (left) overlaid on a histological section showing the level matching panel A. Luxol blue – picosirius red stain (by courtesy of the Department of Anatomy, Histology and Embryology, University of Szeged, Hungary). C: Group CM (left) overlaid on an axial slice of the MNI152 1 mm brain at the level of the trigeminal nerve root (axial plane of the MNI standard brain was adjusted to fit the horizontal position of the histological slice on panel D). D: Contours of the CDRs of the group CM (left) overlaid on a histological section showing the level matching panel C. Luxol blue – picosirius red stain (by courtesy of the Department of Anatomy, Histology and Embryology, University of Szeged, Hungary). Color-coding of the CMs: yellow – frontopontine CDR, orange – motor CDR, red – sensory CDR, brown – reticular CDR. Anatomical structures identified: a – superior cerebellar peduncle, b – frontopontine tract, c – corticospinal tract, d – occipitoparietotemporo-pontine tract, e – medial lemniscus, f – central tegmental tract, g – spinothalamic tract, h – dorsal longitudinal fasciculus. Note the good overall visual concordance of the identified CDRs with the pathways determined on the histological sections. It can be seen that the medial lemniscus (e) is located on the border of the motor and sensory CDRs on panels A and B (mesencephalon), whereas on panels C and D (pons), part of it can be identified within the motor CDR.

4.2.4 Representative cases

4.2.4.1 Patient 1 – Infiltrative tumor

A 6 years old female patient presented with vertigo. There were no other neurological signs or symptoms. The conventional MRI images showed a mass lesion in the pons. According to the images, the tumor seemed to be an expansive one as the dorsal and lateral brainstem was intact. The connectivity based brainstem segmentation was done exactly as described above in section 3.2.5. All of the six examined pathways (left and right motor-, left and right frontopontine pathway, the bilateral sensory tracts and the reticular formation) were identified. The results revealed that the tumor infiltrates the ventral pons and its pathways, namely the corticospinal and frontopontin tracts (Fig. 8). Due to the infiltrative nature of the tumor it was considered as inoperable and oncological treatment was initiated.

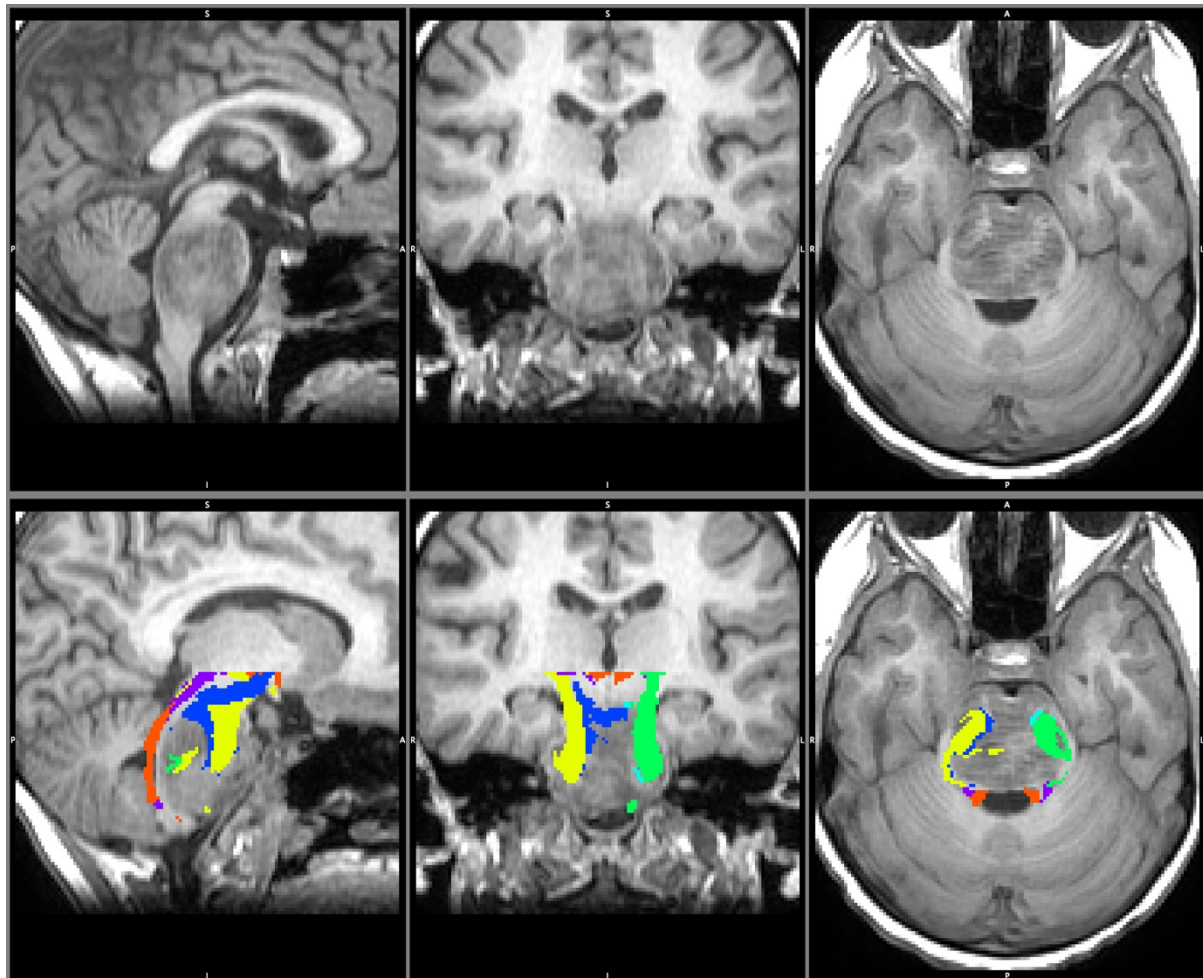


Figure 8. Segmentation results of Patient 1.: Representative case of an infiltrative tumor. The upper row shows sagittal, coronal and axial T1 images. The tumor – at least partially – seems to be an expansively growing one, as the dorsal and lateral part of the pons is intact. In the lower row the brainstem segmentation results are superimposed on the T1 images. Color-coding: yellow – right motor CDR, dark blue – right frontopontine CDR, light blue – left frontopontine CDR, green– left motor CDR, purple – sensory CDR, orange – reticular CDR. The results revealed that the tumor infiltrates the anterior pathways.

4.2.4.2 Patient 2 – Expansive tumor

A 16 years old male patient experienced vertigo, double vision, gait disturbances and difficulty to swallow. His neurological condition resulted in inability to walk. The conventional MRI images showed an expansive tumor in the left dorsal part of the pons and mesencephalon. A biopsy was done in another institute. The histological examination showed a grade II-III astrocytoma. The connectivity based brainstem segmentation was done as described in section 3.2.5. All of the six examined pathways (left and right motor-, left and right frontopontine pathway, the bilateral sensory tracts and the reticular formation) were identified (Fig. 9). The tumor did not infiltrate the normal brainstem but dislocated it from the left side to the right side. A resective surgery was performed in our Department which was followed by chemo- and radiotherapy. According to the segmentation results, an optimal site to reach the core of the tumor with the least chance to injure normal pathways (similarly as it was done in cases of thalamic tumors) was at its left dorsolateral part. To do so, the telovelar approach was chosen. A subtotal tumor removal was performed (>90%). The patient experienced a significant improvement, regained his normal vision and ability to walk, eat and drink.

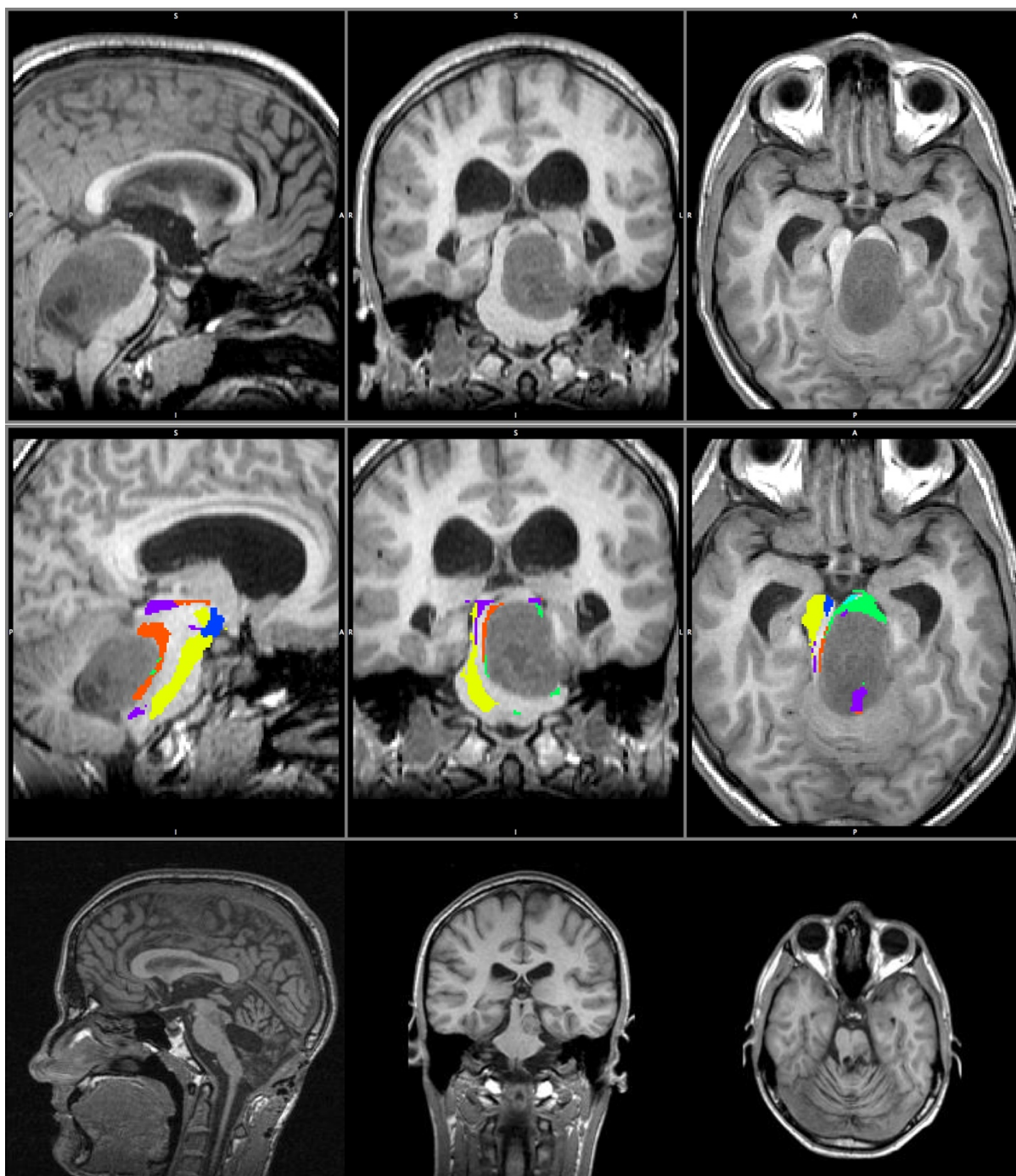


Figure 9. Segmentation results and surgical resection of Patient 2.: Representative case of an expansive brainstem tumor. The upper row shows sagittal, coronal and axial T1 images. An extensive tumor mass is located in the left dorsolateral portion of the pons and mesencephalon. In the middle row the brainstem segmentation results are superimposed on the T1 images. Color-coding: yellow – right motor CDR, dark blue – right frontopontine CDR, light blue – left frontopontine CDR, green– left motor CDR, purple – sensory CDR, orange – reticular CDR. The results revealed that the tumor dislocates (but does not infiltrate) the brainstem pathways to anterolateral direction on the right side. Note, that the sensory pathway seems to be incorporated partially to the tumor. This was considered as an artifact as this part of the tract ended blindly in the tumor which does not match with the anatomical course of the sensory tract. In the lower row T1 sagittal, coronal and axial images show the subtotal resection of the tumor.

5. Discussion

5.1 Thalamus tumor

In the past few decades preoperative functional MRI and tractography became part of the clinical routine to decrease the surgical risk of brain tumors in eloquent brain areas like motor- ([Kamada et al. 2005a](#)), speech- ([Kamada et al. 2007](#)) and visual cortex ([Kamada et al. 2005b](#)), but it is not true yet for tumors of basal ganglia, brainstem and cerebellar peduncles. Preoperative planning may similarly decrease the surgical risk of tumors affecting these areas, but the thalamus and the brainstem contain important gray matter nuclei, that cannot be identified by fMRI and deterministic tractography ([Witwer et al. 2002](#)).

Previous studies conducted with healthy subjects found that probabilistic tractography provides possibility to perform thalamic segmentation in vivo, based on the cortical connections of the thalamus, and these investigations proved to be reproducible intra- and interindividually ([Johansen-Berg et al. 2005](#); [Traynor et al. 2010](#)). Thalamic nuclei of different function can be identified and differentiated by this method (prefrontal cortex – medial, midline and anterior thalamic nuclei, premotor cortex – ventral lateral thalamic nuclei, primary motor cortex – ventral anterior thalamic nuclei, primary sensory cortex – ventral posteromedial and posterolateral thalamic nuclei, posterior parietal cortex – lateral part of pulvinar, occipital and temporal cortex – medial part of pulvinar).

Sizable, inhomogenously enhancing tumors with considerable mass effect are not possible to be distinguished from normal thalamus by conventional imaging techniques ([Garcia-Santos et al. 2002](#)). Determining the location of thalamic nuclei may considerably help the surgeon to plan the appropriate operative trajectory and to interpret intraoperative anatomical circumstances properly.

With the technique described above, thalamic nuclei can be visualized separately from the tumor, thus based on the normal anatomy of the opposite side it is possible to reconstruct the origin of the tumor reliably. 3D reconstruction may provide further help to select the best approach possible to reach the core of the tumor without damaging healthy tissue.

Yasargil suggested that brain tumors originate from a core and grow expansively dislocating healthy tissues ([Yasargil et al. 1994](#)). In case of deep brain tumors, the surgeon has limited space and the tumor is surrounded by eloquent white matter tracts. Hence the most feasible strategy is to target the core of the tumor and start the resection there to remove it the safest way, thus minimizing the risk of damaging healthy structures.

In the case of Patient 1, ventral thalamic nuclei were more dislocated, presumably because the tumor originated from the anterior third of the medial thalamus, from the area neighboring the anterior, medial and motor thalamic nuclei. In Patient 2, the dislocation of the dorsal nuclei was more pronounced, pointing out that the possible origin of the tumor was the middle-posterior third of the medial thalamus around the area surrounded by the ventrolateral, ventroposterior nuclei and the pulvinar. Please see [Table 1](#) for detailed information of all Patients.

Occipital, transcortical approach seemed to be the safest in all patients except for Patient 1. We made our choice based upon the facts that occipital, transcortical approach spares eloquent cortical areas and there are no important functional white matter tracts in the vicinity, which decrease the risk of causing neurological deficit. Transventricular approach was supported by the relatively easy access to the thalamus through the lateral ventricles and the more spacious surgical field provided when using this approach ([Ozek et al. 2002](#)). Moreover, this operative trajectory was favorable in all cases except for Patient 1 to reach the core of the tumor without damaging normal thalamus. In Patient 1, on conventional MRI sequences the tumor seemed to have an exophytic part growing into the occipital horn of the lateral ventricle, suggesting an ideal location to start the resection. The retrospectively performed thalamic segmentation showed that the area previously thought to be the exophytic component of the tumor contained posterodorsal thalamic nuclei dislocated by the tumor. Based on the postoperative neurological state of the patient, injury to these nuclei can be suspected.

Our results demonstrate that surgery performed with the help of our imaging algorithm caused no deterioration in the neurological symptoms of our patients, indeed we noticed neurological improvement in three cases and furthermore by two of them we achieved complaint-free state. The border between the normal thalamus and the tumor was not recognizable on conventional imaging in either case, but with the help of our method not only the location of the normal thalamus, but also thalamic nuclei were identifiable. Based on the results of the segmentation we were able to choose the safest way of surgical access combined with the appropriate surgical technique.

For numerous reasons surgical therapy of thalamic tumors is considered a great challenge even for experienced surgeons ([Gaab et al. 1998](#); [Broadway et al. 2011](#)). With our method, identifying the location of the thalamus became possible even when conventional imaging has already reached its limits. In addition to this, knowing the location of thalamic nuclei, preoperative planning could minimize surgical risk. Although data processing takes a

few days, thalamic gliomas mostly do not require acute resection, there is enough time for investigating the patients.

Due to technical limitations (resolution of DTI, thresholding, manual delineation of masks under visual control) the border of thalamic structures cannot be determined by thalamic segmentation with complete consistency with the anatomy, but inaccuracies may not be greater than a few millimeters. This technique is not capable to localize thalamic nuclei with submillimetric accuracy, but for preoperative planning millimetric definition is satisfactory. The accuracy of image guided techniques is anyway hampered by brain shift occurring after incision of the dura mater. Tumor resection is performed under visual control and efficacy is still mostly depending on the experience of the surgeon. Although computer-assisted stereotactic resection of thalamic gliomas proved to be an effective and safe method ([Kelly et al. 1989](#)), its availability is limited worldwide. Our method introduced here may further enhance the latter technique and provide useful additional information to the preoperative planning of conventional surgical strategies.

5.2 Brainstem

The aim of our study was to find a non-invasive, clinically feasible and reliable technique that allows parcellation of the brainstem to subregions containing the major components of the main functional systems that regulate cognitive, motor and sensory functions, and consciousness.

5.2.1 Correspondence between the connectivity-defined brainstem regions and the known anatomy

In this study we evaluated the frontopontine connections, the corticospinal/corticobulbar tracts (CST/CBT), the main ascending sensory pathways (medial lemniscus, spinothalamic pathways) and the reticular formation, because they represent connections to fundamental functional systems, and their size allows identifying them on the individual level with the imaging parameters used.

To limit low-probability connections (false positive results) between the seed and the applied target areas, we tested eight different threshold levels both on the individual and the group level, and found the anatomically most plausible results with 25% threshold ([Fig. 6](#)).

It has to be noted that even after thresholding, the probability distribution maps (PDMs) necessarily contain more information than the connectivity defined regions (CDRs) derived with hard segmentation. PDMs also involve collateral connections of the subregions (e.g.,

corticocerebellar fibers in case of tracking the CST/CBT). Each PDM contains a subset of connectivity information regarding the voxels within the seed region, due to the limited resolution of DTI. The hard segmentation synthesizes these pieces of information and highlights the dominant connection in each voxel, while at the same time it ignores other, less prominent collateral connections that are present in the PDMs.

The location of the CDRs produced by the brainstem segmentation corresponded with the results of previous diffusion imaging studies ([Wakana et al., 2004](#); [Ramnani et al., 2006](#); [Habas and Cabanis, 2007](#); [Kamali et al., 2010](#)), as well as the neuroanatomy on the histological slices (Fig. 5, 6, 7).

The frontopontine CDR was located in the medial portion of the cerebral peduncles and, similarly to the findings of other studies ([Habas and Cabanis, 2007](#); [Kamali et al., 2010](#)), it could be followed downwards until the mid-pons, where these fibers end in the pontine nuclei.

The motor CDR was located in the middle and lateral portion of the cerebral peduncles and in the pontine basis on both sides.

Sensory thalamic regions as defined in the Oxford Thalamic Connectivity Atlas ([Behrens et al., 2003a, b](#)) mainly correspond to the ventral posterior nucleus of the thalamus. The main ascending sensory pathways that we focused on (medial lemniscus and spinothalamic tract) target this thalamic region and run adjacent to each other in the pontomesencephalic region. When comparing the location of the sensory CDR to the course of the main sensory pathways identified on the histological specimen (Fig. 7), it is apparent that the medial lemniscus was located on the border of the motor and sensory CDRs in the mesencephalon, whereas in the pontine region, part of it could be identified in the motor CDR. This appearance may be due to several factors, for example that the histological sections and the MNI152 standard brain cannot be brought into complete alignment, the segmentation result may suffer from distortion caused by the registration to standard space, and the low specificity of the posterior limb of the internal capsule (PLIC) target mask, as it involves sensory fibers as well (thalamocortical projections). Although the thalamocortical sensory fibers originate from the third neurons of the main ascending sensory pathways, and project to the cortex after synapsing in the thalamus, diffusion tractography does not detect the presence of synapses ([Johansen-Berg and Behrens, 2006](#)), making it possible to reconstruct the course of the sensory pathways from the brainstem beyond the thalamus, potentially leading to incorporation of some medial lemniscal fibers in the motor CDR traced from the PLIC. Albeit the sensory thalamus is a more specific target mask than the PLIC for the tracking of sensory pathways, it is a gray matter area

with higher diffusion uncertainty, which could also contribute to this segmentation result. Segmentation of the PLIC and selective inclusion of fibers arising from the motor cortex may be a possible solution to this issue, however we did not implement this option in this study, as it would have considerably lengthened processing time, and therefore would have been in conflict with our aim to present a simple, clinically feasible method.

The reticular CDR was located in the median and paramedian areas of the mesencephalic and pontine tegmentum surrounded laterally and anterolaterally by the sensory CDR in the mesencephalon and in the pons, respectively. The area identified by the connectivity-based brainstem segmentation as the mesencephalic and pontine reticular formation corresponded well with its known anatomical location, indicating that this method can be a potential tool to isolate this area, and thereby may allow its assessment even in disease states (e.g., tumor, demyelination, hemorrhage).

5.2.2 Reproducibility of the connectivity-based brainstem segmentation

On the individual level, all four subregions were successfully identified by the hard segmentation in 13 out of 20 subjects (65%). The sensory CDR was incompletely identified in 1 subject (5%), and the reticular subregion was not detectable in 6 cases (30%) (Fig. 5). In these latter subjects, the underlying difference between the sensory and reticular connectivity may be due to individual variations of the thalamic connectivity not accounted for by the standard masks of the FSL's Oxford Thalamic Connectivity Probability Atlas ([Behrens et al., 2003a, b](#)). In such individual cases it may be helpful to determine the location of sensory connections in the thalamus by performing connectivity-based segmentation of the thalamus ([Behrens et al., 2003b](#)), and then use the resulting thalamic subregion that dominantly connects to the primary sensory cortex as a target mask for connectivity-based brainstem segmentation. A few studies are available in the literature that compared the accuracy of thalamus segmentation to electrophysiological findings at the individual level. These studies have revealed that the tractography-based method localizes sensory and motor thalamic nuclei with high precision, as the deviation was approximately 1-1.5 mm ([Pouratain et al., 2011](#); [Elias et al., 2012](#); [Sammartino et al., 2016](#)).

5.2.3 Potential clinical applicability

The results of the connectivity-based segmentation of the brainstem in 20 healthy adults may provide reference for the investigation of patient populations. Eliminating the need for using anatomical landmarks within the brainstem to start tractography analysis may be

particularly helpful in the preoperative evaluation of space-occupying lesions. In the other study of this thesis, we reported on the application of connectivity-based segmentation by probabilistic tractography in the preoperative planning of gliomas that distort the thalamus (Kis et al., 2014). Connectivity-based brainstem segmentation may be a helpful tool in the preoperative planning of brainstem gliomas in a similar way, facilitating the differentiation between infiltrative and expansive tumor growth and the planning of the surgical trajectory.

We have presented two representative cases of brainstem glioma to demonstrate the usefulness of probabilistic tractography-based brainstem segmentation in brain tumor surgery. The presented method was able to detect all the six subregions in both patients. In case of Patient 1, conventional MRI scans indicated an at least partially expansive tumor growth but the tractography results have revealed that the tumor was actually an infiltrative one and consequently inoperable. In Patient 2, the border between the tumor and the brainstem was clear and the subregions were pushed to a right anterolateral direction by the tumor. According to these, an ideal surgical access to reach the core of the tumor is at its left dorsolateral portion. Using the results of the brainstem segmentation we were able to perform a subtotal resection of the tumor followed by a significant improvement of the patients' quality of life in the long term.

We believe that the two representative cases demonstrate that the presented tractography method is not only capable of identifying the important subregions in tumorous brainstem but also makes it possible to distinguish between infiltrative and expansive tumors in patients when conventional MRI scans are not obvious.

5.2.4 Limitations

There are well known limitations of diffusion tractography that apply to our study as well. Diffusion MRI is sensitive to physiological noise (Bammer, 2003), but in this study we used no cardiac gating (Habib et al., 2010) or navigator-corrected approach (Alhamud et al., 2015) to adjust for distortion potentially induced by cardiac and respiratory motion. However, the acquisition of the DTI sequence was repeated twice and ASSET was used to increase the signal-to-noise ratio and improve image quality.

As it was underlined by the cases of those six subjects in whom the reticular subregion could not be identified (Fig. 5), hard segmentation only considers the dominant connection of the given region in the brainstem, therefore, it is insensitive to the extent of differences between connectivity values, and can be driven even by small discrepancies. Verifying the PDMs may help evaluate the connectivity in these cases.

The applied masks included other pathways beyond those of interest, like the thalamocortical fibers in the anterior limb of the internal capsule (ALIC), and the occipitoparietotemporopontine and some of the medial lemniscal fibers in the PLIC. Therefore, the segmentation presented here cannot differentiate the fibers of the occipitoparietotemporopontine pathways from the corticospinal and corticobulbar tracts, but the spatial relationship of the frontopontine fibers relative to the corticospinal, corticobulbar and the occipitoparietotemporopontine fibers corresponds well with the known anatomy and also with the findings of previous DTI studies ([Stieltjes, et al., 2001](#); [Wakana et al., 2004](#); [Ramnani et al., 2006](#); [Kamali et al., 2010](#)).

The small number of investigated structures can be generally considered an important limitation of our study. The identification of further brainstem structures by increasing the complexity and specificity of this connectivity-based brainstem segmentation can potentially be the subject of future studies.

The representative cases showed that in case of brainstem gliomas the presented method could provide greater and safer tumor resection but the same technical limitations are present as in thalamic tumor patients. These limitations and their clinical interpretation has been discussed in details in section 5.1.

6. Conclusions

Our aim was to develop new imaging algorithms that may help to decrease surgical risk of deep seated brain tumors and broaden the range of tumors considered to be operable. We suggest that these methods may be applied successfully and routinely in the surgical treatment of thalamic and brainstem gliomas. By determining individual anatomy and identifying the dislocation of subcortical functional regions, surgery can be planned targeting the core of the tumor, which may be safer and more effective than applying empirical surgical techniques. On the other hand, we were the first to apply connectivity-based segmentation by probabilistic tractography to the brainstem. It allows separation of four functionally important subregions (the frontopontine, motor, and sensory pathways and the reticular formation) in the brainstem based on connectivity to supratentorial structures, which may be an advantage when pathologies within the brainstem hinder the identification of anatomical landmarks. The detected subregions were in good concordance with microscopic anatomy, furthermore, they were shown to be reproducible in a group of healthy subjects. Nevertheless, the representative cases demonstrate the potential applicability of this technique in case of brainstem tumors.

7. Acknowledgement

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